

# FY 2011-12 NBPB

Commonwealth of  
Pennsylvania

Office of Children,  
Youth and Families



**NEEDS BASED PLAN AND BUDGET  
NARRATIVE TEMPLATE**

## Budget Narrative Template

The following pages provide a template for counties to use to complete the narrative piece of the 2011-2012 Needs Based Plan and Budget. All narrative pieces should be included in this template; no additional narrative is necessary. Detailed instructions for completing each section are in the Needs Based Plan and Budget Bulletin, Instructions & Appendices.

**The budget narrative is limited to a MAXIMUM of 50 pages, excluding charts, Special Grants Request Forms, and IL Documentation. All text must be in either 11-point Arial or 12-point Times New Roman font, and all margins (bottom, top, left, and right) must be 1 inch.**

**Note:** On the following page, once the county inserts its name in the gray shaded text, headers throughout the document will automatically populate with the county name. Enter the county name by clicking on the gray shaded area and typing in the name.

# SULLIVAN COUNTY

## Needs Based Plan and Budget FYs 2009/10, 2010/11, and 2011/12

Version Control	
Original Submission Date:	8/15/10 (8-16-10)
Version 2 Submission Date:	
Version 3 Submission Date:	
Version 4 Submission Date:	

## Section 2: NBPB Development

### 2-1: Executive Summary

- Submit an executive summary highlighting the major priorities, challenges, and successes identified by the county since its most recent NBPB submission. The summary should include any widespread trends or staffing challenges which affect the county, particularly those which impact all outcome indicators.

Until the past fiscal year, Sullivan County has nearly always been the county with the lowest expenditures in the State while still diligently providing quality services and excelling in safety of children. The County continues to provide quality, effective intensive services by its child welfare staff, but has faced challenges with skyrocketing expenditures for a few delinquent offenders.

Providing intensive direct services, engaging and supporting families until they can develop their own resources, and ensuring safety continue to be Sullivan County's successes. During the past year, the County began a formal Independent Living program (for both mandated services and expanded to in-home youth, ages 14 and older) which has been very well-received, highly successful, and growing faster than estimated. At the very end of FY 2009-10, the County partnered with the Sullivan County Ministerium's "Neighbors Helping Neighbors" volunteer project to form Sullivan Seeds of Hope (SSOH). Through this fiscal year, SSOH will capitalize on the communities volunteer energies and donated resources to ensure children and families can live together in safe, comfortable homes. These new programs are at minimal cost, but will provide maximum impact in safety, competency, and enhancement of our youth and families' community connectedness.

Sullivan County's continued challenge over the past year has been providing the least-restrictive and effective treatment for delinquent and sex offending youth. Three youth have been in various residential settings across the State with little or no progress – at an extremely high financial cost to the County. These institutional costs caused the County to overspend more than \$155,000 – or 22%. Institutional spending significantly reduced the resources available for in-home families and prevention services. During the next fiscal year, Sullivan County must address: 1) ensuring least-restrictive and most effective treatment for youth; 2) improving the communication and coordination among child welfare, juvenile justice, and the court to secure cost and outcome-effective services to meet the youth's and community's needs; 3) ensuring a true Shared Case Responsibility policy and practice; and 4) continuing to develop effective community and home-based services within the County for abused and/or offending youth. At the end of FY 2009-10, Sullivan County did secure a contract with a community-based treatment provider from a neighboring county that has a solid history of positive outcomes that began serving one delinquent youth in June 2010.

Entering FY 2009-10, Sullivan County is faced with the challenge of continuing to provide quality direct services for its children and families while having one staff vacancy (due to retirement); This accounts for a 33% reduction in casework staff. Given the current depressed economic climate, it is unclear when this county agency will be able to fill this vacancy and return to full force. The County is in the midst of the Marcellus shale gas drilling region and is beginning to feel the impact of the emigration of the gas industry workforce. Sullivan County is challenged to proactively address the stress on limited, available housing and social services that the industry will bring.

In this NBPB submission, Sullivan County presents the County's plan to build on its successes and programs that have proven to be efficacious – such as MultiSystemic Therapy (MST), Independent Living (IL), and Family Group Decision Making (FGDM). The NBPB also presents the County's plan to address challenges by developing evidenced-based programs (such as Functional Family Therapy – FFT), Promising Practices that appear cost-effective (Sullivan Seeds of Hope – SSOH), and services to treat youth in the least-restrictive and in-home setting (BSI's ARRTs program).

2-2a. Collaboration

<b>Entity</b>	<b>County Engagement</b>
<b>County Children and Youth Agency Staff</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; Participate in the NBPB and Public Hearing discussion; Developed IL services submission; Reviewed NBPB and provided comment
<b>Juvenile Probation Staff</b>	Invited to participate in the NBPB and Public Hearing discussion; Invited to participate in all CYS staff meetings and MDT meetings.
<b>Juvenile Court and Family Court Judges and Legal Counsel for Parties</b>	Invited to participate in the NBPB and Public Hearing discussion
<b>Family Members and Youth, especially those who are or who have received services</b>	IL youth provided input during meeting with Director and Coordinators; Invited to participate in NBPB Public Hearing
<b>Child, Parent, and Family Advocates</b>	Members of the ICSP team and invited to participate in the NBPB and Public Hearing discussion
<b>Mental Health and Mental Retardation service system</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion
<b>Drug and Alcohol Service System</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion
<b>Early Intervention System</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion
<b>Local Education System</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion
<b>Community Organizations which provide support and services to children and families</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion
<b>Current Service Providers</b>	Members of the SCCYS Multi-Disciplinary Team (MDT); ICSP team; and invited to participate in the NBPB and Public Hearing discussion

2-2b. Data Collection Details

<b>Resource</b>	<b>Data Collected</b>	<b>Date of Data</b>
US Census	Population; poverty statistics	2008
AFCARS	Child/youth placement	2005-2010
Annie E. Casey, KidsCount Database	Child population, child poverty, education, housing, workforce	2000-2008
Sullivan County CYS Case Log	Family/Child referrals; Case opening; Aging out outcome data	2005-2010
Sullivan County CYS	Family/Child referral & open	2005-2010

CY-28	case statistics; placement statistics; Adoption services	
Hornby Zeller Associates Sullivan County Data Package: Outcome Measures and Performance Indicators	CFSR Data: Placement; Reunification; Permanency; Adoption	4/1/05 – 3/31/10

## 2-3: Meeting Mandates

### 2-3a. PA Rules of Juvenile Court Procedure

- What steps are the county taking to address this mandate?

Sullivan County is in the 44<sup>th</sup> Judicial District, joined with Wyoming County. There is one President Judge serving both counties. The juvenile court utilizes the standardized court documentation (court orders and dependency petitions) and procedures. It is reported that the President Judge designated a person to receive reports on behalf of the court in March 2010.

### 2-3b. Truancy

- What steps are the county taking to address this mandate?

Sullivan County CYS has always accepted all referrals regarding children who are alleged to be truant or failing to attend school in a manner necessary to meet their educational needs. The Agency provides General Protective Services to the child and family whenever necessary to ensure the child is able to attend school and achieve educational success. The County works with the school district personnel through Multi-Disciplinary Team (MDT) meetings, Student Assistance Program (SAP) meetings and regular meetings with school administrators and the Magisterial District Judge. There have been no referrals or magisterial filings regarding habitually truant children and youth during the past fiscal year.

Sullivan County will continue to provide supports to youth who accept Independent Living services so as to enhance youth academic success.

### 2-3c. Quality Assurance Process

- What steps are the county taking to address this mandate?

Sullivan County Children & Youth Services will continue to diligently follow the guidelines for Random Moment Time Study (RMTS), activity code recording, documentation, and Title IV-E claiming as directed by the Department of Public Welfare, Office of Children, Youth, and Families. The SCCYS Fiscal Administrator and SCCYS Administrator, who currently perform Title IV-E eligibility documentation and determinations, will continue to be responsible for ensuring compliance with these guidelines in Title IV-E administrative claims. All RMTS records and documentation are checked by the caseworker, administrative assistant, Fiscal Administrator and Administrator to ensure compliance with DPW directives. If Sullivan County has followed all DPW guidelines, but Administration for Children and Families (ACF) disallows a claim, State Act 148 funds (if available) will be used for the state share of eligible services.

### 2-3d. Fostering Connections

- What steps are the county taking to address this mandate?

Sullivan County has not needed to alter policy or practice so as to comply with Fostering Connections mandates. The County has routinely placed siblings together in the same foster home whenever possible. If it has been established that placing siblings together is not in the children's' best interest, the County clearly documents the assessment and reasoning in the child and family record. The County facilitates regular visitation between the siblings, except in one case where the older sibling victimized the younger sibling, required intensive residential treatment, and treatment professionals for each child assessed that contact was not in the best

interest of the children. Children are always placed in their home school district, except when their placement and treatment needs require a more restrictive setting.

Sullivan County has always sought and notified parents and attempted to identify extended family when children are placed. The County is trained to utilize Accurint so as to search for more relatives and resources at the time a child is at-risk of placement. SCCYS staff send notices at time of placement to all identified familial resources in accordance with current bulletin. SCCYS received a LSI/Paralegal Services grant so as to improve diligent searches and decrease time to permanency, but the grant was declined by the County Commissioners.

Sullivan County has sought training and technical assistance from the Child Welfare Training Program (CWTP- University of Pitt) in development of a standardized and comprehensive transition plan for youth aging out of the system. Sullivan County CW II/Independent Living Coordinator will be working with each youth and their caseworker and/or probation officer to develop an individualized plan.

Sullivan County has had only one Subsidized Permanent Legal Custodianship finalization. That child remains involved in IL services, has regular contact with the SCCYS agency staff, and SCCYS continues to receive educational notices, such as grades and attendance records, each quarter.

Sullivan County will implement standardized educational screening for children served when an educational screening tool is developed and provided to SCCYS.

### 2-3e. Safety Assessment

- What steps are the county taking to address this mandate?

Upon notification of the mandated statewide Safety Assessment Management Process (SAMP), Sullivan County CYS identified two supervisory staff that would function as the County Safety Leads. The Leads were trained in the fall of 2008, developed policy and implementation plan, and assisted in training of the entire child welfare staff in May 2009. Sullivan County began utilizing the new SAMP format on July 1, 2009. The County has fully implemented a case management and database system (purchased from Lycoming County and as indicated in the ICSP) which automated the documentation. The County Safety Leads continue to participate in the statewide All-County Conference calls and Regional Safety Meetings to address issues and to facilitate sharing of insight and tools which might enhance practice. The Safety Leads/Supervisory staff is monitoring quality and compliance with the SAMP practice on an ongoing basis during staff meetings, supervisory conferences, and monthly case file inspection.

### 2-3f. Children & Family Services Act of 2006

- What steps are the county taking to address this mandate?

Sullivan County has always required casework staff to visit their assigned foster children in the foster home or other placement setting at least monthly. Casework staff also visits each juvenile under shared case management with juvenile justice monthly. For juveniles under shared case management who are placed by the court in residential treatment facilities several hours away, there are some months when either the JPO or the caseworker meet with the child and conduct safety assessments. The County has approved one cross-trained CYS/JPO worker to act in both roles and plans to add at least one additional cross-trained and approved worker so as to better utilize personnel and time, while ensuring children are safe in out of home placements.

Sullivan County also currently ensures that children are involved in the development and review of their permanency plans. If the child is developmentally able, they are required to participate in their court permanency reviews. The County also ensures children meet with their Guardian Ad Litem regularly to ensure their needs are addressed during their court permanency reviews. Children and their GAL are encouraged to maintain contact with each other between case reviews and as issues arise.

Sullivan County has always notified foster, pre-adoptive and kinship parents of all court hearings and proceedings involving children in their care. Resource parents are encouraged to be present in the courtroom and are asked to provide input regarding children in their care.

Sullivan County CYS does not currently have a separate disaster plan in place, but Sullivan County is in the process of developing a county disaster plan.

### 2-3g. Development Evaluation & Early Intervention Referral

- What steps are the county taking to address this mandate?

Sullivan County CYS policy and practice is to ensure developmental screening of all children, ages birth to 5, who are referred to the County for child welfare intakes and on-going services. SCCYS staff has worked with Bradford County Human Services/Bradford-Sullivan Early Intervention (EI) staff to ensure that all workers are capable to use the

ASQ/ASQ-E screening tools. The County staff works with parents to either allow SCCYS to conduct the screening and/or to refer to BCHSA EI for assessment and services. SCCYS staff assist the families to make referral for EI services as indicated, to develop a coordinated EI & CYS service plan, and to facilitate securing any additional supports or resources necessary to address the child's needs. SCCYS is also working with the case management database programmer to add ASQ checklist to the County's data base system.

### 2-3h. CFSR Outcomes and Continuous Quality Improvement

- What steps will the county take to develop or enhance a continuous quality improvement process related to the CFSR outcomes and themes?

Sullivan County has demonstrated positive outcomes in regards to safety. The SAMP implementation changes the County's documentation process, but has not had significant impact on the County's compliance with the CFSR goals. The County is continuing to address the CFSR goal of permanency by developing a stronger treatment planning and pre-placement process to better match children and youth in more effective and less-restrictive settings. Sullivan County continues to seek and secure intensive behavioral health services in the community so as to provide more effective alternatives to residential care, especially for juveniles with a history of sexual offense(s). The CFSR goal of well-being will continue to be a focus in Sullivan County as evidenced by the County's goal to increase behavioral health services, timeliness of services, and assessing all intakes and on-going families for unmet needs.

### 2-3i. Shared Case Responsibility

- What steps are the county taking to address this mandate?

Sullivan County CYS has routinely requested Shared Case Responsibility (SCR) in all child and youth cases that have child welfare issues or service needs. The County has one cross-trained CW 2 who also serves as a juvenile probation officer on shared cases. With the release of the new DPW Bulletin #3130-10-01, SCCYS requested input regarding the court and SCJPO's intent regarding SCR policy, procedures and plan for implementation. SCCYS has no further information at this time.

2-3j. The Child Abuse Prevention and Treatment Act (CAPTA) – Guardian Ad Litem training

- What steps are the county taking to address this mandate?

Sullivan County currently contracts with only one Guardian Ad Litem who would not be mandated to attend pre-service training; however, the County would support offering the training opportunity to the primary GAL and any substitute GAL's. When GAL training opportunities are offered in FY 2010-11, Sullivan County will fund the GAL's travel, lodging and registration costs at an estimated total of \$400.

2-3k. Chafee Foster Care Independence Program (CFCIP) - National Youth in Transition Database (NYTD) – Baseline Survey

- What steps are the county taking to prepare to address this mandate?

Sullivan County does not currently have any youth in placement who meet the age-guidelines for the NYTD Baseline Survey. The County currently keeps records regarding all youth being served by Independent Living (IL) services and those who are preparing for transition. SCCYS is adding IL information to the current case management/database system.

2-3l. Emergency and Disaster Planning

- What steps are the county taking to address this mandate?

Sullivan County has not developed a specific child welfare emergency/disaster plan. The County has indicated that child welfare issues will be addressed in the Sullivan County Disaster Response Plan.

2-3m. Time Limited Family Reunification (TLFR)

- What steps are the county taking to address this mandate?

Not applicable. Sullivan County has not requested TLFR funding due to lack of need in this area.

## Section 3: General Indicators

### 3-1: County Information/Background

□ Population and poverty trends

Sullivan County is a very rural, eighth-class county with a population of only 6,124 (US Census, 2008 estimate), the second smallest in Pennsylvania. Children under the age of 18 make up 19% of the population (total of 1,199), down 4 % from previous estimates in the late 1990's. (KidsCount Data Book 2009, Population Reference, U.S. Census Bureau). The County continues to be a recreation and hunting area, consisting of 450 square miles of wooded and farming land, with a large percentage of State Game Lands and Parks. Nearly 52% of the housing units in Sullivan County are classified as "for seasonal, recreational, or occasional use" (U.S. Census Bureau). The Sullivan County School District reported that 65% of the tax base is "vacation homes." But for those who reside in Sullivan County fulltime, many are struggling to make ends meet. In 2006, nearly 21% of the children live in families with incomes below the poverty level. Sullivan County's median income is \$30,279. The special needs of the county correlate with the rural space and the issues that generally are associated with rurality.

A potential change in population may occur as Marcellus Shale natural gas exploration and drilling is beginning in Sullivan County. There has not yet been any significant change in population or CA/N incidents; however, already scarce housing resources may be exhausted. The County is beginning to receive referrals for services/intervention with new families associated with the gas industry.

**SULLIVAN COUNTY: POPULATION AND CHILD POPULATION**

YEAR	POPULATION	POPULATION UNDER 18	FAMILIES	FAMILIES WITH CHILDREN UNDER 18
2008 (est.)	6,124	1,199	N/A	N/A
2000	6,556	1,366	1,754	691
1990	6,104	1,410	N/A	N/A

(Annie E. Casey Foundation, Kids Count Data Book 2006, Population Reference Bureau, U.S. Census Bureau 2000 Census; US Census Bureau, 2008 Estimates)

**SULLIVAN COUNTY: CHILD POVERTY LEVEL**

YEAR	POPULATION UNDER 18 BELOW POVERTY	POPULATION UNDER 18 BELOW 50% POVERTY	POPULATION UNDER 18 BELOW 200% POVERTY	MEDIAN INCOME
SULLIVAN COUNTY 2000	20.8%	11.7%	51.2%	\$30,279
SULLIVAN COUNTY 1990	24.6%	N/A	N/A	\$20,107
PENNSYLVANIA 2000	14.7%	6.9%	34.4%	\$40,106

(Annie E. Casey Foundation, Kids Count Data Book 2006, Population Reference Bureau, U.S. Census Bureau 2000 Census)

A greater percentage of Sullivan County children live in poverty or near poverty than their counterparts in the State as a whole. With the economic decline, even more families are unemployed or underemployed and require TANF funding or emergency grants in aid. There remains a dichotomy between the fairly wealthy and the very poor.

**SULLIVAN COUNTY & PENNSYLVANIA: CHILD RACE**

RACE	SULLIVAN COUNTY PERCENTAGE CHILD POPULATION	PENNSYLVANIA PERCENTAGE CHILD POULATION
Children of One Race	98.4%	97.7%
White Children	90.0%	80.1%
Black or African American Children	5.7%	13.0%
American Indian or Alaska Native Children	1.1%	0.2%
Hispanic	2.6%	5.1%
Asian Children	0.3%	1.9%
Native Hawaiian or Pacific Islander Children	0%	0%
Children of Some Other Race	1.2%	2.5%
Children Who Marked Two or More Races	1.6%	2.3%

(Annie E. Casey, KidsCount – 2009 report using 2000 US Census data)

There is little racial diversity within the child population in Sullivan County. It is believed that the diversity shown in the above table is due to the Red Rock Job Corps Center being located on the outside edge of the County. These youth are shown in the table below in “Children Living in Group Quarters”, which number 132 youth. RRJC participants are rarely reported to SCCYS for child welfare services; However, SCJPO reports there is frequent involvement with juvenile justice.

**SULLIVAN COUNTY & PA: LIVING ARRANGEMENTS**

	SULLIVAN COUNTY NUMBER OF CHILDREN	SULLIVAN COUNTY PERCENTAGE OF CHILDREN	PENNSYLVANIA PERCENTAGE OF CHILDREN
POPULATION UNDER 18	1,366	100%	100%
CHILDREN LIVING IN HOUSEHOLDS	1,234	90.3%	99.4%
CHILDREN LIVING IN GROUP QUARTERS	132	9.7%	0.6%
RENTER OCCUPIED HOUSEHOLDS WITH OWN CHILDREN	136	21.5%	25.7%
GRANDPARENTS LIVING WITH OWN GRANDCHILDREN	122	100%	100%
GRANDPARENTS RESPONSIBLE FOR OWN GRANDCHILDREN	58	47.5%	39.2%

(Annie E. Casey, KidsCount Database 2009, County Profile – based on 2000 US Census)

Sullivan County Children & Youth has worked collaboratively for the last several years with a number of other agencies and community members to address the deficit in early childhood education and quality child care services. The County recently endorsed the Columbia-Sullivan HeadStart program’s grant request in the past year to provide a much needed Pre-K program within the County; however, funds were not forthcoming. SCCYS also facilitated a community meeting to address the deficit in childcare subsidies and licensed childcare facilities to meet the needs of working families with young children. Due to the severe lack of child care and child care subsidies, SCCYS is providing funding for child care services for families in need.

**SULLIVAN COUNTY: CHILDREN ENROLLED IN PRE-K EDUCATION & PERCENT OF CHILD POPULATION, AGE 3-4**

PROGRAM TYPE	2006-07 NUMBER OF CHILDREN	2006-07 PERCENTAGE OF CHILDREN, AGE 3-4	2007-08 NUMBER OF CHILDREN	2007-08 PERCENTAGE OF CHILDREN, AGE 3-4
HEAD START	24	20.3%	24	20.3%
SCHOOL DISTRICT PRE-K	0	0%	0	0%
PRE-K COUNTS	0	0%	0	0%
TOTAL PUBLIC-FUNDED PRE-K	24	20.3%	24	20.3%

(Annie E. Casey KidsCount Database, 2009)

The KidsCount Database reports show that much progress has been made in improving outcomes for pregnant/new mothers and infant health. Apart from the deficit in early childhood education and licensed substitute care, young children in the County appear to have made progress as a whole. However, Sullivan County youth, those in their early teens and nearing adulthood, appear to be the most at-risk. There are few positive activities for youth outside of extracurricular school events. Too many youth are engaged in delinquent acts; drug and alcohol use, and have significant deficits in educational success and life skills.

**SULLIVAN COUNTY: JUVENILE JUSTICE, NUMBER OF YOUTH HAVING A JUVENILE COURT DELINQUENCY DISPOSITION**

AGE GROUP	2003	2004	2005	2006	2007	2008
ALL AGES	26	28	6	14	16	15
AGES 10-11	0	0	1	0	0	0
AGES 12-14	11	5	2	4	7	4
AGES 15-17	13	13	3	10	8	11
AGES 18 +	2	10	0	-	1	0

(Annie E. Casey KidsCount Database, 2009- County Profile)

**SULLIVAN COUNTY: YOUTH AGES 16-19, SCHOOL ENROLLMENT AND EMPLOYMENT STATUS, 2000 CENSUS**

ENROLLMENT AND EMPLOYMENT STATUS	NUMBER OF YOUTH	PERCENTAGE OF YOUTH
POPULATION, AGES 16-19	535	100%
ENROLLED IN SCHOOL	384	71.8%
NOT ENROLLED IN SCHOOL	151	28.2%
NOT ENROLLED BUT EMPLOYED	72	13.5%
EMPLOYED, AND HIGH SCHOOL GRAD OR EQUIVALENT	43	8.0%
EMPLOYED, NO HIGH SCHOOL GRAD OR EQUIVALENT	29	5.4%
NOT ENROLLED AND UNEMPLOYED	79	14.8%
UNEMPLOYED, AND HIGH SCHOOL GRAD OR EQUIVALENT	16	3.0%
UNEMPLOYED, NO HIGH SCHOOL GRAD OR EQUIVALENT	63	11.8%

(Annie E. Casey, KidsCount Census Data On-line, 2009 – County Data, 2000 US Census)

Sullivan County will continue addressing the needs of at-risk older youth by expanding access to Multi-Systemic Therapy (MST); expanding Independent Living (IL) assessments, services, educational enrichment, mentoring and support; working with juvenile justice to ensure all delinquent youth are assessed and offered IL services and support; working with Sullivan Seeds of Hope to provide mentoring and community connectedness for youth; and exploring more options for developing pro-social activities for youth.

- ❑ Issues in annual licensing review and/or the Quality Services Review

Sullivan County has already addressed the Licensing Inspection findings which were in regard to documentation of the supervision provided to workers at least every ten days. SCCYS supervisory staff routinely meet with and provide input to casework staff in regards to progress and activities on each family/child case. Documentation of these findings are being recorded on a standardized form.

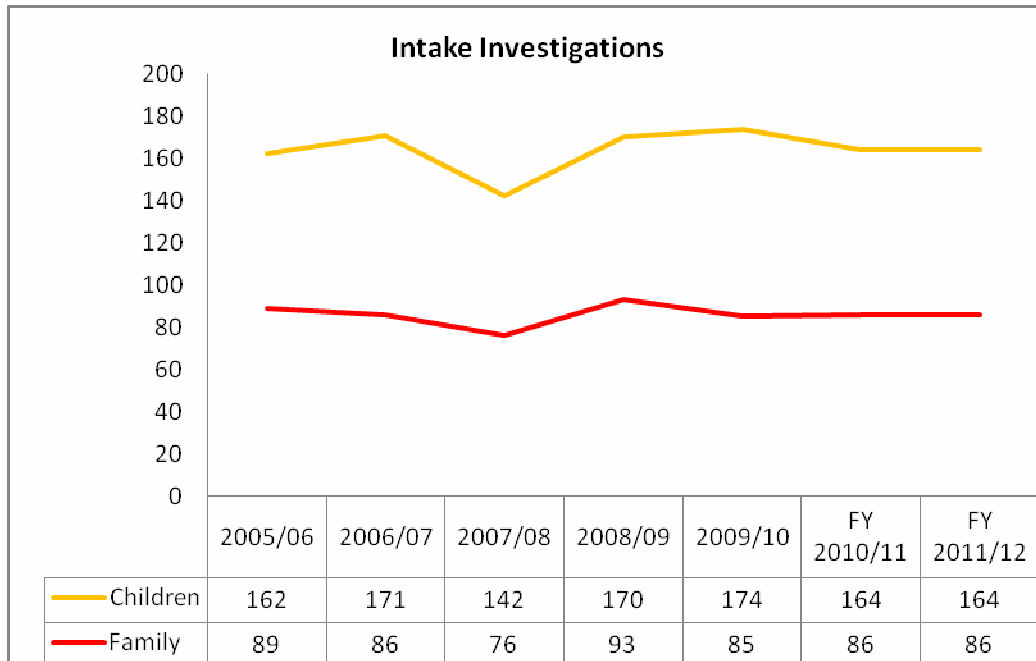
Sullivan County has not participated in a Quality Services Review.

❑ Other Changes or Important Trends

There are no significant trends to report at this time.

### 3-2a. Intake Investigations

Insert the Intake Investigations Chart (Chart 1) and discuss any highlighted trends. Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

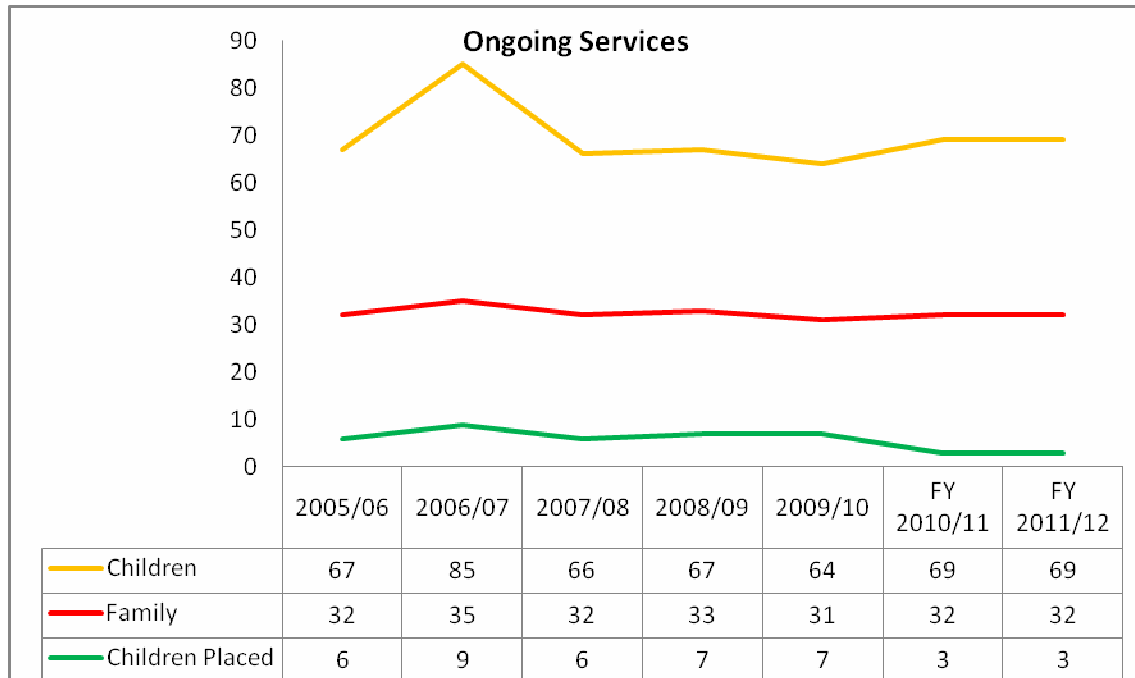


Click to Paste Chart

Sullivan County has received a fairly static number of referrals over the past five years. Sullivan County does not project a significant increase or decline in the next two years. The chart denotes a calculated average number of referrals of family and children. The number of children referred for investigation and services in FY 09-10 reflects approximately 12% of the child population in Sullivan County.

### 3-2a. Ongoing Services

- Insert the Ongoing Services Chart (Chart 2) and discuss any highlighted trends. Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

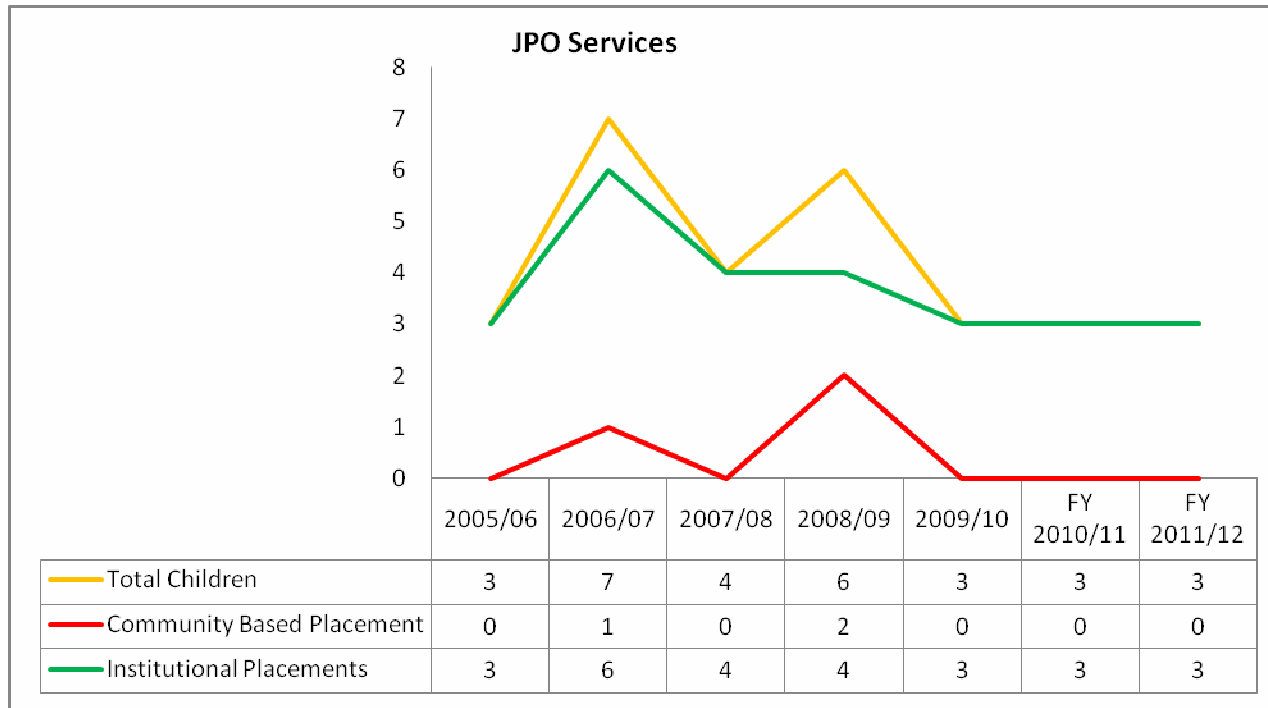


Click to Paste Chart

Sullivan County assessed the need for and provided on-going child welfare services to 36.8% of the children (36.5% of the families) who were referred to the SCCYS agency in FY 2009-10. The number of families and children receiving on-going services continues to be fairly static. Of those with open cases, 10.9% required some out-of-home care in FY 2008-09. Over the past five years, the rate of placement has ranged from 8.9% to 10%. The goal for the next two years is to continue the reduction of placements (and days of care) to 6% or less. The County has evidence that providing more intensive in-home services (such as MST and ARRT/offender program) has been effective in preventing at least four placements and reducing days of care in two other cases so that children can live with their families, safely, in their own homes. The County will be looking to expand use of these services for the juvenile delinquent youth and their families so as to provide alternatives to out-of-home placement while still keeping the community safe and preventing recidivism.

### 3-2a. JPO Services

- Insert the JPO Services Chart (Chart 3) and discuss any highlighted trends. Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.



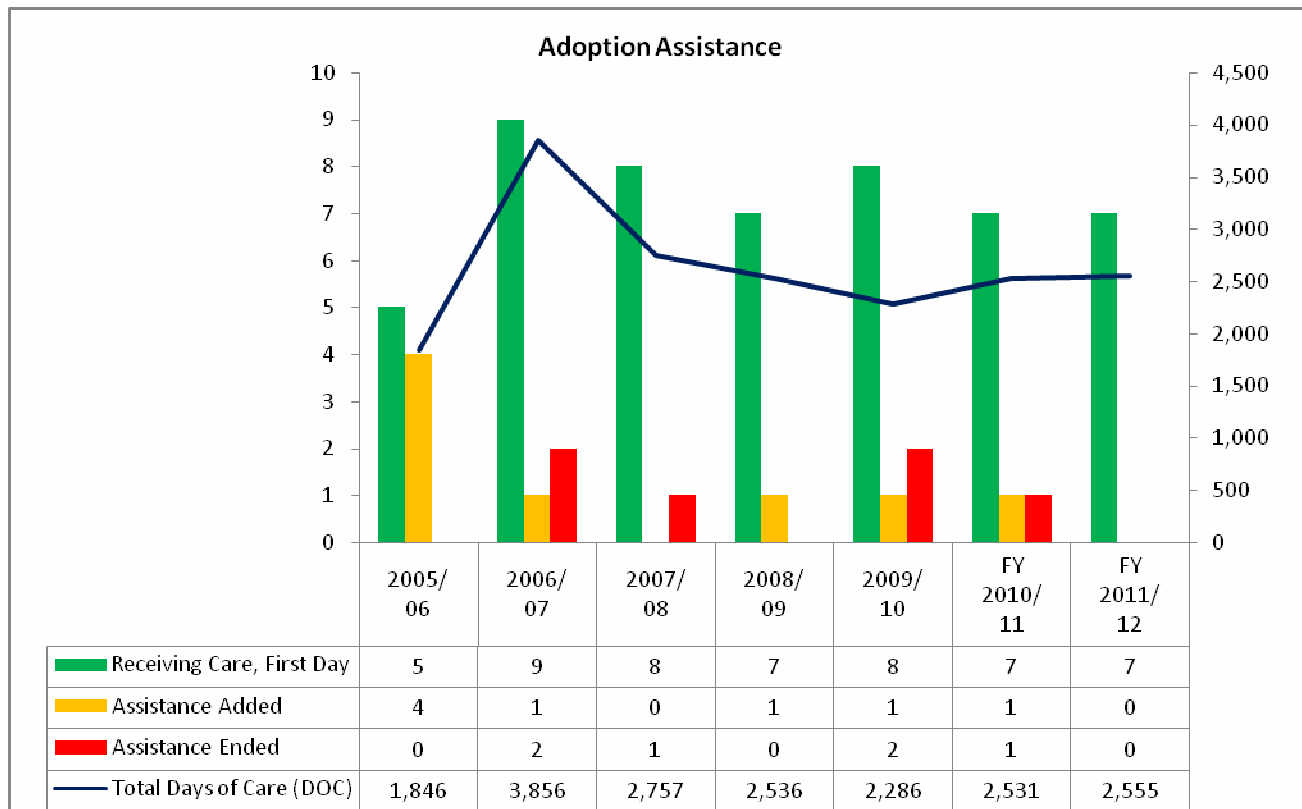
Click to Paste Chart

The number of Sullivan County delinquent youth in NBPB-funded placements has increased significantly over the past five years. The use of institutional placements tripled from FY 2004-05 to FY 2006-07. This trend can be explained, in part, by the incidence of three delinquent youth adjudicated for sexual offenses. Juvenile justice staff stress community protection and there are not currently adequate services within the County for youth to receive intensive sex offender treatment within the home. Although SCCYS and SCJPO disagree, JPO believes that least-restrictive settings are used and in accordance with psychological or psychiatric recommendations. Juvenile justice has utilized more community-based shelter care and treatment options for some delinquent youth. In FY 2008-09, two delinquent youth were placed in County-provided foster family care until adequate services (MST) could be secured to allow the youth to return home.

Sullivan County continues to strive to identify alternatives to institutional care which would meet the youth and family's treatment needs while still meeting the BARJ goals.

**3-2b. Adoption Assistance**

- Insert the Adoption Assistance Chart (Chart 4) and discuss any highlighted trends. Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.



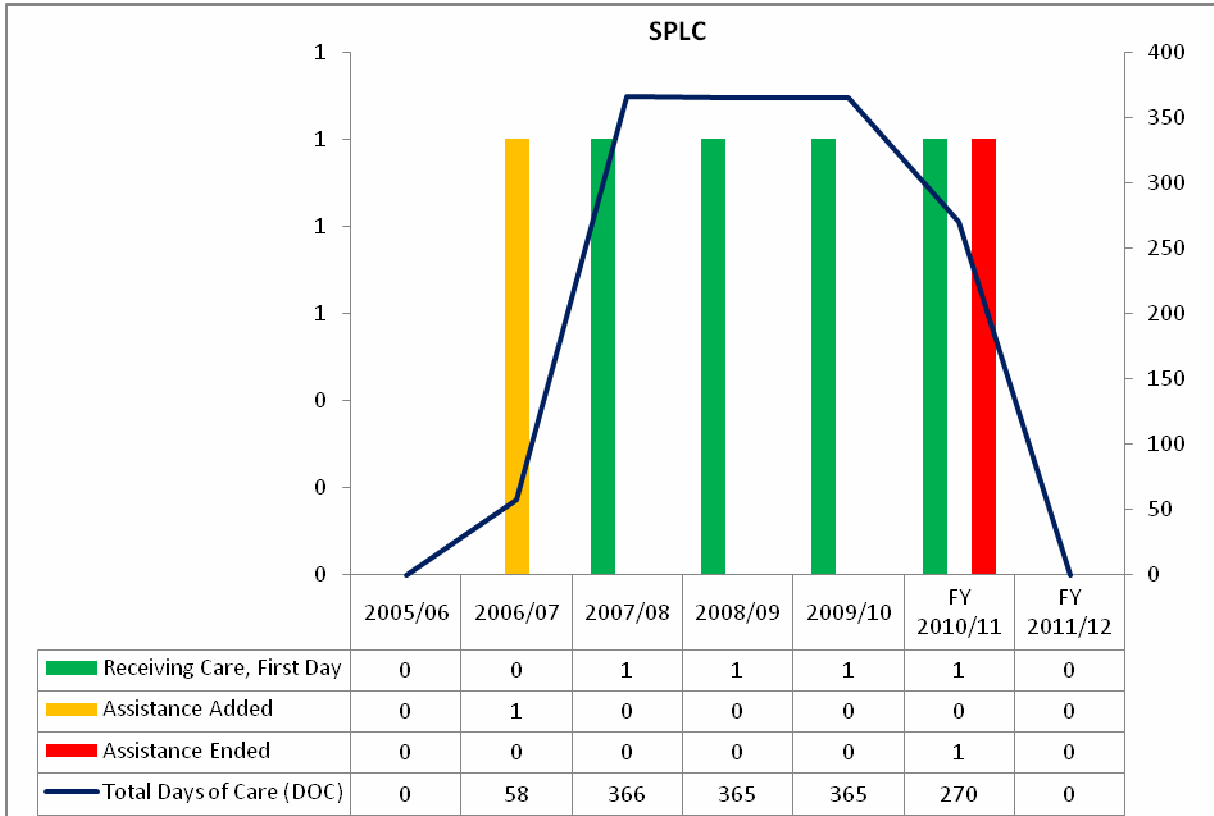
Click to Paste Chart

All adoptions over the past several years were children who qualified for and received Adoption Assistance (AA). Sullivan County has provided Adoption Assistance in each adoption and, as the chart denotes, has had a fairly static level of AA days of care annually provided. However, the cost of AA has significantly increased due to the increased rates of AA agreements (due to increases in foster care per diems and inflation). In FY 2010-11, one AA agreement will end (child reaches age 18) and one may be added. There are currently no children in care who might be adopted in FY 2011-12 (unless one child's Child Specific Recruitment or CSR efforts are still unsuccessful in FY 2010-11).



**3-2c. Subsidized Permanent Legal Custody (SPLC)**

- Insert the SPLC Chart (Chart 5) and discuss any highlighted trends. Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.



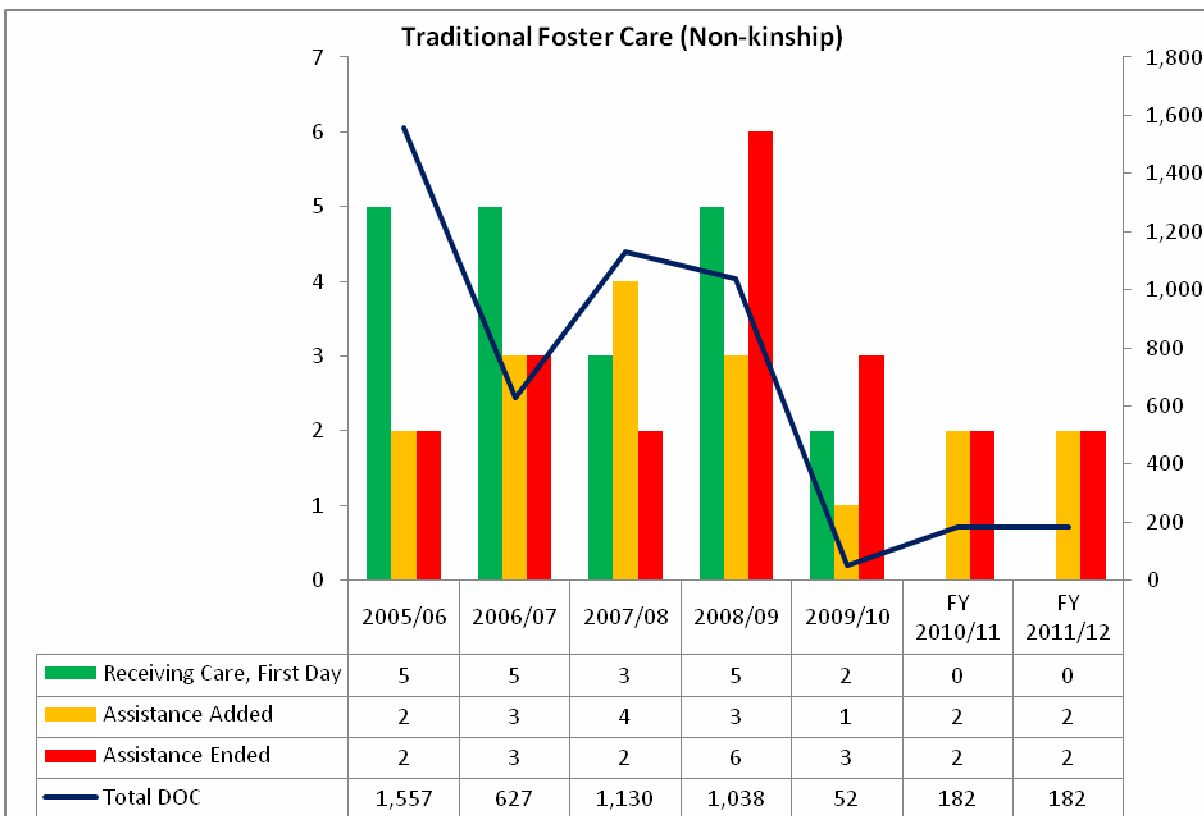
Click to Paste Chart

Sullivan County has one youth who refused adoption, but requested permanent legal custodianship by his Sullivan County foster family in FY 2006-07. The County does not anticipate that any current children will achieve permanency through SPLC as all have viable plans for adoption. The current SPLC agreement will terminate in FY 2010-11 when the child reaches age 18.



**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.



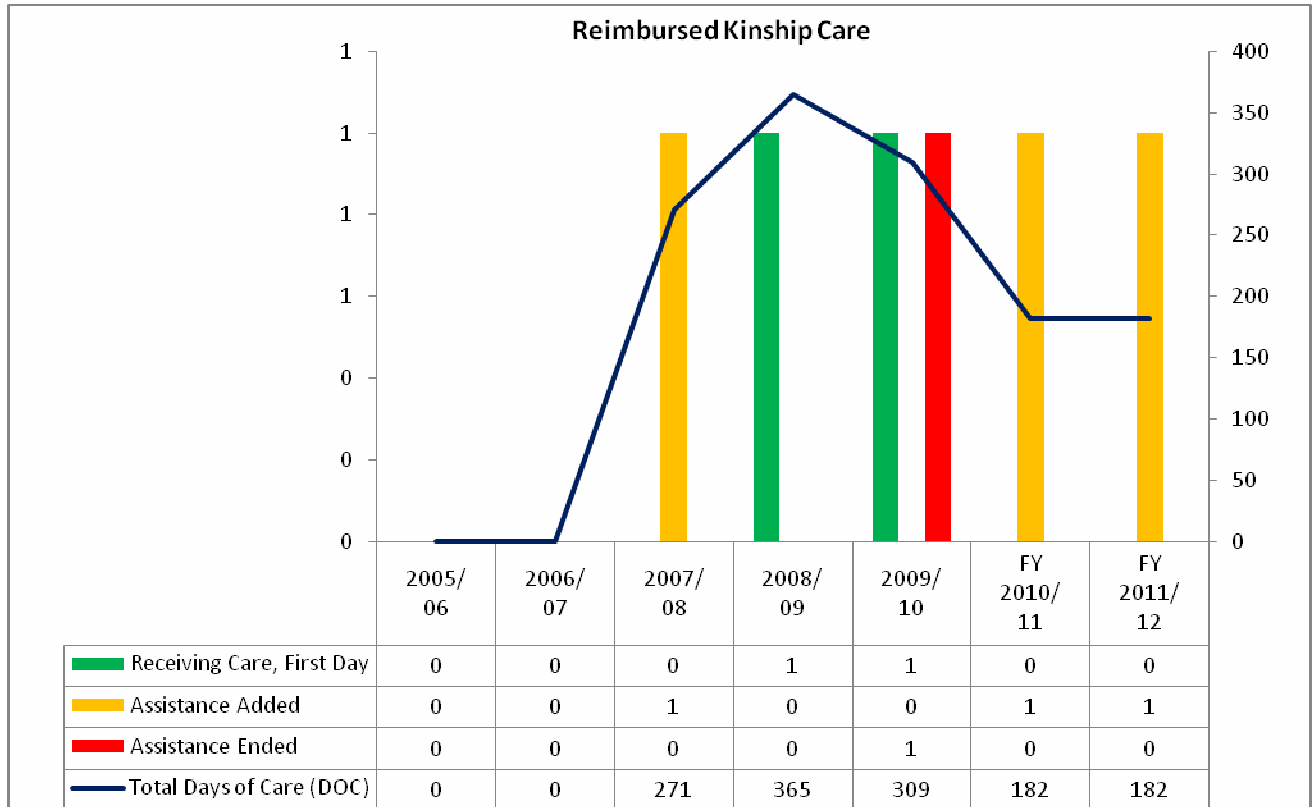
Click to Paste Chart

During FY 2009-10, Sullivan County significantly reduced Days of Care (DOC) in out-of home placement (in every area except delinquent residential care). At the end of the fiscal year, there were no children in placement in foster care as all had been safely reunified with their families or were living successfully on their own. Dependent children in Sullivan County are most often placed in county licensed foster homes until resources and supports can be secured to return the child to their families. The estimate of two placements with a total 182 DOC is conservative, but at this time as the County has vigorously sought and secured intensive, in-home or community services to prevent out-of-home care.



**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

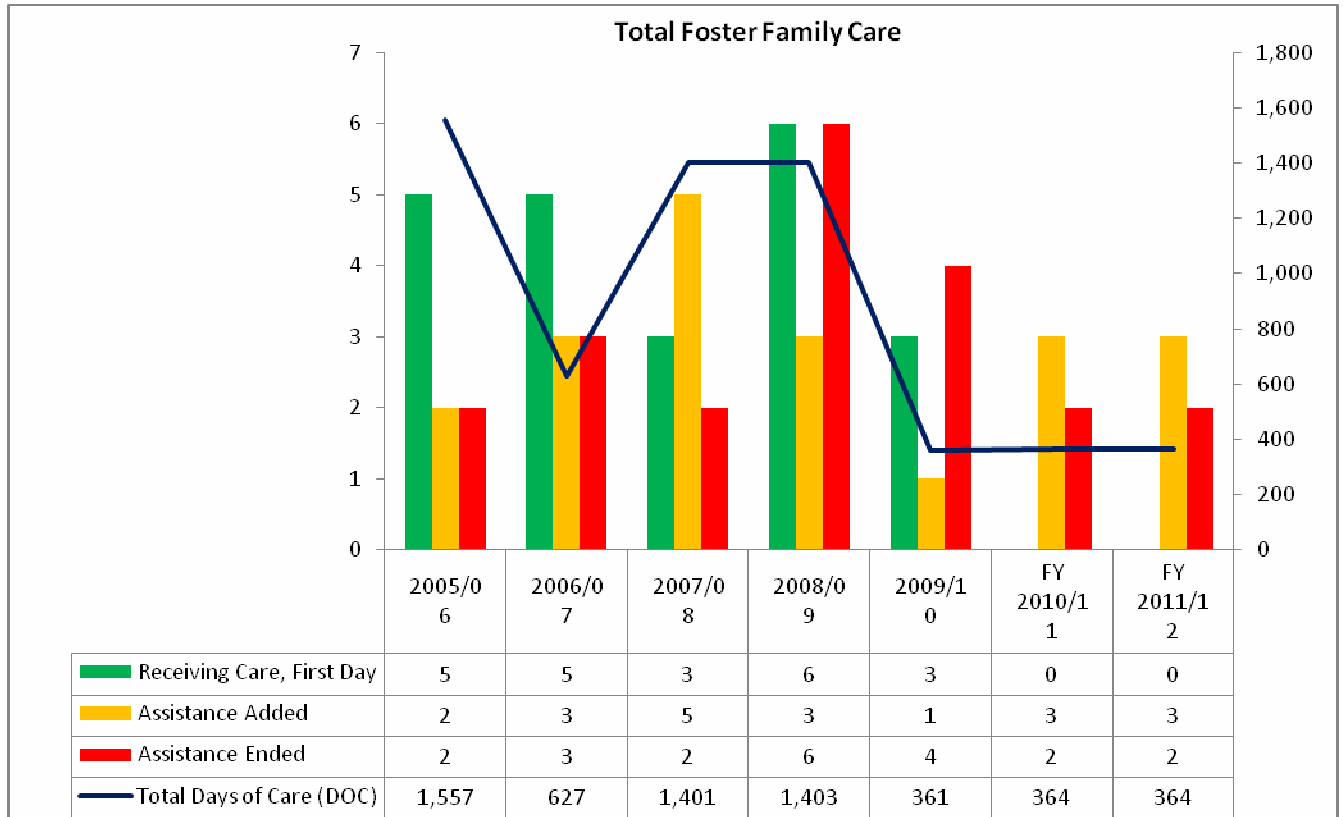


Click to Paste Chart

One child was placed in Reimbursed Kinship Care in FY 2007-08. That child was adopted toward the end of FY 2009-10 by their kinship resource. Sullivan County supports the use of Kinship Care first for children and youth who cannot remain safely in their own homes.

**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

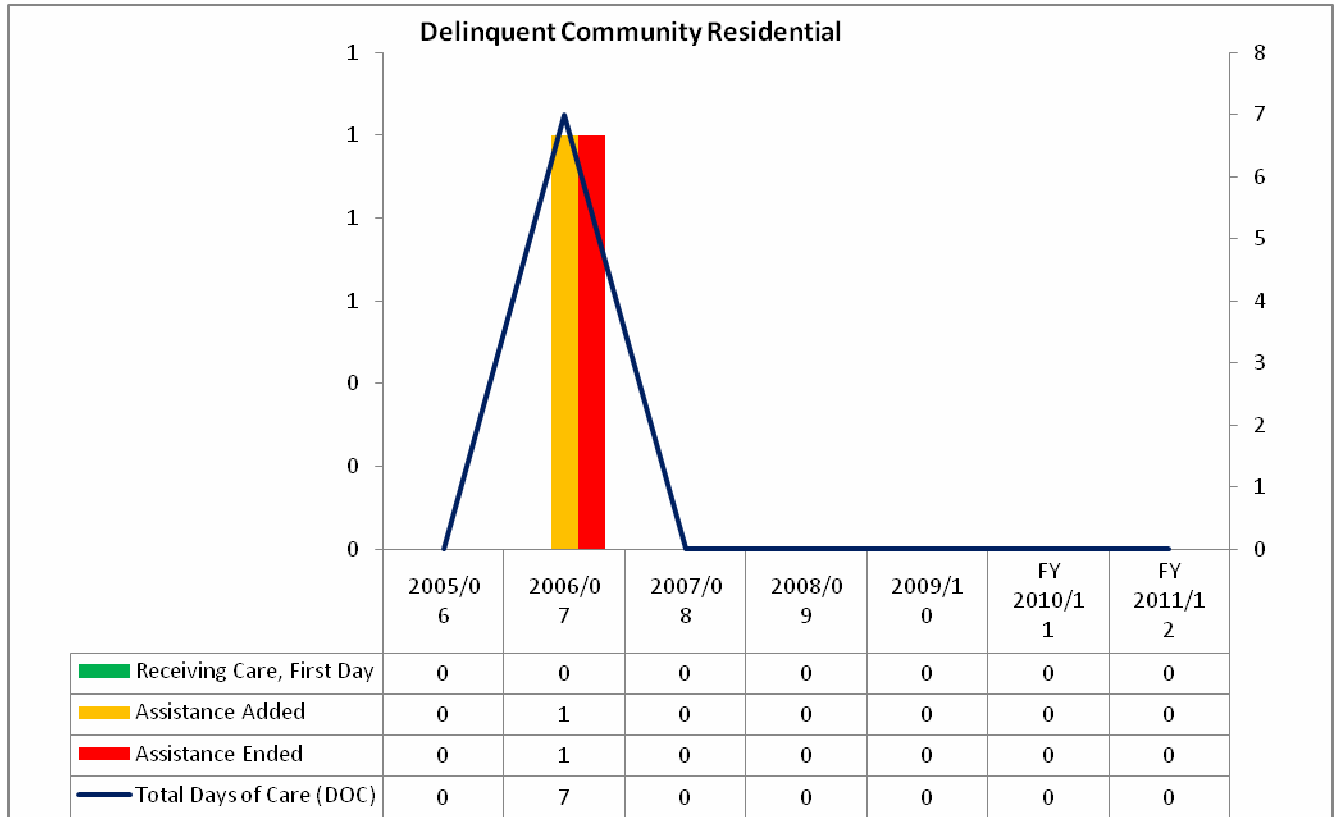


Click to Paste Chart

As stated previously, Sullivan County strongly supports the utilization of the least restrictive setting, such as Kinship Foster Care or Traditional Foster Care (in County-licensed homes) for any children who cannot remain safely in their own homes. Reunification is greatly enhanced through securing resources and services to support the child and family, active and frequent visitation, and the direct services from Sullivan County case workers.

**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

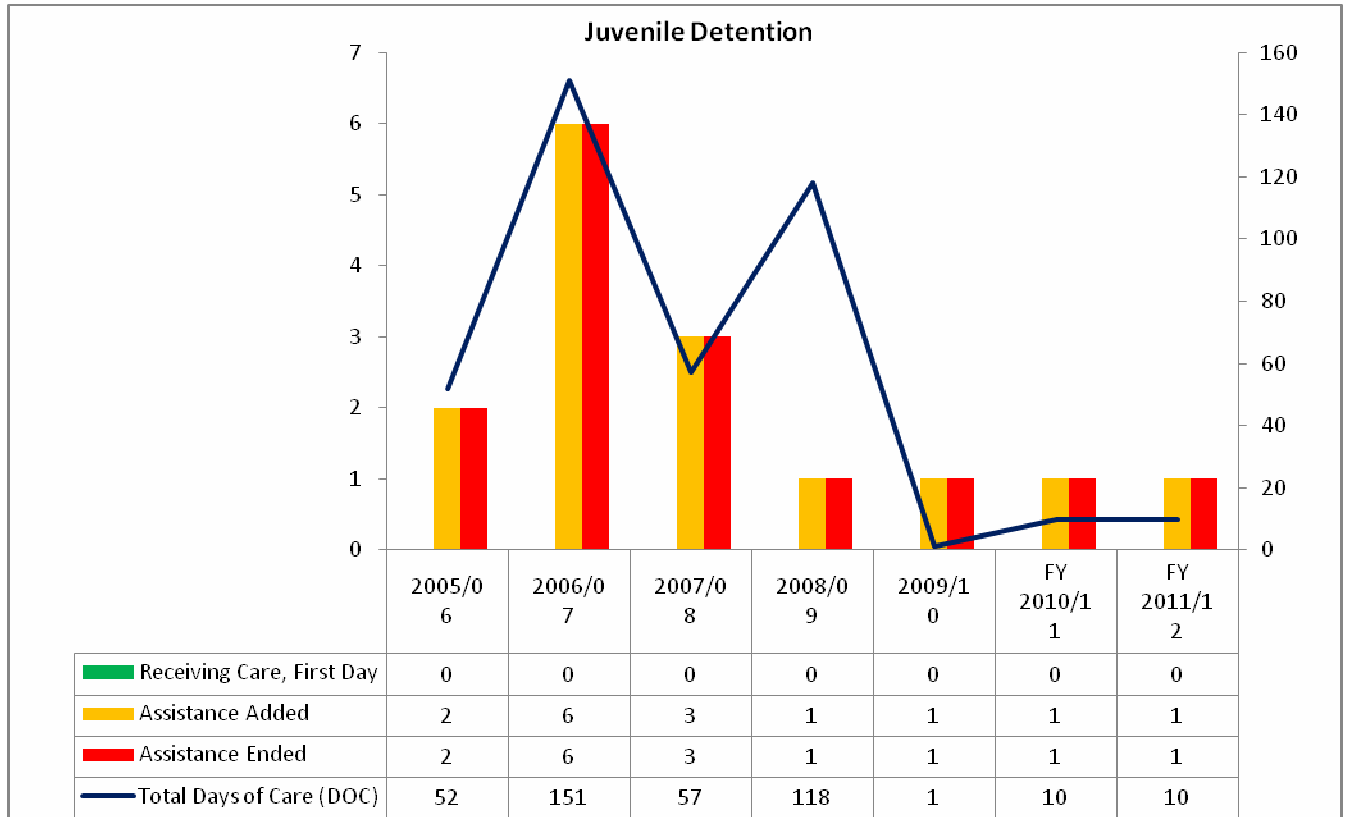


Click to Paste Chart

Sullivan County delinquent youth who are determined to be in need of out-of-home care are rarely placed in Traditional or Kinship Foster Care or Community Residential. All but one of these delinquent youth were placed in Residential Care or Youth Development Center (YDC). Although SCCYS and SCJPO disagree, JPO believes that least-restrictive settings are used and in accordance with psychological or psychiatric recommendations.

**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

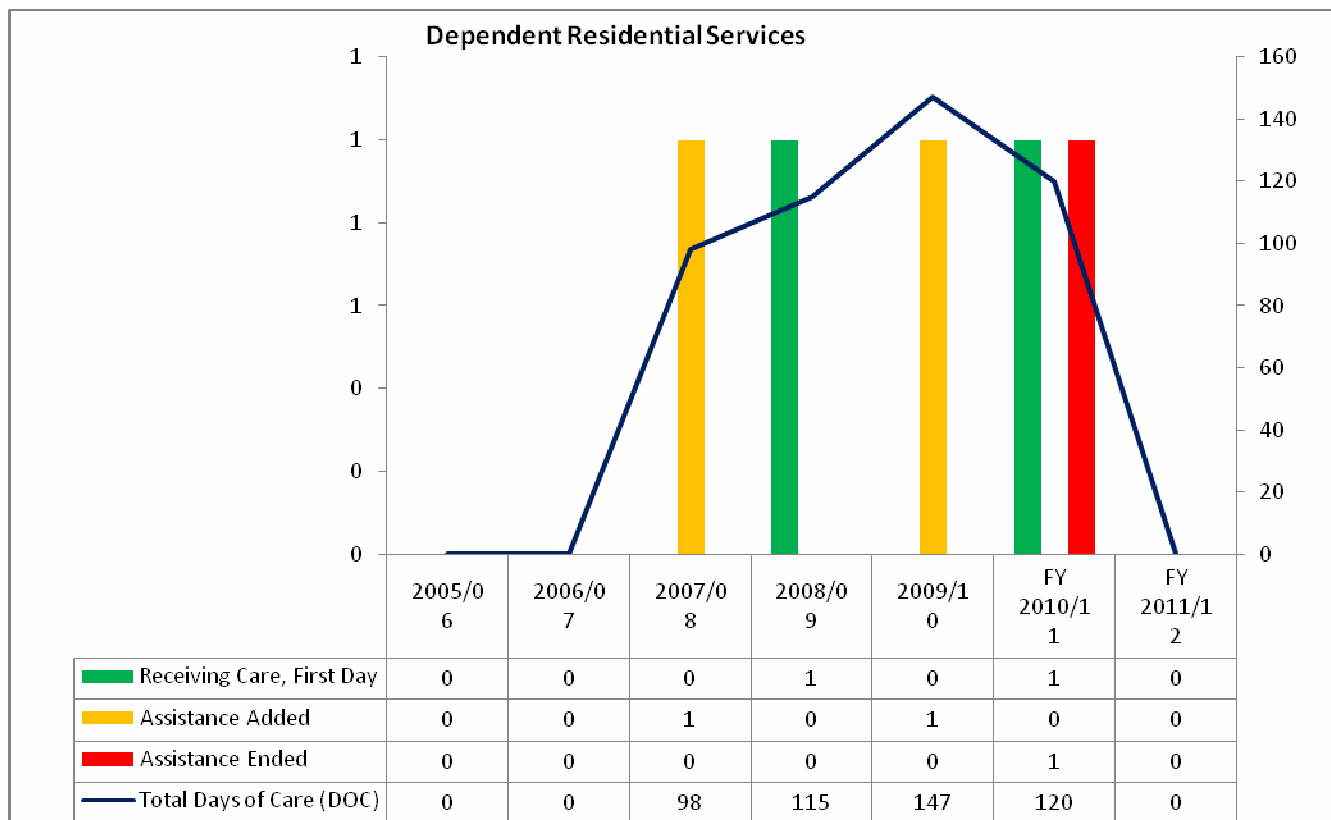


Click to Paste Chart

During the past two fiscal years, the Juvenile Probation Office has greatly reduced the use of Juvenile Detention services. The DOC for FY 2009-10 was one day for one youth. Sullivan County has actively sought and secured intensive services and community-based evaluation services as alternatives to detaining youth.

**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

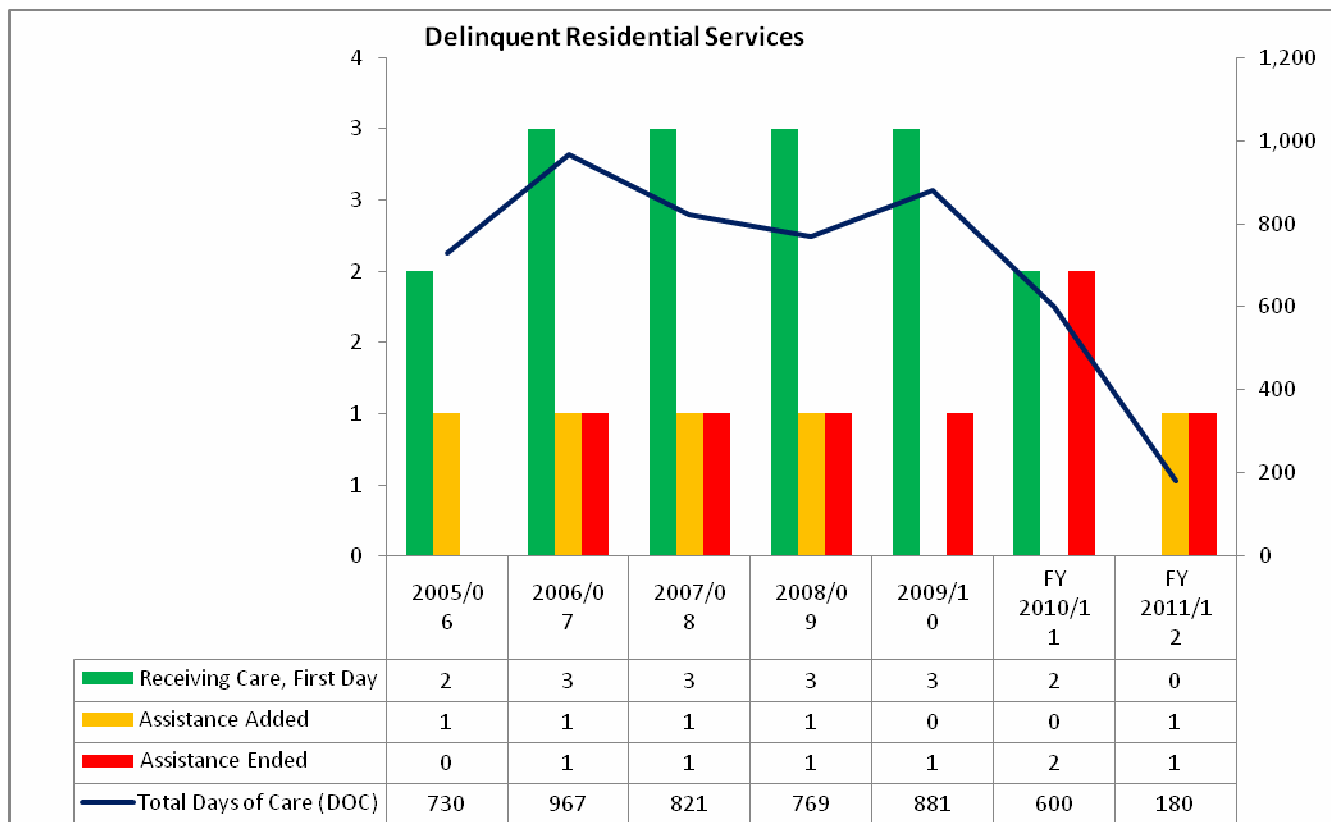


Click to Paste Chart

Sullivan County makes every effort to utilize the least-restrictive setting possible to meet their dependent children’s needs. In FY 2007-08 and into FY 2008-09, one older youth (now living independently) required residential level of care. In the last portion of FY 2009-10, another youth (who had been discharged by another county after 8 years of residential placement when child’s family moved to Sullivan County) was unable to remain in his home once intensive MultiSystemic Therapy (MST) services Sullivan County provided had ended. This youth was recommended to Therapeutic Foster Care (TFC), but there was none available. The youth has finally begun to make progress in his treatment in residential care. The County is attempting to secure supports within the County to facilitate this youth’s transition home.

**3-2d. Out-of-Home Placements: County Selected Indicator**

- Insert charts related to out-of-home placements where trends are highlighted (Charts 6-13), and discuss the trend(s). Describe factors contributing to the trend. Discuss any important trends that may not be highlighted.

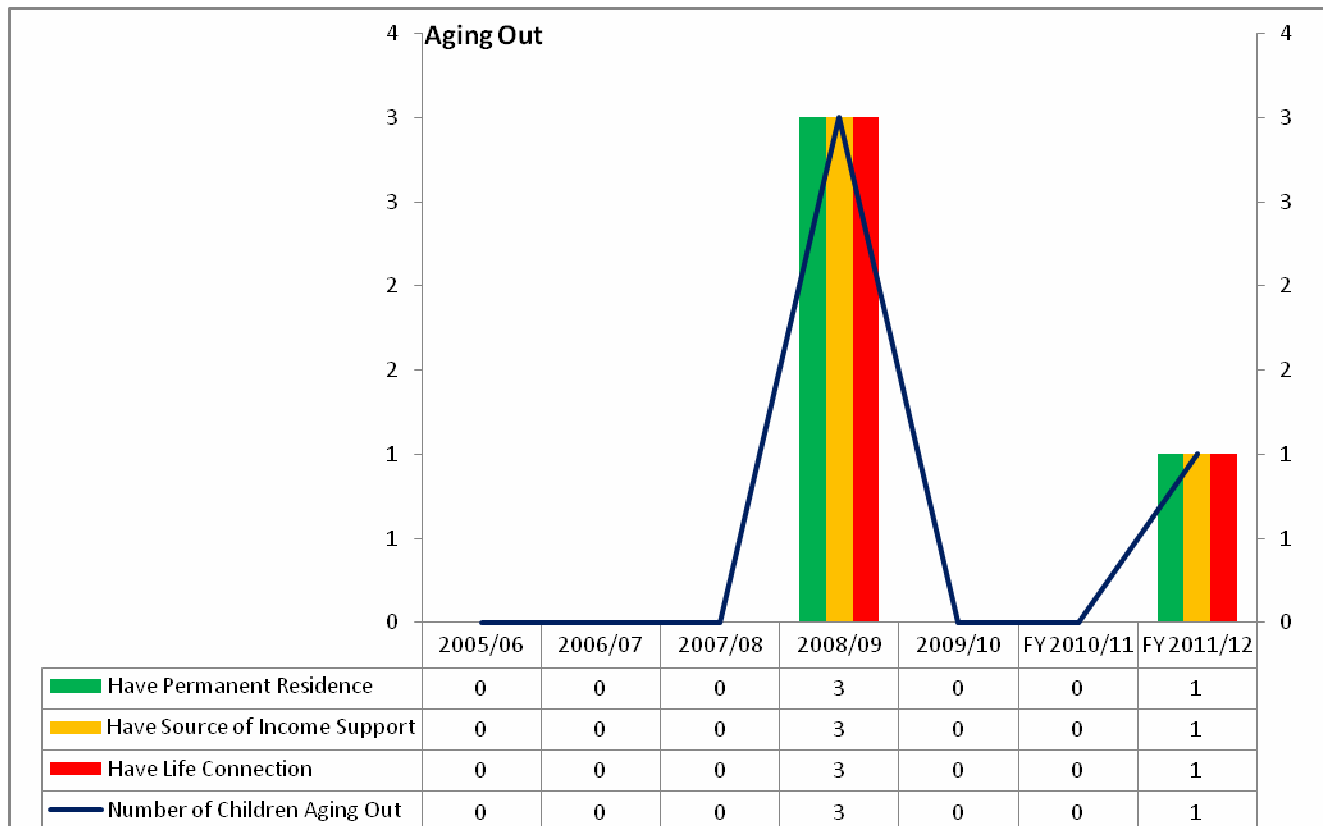


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As noted previously, Sullivan County delinquent youth who are determined to be in need of out-of-home care are rarely placed in Traditional or Kinship Foster Care or Community Residential. All but one of these delinquent youth were placed in Residential Care or Youth Development Center (YDC). Although SCCYS and SCJPO disagree, JPO believes that least-restrictive settings are used and in accordance with psychological or psychiatric recommendations. Sullivan County has secured a contract for intensive, in-home and community based offender treatment (ARRTs) from Lycoming County to serve our County’s youth, to prevent placements, and to ensure residential level of care is only considered as a last resort. The ARRTs program has a much higher success rate than any outcomes the County has witnessed for their youth in residential/institutional treatment. This service addition has already prevented one new offender (and recently adjudicated delinquent youth) from requiring institutional care as he is being successful and remaining safely in the home.

**3-2e. Aging Out**

- Insert the Aging Out Chart (Chart 14). If the county does not have sufficient data to analyze trends, describe how the county plans to track this data in the future.



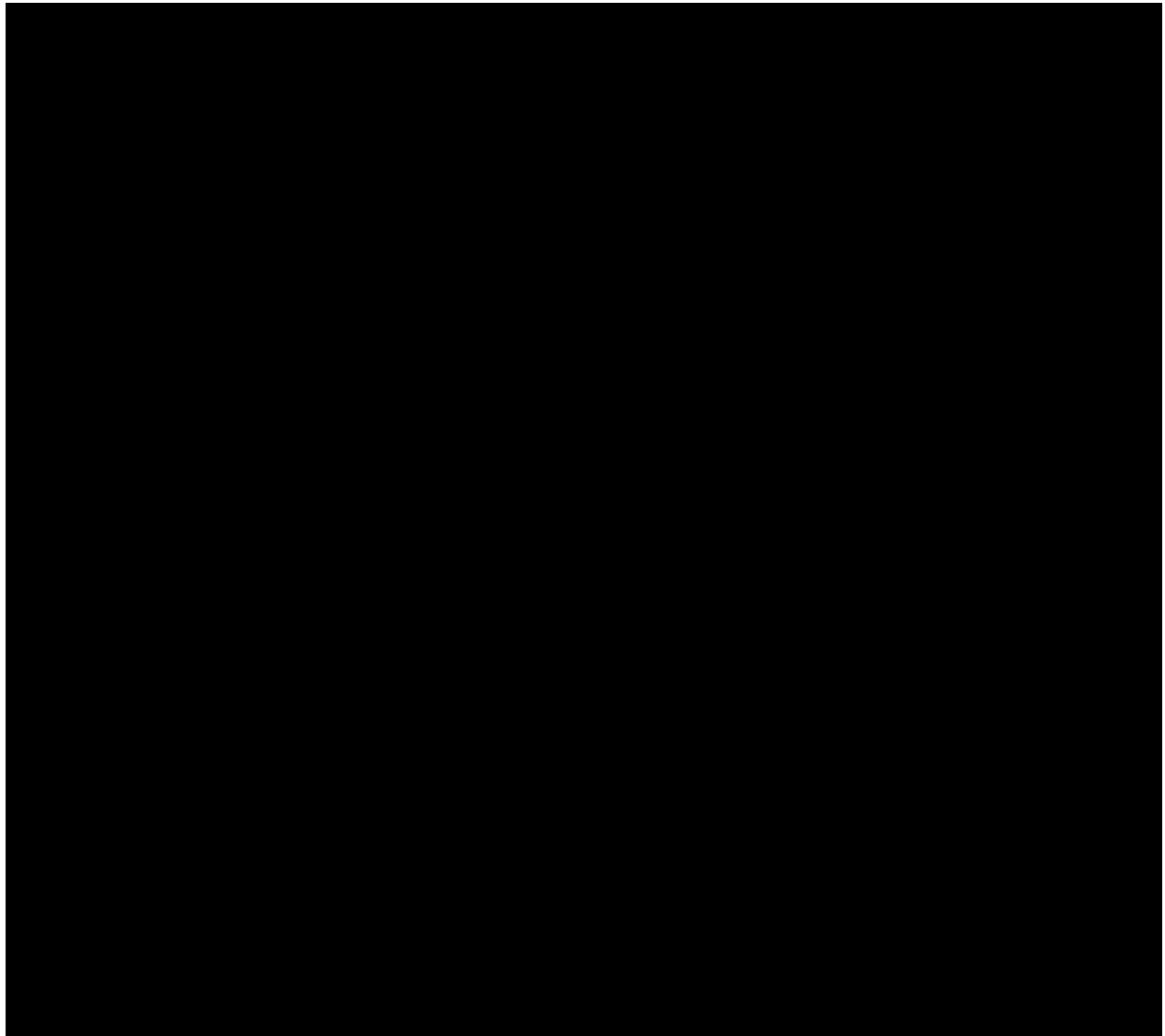
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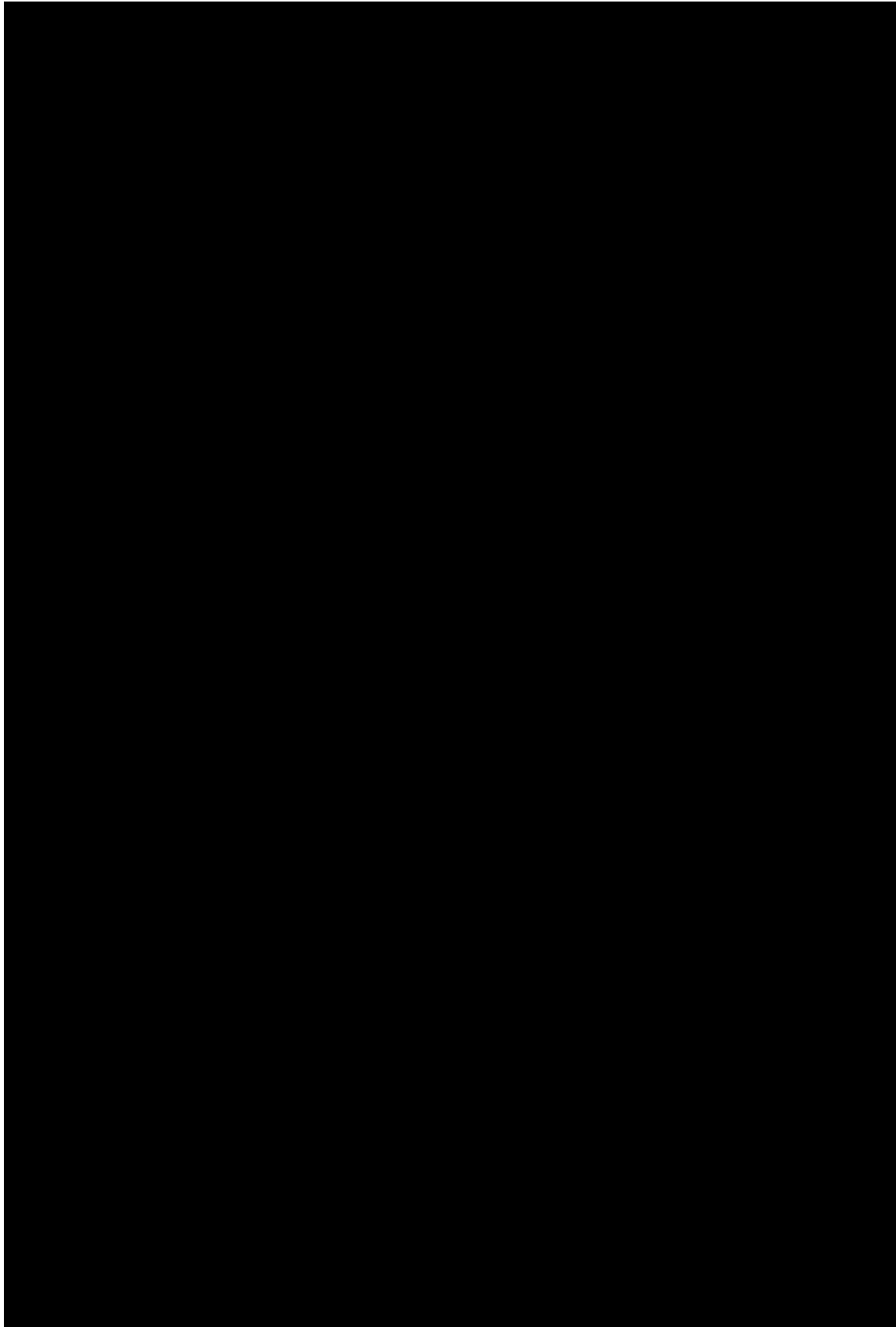
Sullivan County had two dependent and one delinquent youth who “aged out” in FY 2008-09. One of those youth had initially opted to remain in care after turning 18 early in the period; however, he later requested to be released from care. In each dependent case, the County has encouraged the youth to remain in care, to no avail. All of the youth had permanent residences with family, source of support/income, and life connections – although the strength of those assets was questionable. At the end of FY 2008-09 another dependent youth turned 18 and requested to remain in care and to be supported in her own apartment while attending college and working part-time. No Sullivan County youth “aged out” in FY 2009-10 and none are expected in FY 2010-11. One youth, currently in YDC, will technically “age out” in June 2011; however, that youth is being referred to the Act 21 program. A number of youth, including those who were once in care, are continuing to work with the Independent Living program to prepare for successfully living on their own. The IL program and Sullivan Seeds of Hope will be adding a mentoring component in FY 2010-11 so as to increase youth competency and community connectedness.



**3-2f. General Indicators**

- ❑ Insert the complete table from the *General Indicators* tab. No narrative is required in this section.





## Section 4: County Programs & Services

### 4-1: Children/Families not Accepted for Service

- How does the county determine a child/family is not accepted for service?

Sullivan County CYS screens all referrals and inquiries received to determine if further assessment or intervention is required. If the referral alleges an issue regarding child welfare (child abuse, neglect, maltreatment as defined by the CPSL), a full investigation is conducted. If the report is substantiated, the family is accepted for on-going services.

If the referral does not allege child abuse or neglect (does not indicate high risk or a safety concern), but rather could be addressed by another service or resource, the Agency ensures a referral is made accordingly. Sullivan County collaborates with the Multi-Disciplinary Team (MDT) members, other social services agencies and identified county resources to address family needs without the child welfare agency involvement if indicated.

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### 4-2: New/Enhanced Programs

- Briefly explain requests for funding of new programs or enhancements to existing programs. The explanation must include why the county is seeking funding for the new/enhanced program and how it relates to needs identified in the county.

Sullivan County is continuing to build on its successes with four programs and services: MultiSystemic Therapy (MST), Sullivan Seeds of Hope (SSOH), Family Group Decision Making (FGDM), and Independent Living (IL). The County seeks funding support to begin two new services: Functional Family Therapy (FFT) and BSI's ARRTs community-based sex offender treatment.

As stated in this submission and every budget submission over the past decade, Sullivan County has struggled with serious deficits in less-restrictive treatment options within the community. Even "regular" outpatient services are often not available to our families and children. There are few providers of service with which to contract. The County has relied heavily on the efforts of child welfare direct services to provide intensive intervention so as to ensure child safety and to prevent unnecessary out of home care. Sullivan County has strived to develop service options to support our families and is fortunate to have secured MST services. The provision of MST services for just 1 ½ years has ensured 17 youth were getting their behavioral health needs met while keeping them safely in their own homes. Only one of those youth later required out of home care after MST services ended. The formal IL program served 20 youth in its first year and has proven to be highly successful. The youth and the community input demonstrates the need and the desire to continue expansion so as to provide some relief for the deficit of pro-social activities for Sullivan County youth. Sullivan Seeds of Hope (SSOH) continues to build on community input and community connectedness for our youth and families by involving youth and families while helping other children and families repair and improve the safety of their homes. SSOH efforts will also prevent the unnecessary placement of children due to housing or household management conditions. As the gas industry "boom" continues to place pressure on the limited housing resources, it is vital to work with the community to keep families in their current homes, if possible. While Sullivan County was unable to provide FGDM during FY 2009-10 due to the unwillingness to pay an unreasonable cost for such services, providing FGDM services to two families in FY 2008-09 proved to be highly successful. One conference provided a youth who is seeking an adopted family to "come to terms" and to develop his lifelong natural family contacts and supports. The other conference aided a family and their community resources to resolve the family's issues and has never been referred back for child welfare services again.

Sullivan County is attempting to develop contracted, evidenced-based services for Functional Family Therapy from Laurel Youth, a provider in neighboring Tioga and Lycoming Counties, so as to provide a full range of intensive, in-home services to our children and families, complementing the MST services. At the end of FY 2009-10, Sullivan County entered into a contract with BSI/ARRTs in Lycoming County for the provision of community-based and in-home sex offender treatment to prevent one youth from requiring institutional care and evaluation. The youth is successful in this program, the program has 15 years of very positive outcomes, and the youth has remained safely in his home and community. The County plans to develop this contract to provide these services for any future needs.

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All of these programs and activities are expected to have a positive impact on the aforementioned challenges and issues Sullivan County faces. The provision of more in-home and community based services will enhance family functioning, ensure children and youth can remain safely in their own homes, prevent unnecessary institutional placements, enhance youth competency, and enhance community connectedness.

- In the specific budget adjustment forms for new initiatives or services, identify cost savings and reduced rates, and provide evidence that the new program is less expensive or more effective than the current service.

For Functional Family Therapy (FFT), as noted previously due to the deficit in outpatient treatment options, there really is no current service to compare. FFT will complement the provision of MST services to serve a broader range of children and their families. FFT services, like MST, is similar in cost to foster family care but more than \$150/day less than residential treatment. Like MST, FFT is eligible to be funded through the Managed Care Organization (MCO) contract for Medical Assistance (MA) coverage. Sullivan County is advocating with the MCO and provider to develop this service to serve our County's children and to allow them to be eligible for this option. The County is seeking funding approval (which was granted in the original certified allocation) to fund the beginning costs of service until children and the service is approved for MCO/MA funding.

BSI's ARRTs intensive services, as noted previously, allows a youth to remain safely in his home and community while receiving effective treatment to address his behavioral health and offending issues. The cost for these services are \$36/hour or \$2,160/month in the first phase. By the third phase, the cost reduces to \$720/month. The cost for one year of treatment in the community is \$21,600. Without access to these services, youth would be ordered to residential care at a cost of \$73,000 to \$149,285 per year. This is a savings of \$51,400 to \$127,685. The outcomes for the ARRTs service has proven to be significantly more effective than any of the residential facilities in treating offending youth and aiding them in living safely and successfully in their own homes.

- For enhanced programs, describe how the program is effective.

MST is an evidenced-based, highly effective in-home service which has served 17 Sullivan County children and their families in 1 ½ years. As stated previously, MST has been 100% effective during the provision of service in ameliorating mental health and behavioral issues, aiding in child safety, facilitating quicker reunifications, and preventing the removal of children and youth from their homes. Only one youth, with a lengthy history of residential care for more than half his life, has required out-of-home care since the completion of MST services. Children served were more successful in school, home and community. In Sullivan County, MST has been well-received by families, the school, and community providers and has become an integral part of the service array. The implementation of MST services greatly reduced days of foster care and prevented several new placements.

The IL program provided assessment, planning and life skills education and support to 20 youth, ages 14 to 19, in its first year. The expanded program served mandated youth in care as well as those youth in their own homes in the County. The addition of formal IL individual and group services has been well-received by the participating youth and they have asked for more opportunities and increased programming. All but one (the aforementioned youth) have been successful in remaining in their homes and working on building their competencies. There are few pro-social activities for adolescents in Sullivan County apart from extracurricular sports

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offered by the school. IL programs have not only educated our youth in the concrete life skills to live independently, but have offered positive socialization and skill building in the “softer” skills. The enhancement requested for this program will allow the County to provide assessment and life skills education to more youth, encourage all JPO delinquent youth be assessed and offered services, and increase the frequency and amount of programming the County can offer to participating youth. The enhancement will also facilitate adding a mentoring component, working with the newly formed Sullivan Seeds of Hope program, and will enhance the youths’ community connectedness. There currently are no programs or services like what IL offers in the County, therefore IL services would not be replacing another cost – except to reduce the potential for out of home care or more costly interventions.

Sullivan Seeds of Hope (SSOH) formed at the very end of FY 2009-10 by joining forces with a community effort, Neighbors Helping Neighbors. The program is modeled, in part, after programs which have proved highly successful in neighboring counties (Bradford and Tioga). Using volunteers and donations, the service is able to assist families with children to repair and improve their home so that their children can remain safely in their own homes. It is anticipated that like the experience in these other counties, SSOH will prevent the unnecessary removal of children. In Sullivan County, SSOH will also provide an outlet for families to “pay it forward,” by volunteering to help other families; will provide mentoring and skill building for IL youth; will provide an opportunity for youth to engage in service to their community; and will enhance community connectedness. Again, this service will not replace a current service, but will effectively build on existing programs to potentially prevent out of home care and build competency.

FGDM is a service that was provided in Sullivan County in FY 2008-09, but was not able to be provided in FY 2009-10 due to the proposed high cost. The evidenced-based service and practice has proven to be highly effective in all phases of the family case. Sullivan County has since secured FGDM services from a neighboring county (Lycoming-Clinton Joinder) for a reasonable rate. LCJ’s outcomes from FGDM appear to prove the service to be very effective. Sullivan County is requesting a reduction in FGDM funding from what had been certified/approved as it is projected to cost approximately half of what the other provider proposed. The County estimates that the cost for conferences will be less than \$3,000 per conference and 15 families will be referred (12 successfully complete conferences). Providing FGDM services enhances family and child engagement, develops families community connectedness, prevents placement, and reduces the need for child welfare case management and intervention. FGDM should reduce days of care and child welfare direct service costs.

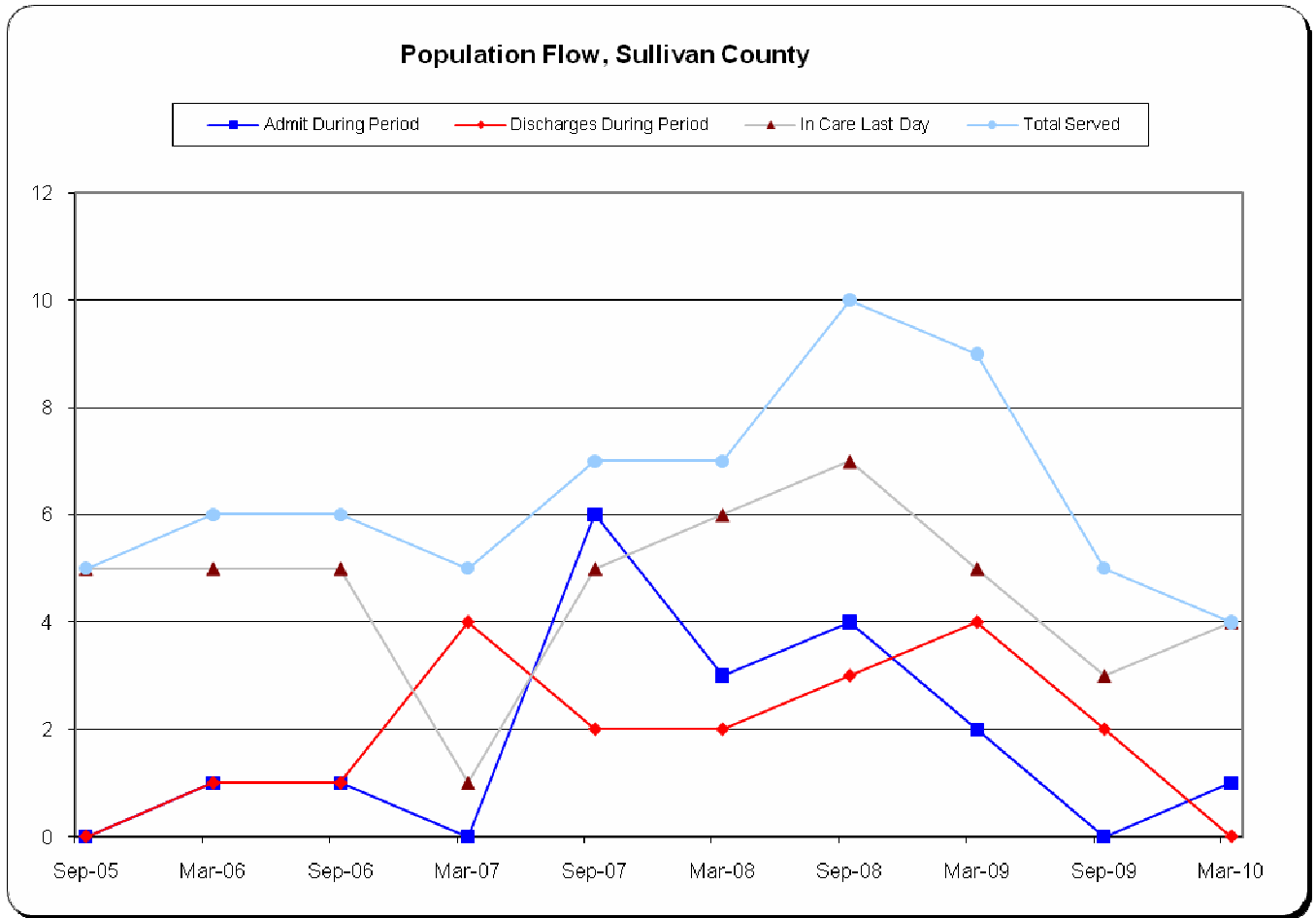
- ❑ For enhanced programs, discuss the expected level of program improvement, and describe how the program will reduce costs or the rate of future cost increases.

Answered above.

**Section 5: Outcome Indicators**

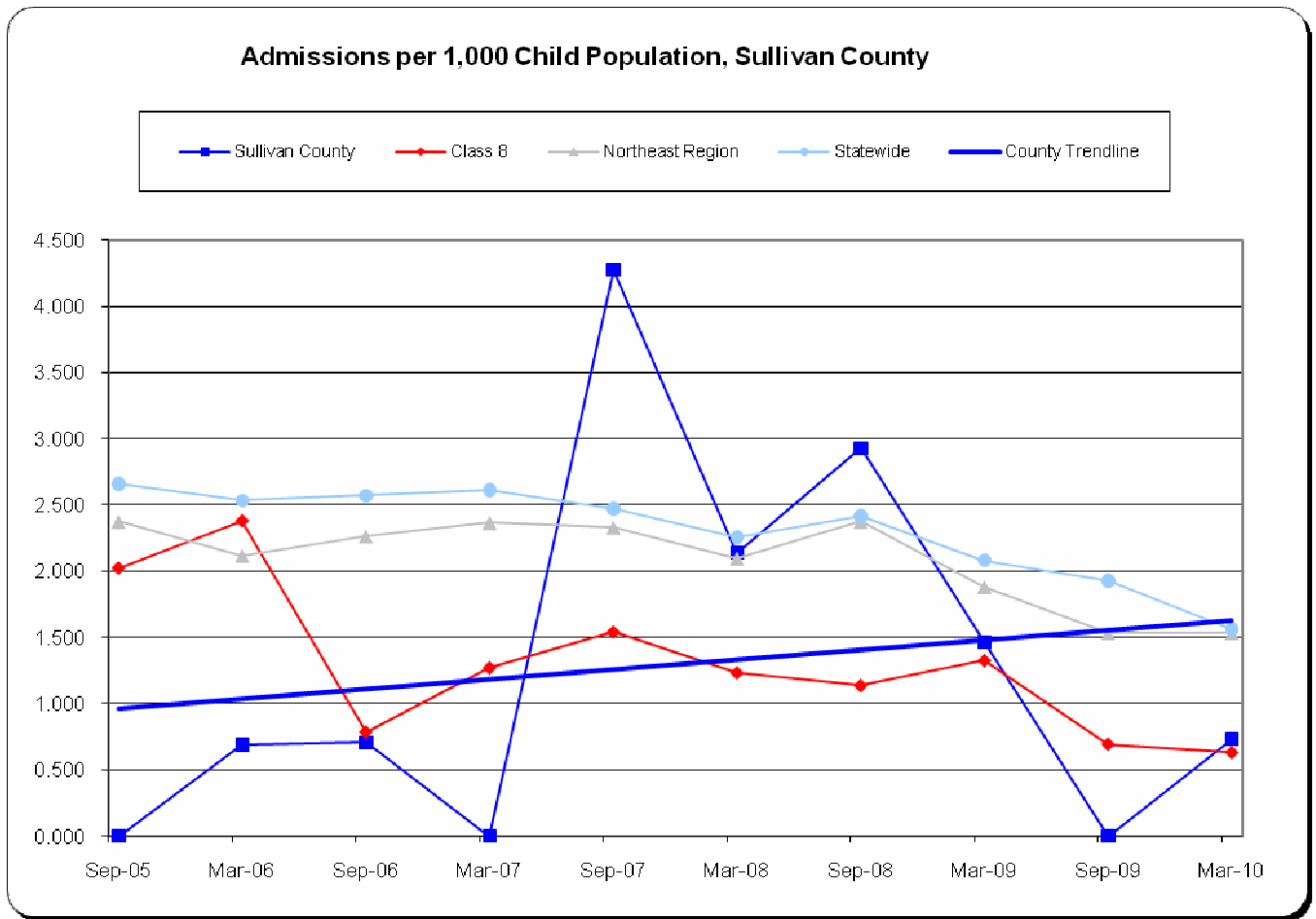
5-1a. Foster Care Population Flow  
(See HZA Data Package)

On the following pages, paste up to three charts from the HZA data. Each chart should be pasted on a separate page.



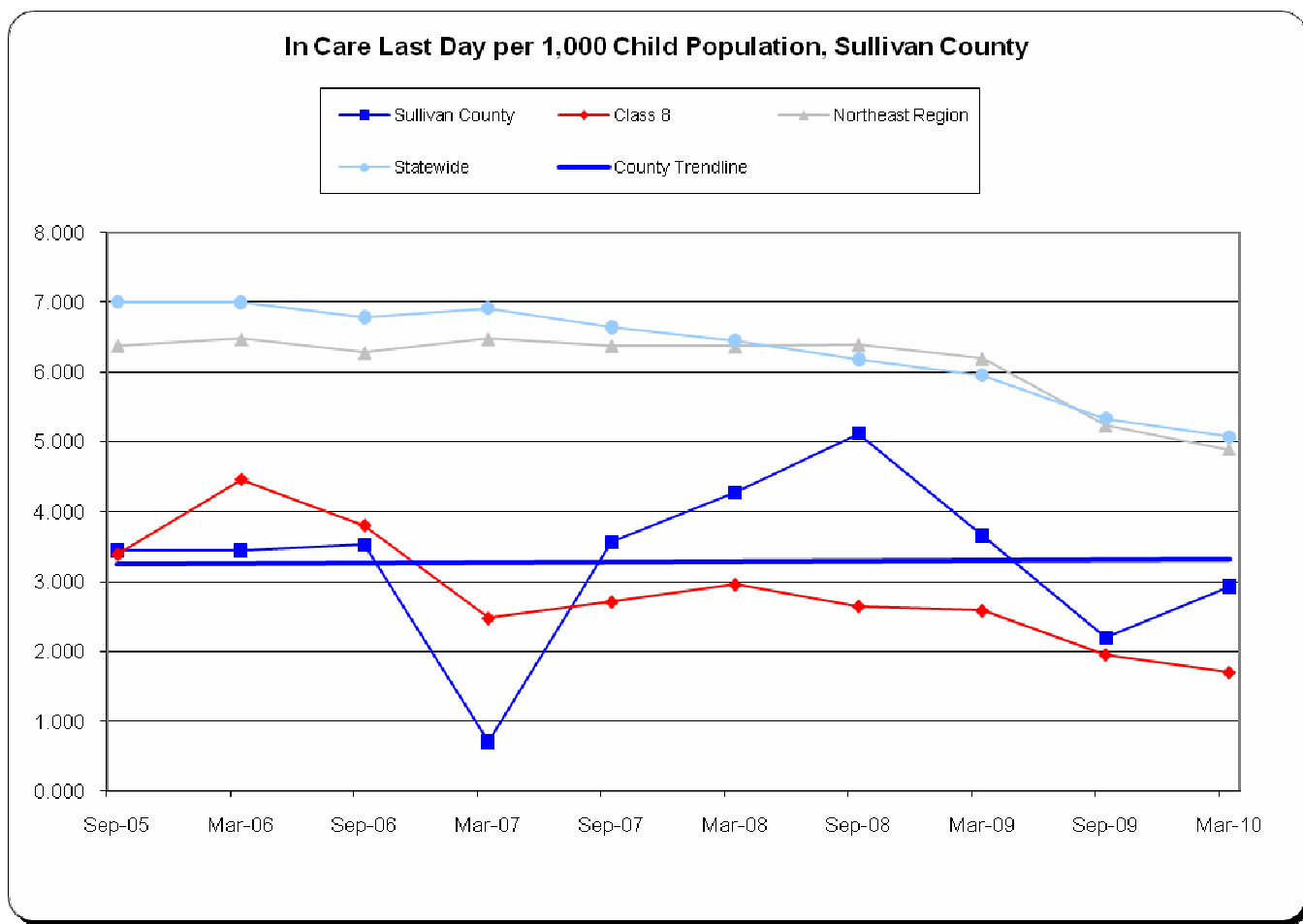
Click to Paste HZA chart

SULLIVAN COUNTY



Click to Paste HZA chart

SULLIVAN COUNTY



Click to Paste HZA chart

- Is the overall trend in the number of children being served or in care in the county different than that in the state as a whole? In counties of the same class?

Sullivan County submits that no “trend” can be determined from such small data. In general, the County appears to be doing “better” than the State as a whole and is very similar to other Class 8 counties. FY 2007-08 showed a slightly higher level of placements and population flow; however, it appears that efforts instituted in FY 2008-09 and continuing into FY 2009-10 (such as MST and ARRT/offender program) have lessened the need for out-of-home care.

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- Please describe what demographic factors, if any, have contributed to changes in the number of children being served or in care.

There are no changes in the number of children being served or children in care which can be attributed to demographic factors. Anecdotally, the only similarity among most of the placements in the last five years (and several years prior) is that the majority were children whose families were not “native” to Sullivan County and had less extended family or family connectedness.

- Please describe what changes in agency priorities or programs, if any, have contributed to changes in the number of children served or in care and/or the rate at which children are discharged from care.

Sullivan County continues to make every effort to identify family resources early in the “case” so as to identify all resources and life connections available to the child and family and to prevent placements in child welfare custody. During the past two years, the Sullivan County staff has received training and increased worker skills in engaging families (strengths-based, solution-focused); utilized FGDM to enhance families ability to develop their own resolution plan and access family and community support; utilized MST to provide intensive services within the home and to build parent skills in meeting youths’ needs; secured ARRT/offender program to provide intensive therapy in the community and avoid unnecessary residential treatment; utilized an expanded MDT process to serve as a case/treatment planning and resource identification process; and used Accurint to identify and locate untapped family/kinship resources when children were at risk of placement. Sullivan County was offered, but declined the Legal Services Initiative(LSI) paralegal services for FY 2010-11.

- Are there any demographic shifts which impact the proportions of children in care (for example, are younger children making up a larger proportion of admissions than in years past)?

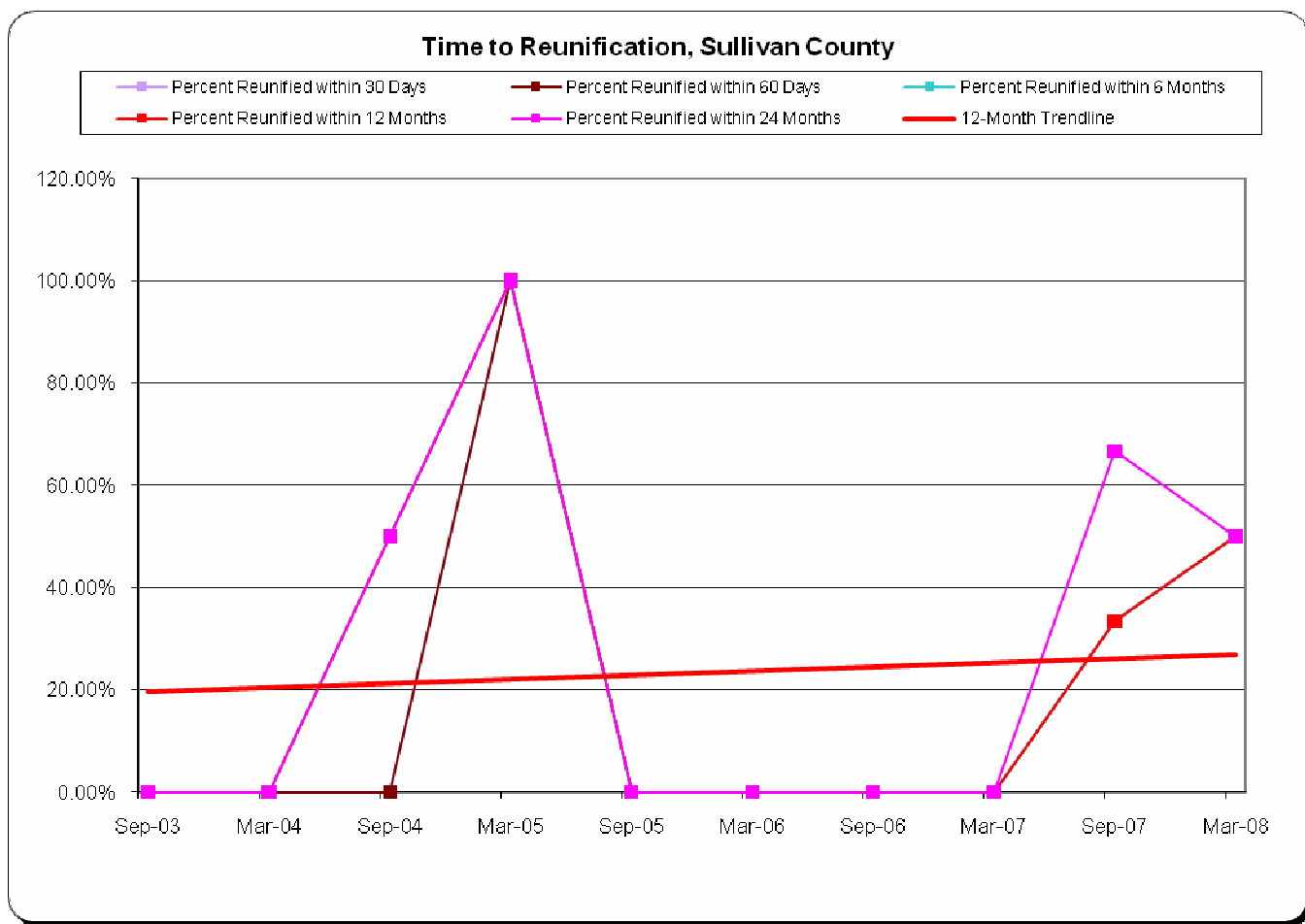
The majority of the children coming into care over the past five years are older youth or in their early teens. The County is identifying and securing resources, services and supports to meet the needs of older children (such as Independent Living, MST, and mentoring).

- How has the county adjusted staff ratios and/or resource allocations (both financial and staffing, including vacancies, hiring, turnover, etc.) in response to a change in the foster care population? Is the county’s current resource allocation appropriate to address projected needs?

Sullivan County currently has one caseworker vacancy. The County Commissioners have indicated they will not fill that vacancy for at least the first half of FY 2010-11 due to County financial constraints. The County’s decision to continue a vacancy and to decline LSI paralegal services may have a detrimental effect on SCCYS effectiveness in reducing placement and days of care.

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5-1b. Reunification Survival Analysis  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county’s performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

Given such a small population, it is difficult to assess “trends.” For instance, at most reporting period points, Sullivan County is either at 100% or 0% -- based solely on one child. However, the “12-Month Trendline” appears to indicate an increase in timely reunification. It must be noted that this figure is significantly skewed by a couple of youth who have required on-going RTF-level care and have little hope for reunification

- If there are fewer reunifications within 12 months of the child’s removal, what is happening to those children? Are they returning home later or eventually being discharged in some other way?

As noted previously, the trend data in reunification is skewed by dually adjudicated youth who are court-ordered to sex-offender treatment. At least two of those youth have little hope for

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reunification unless they complete residential offender treatment requirements. The children who have entered care as solely dependent have been reunified within 12 months with the exception of one child who was in kinship care until the adoption was finalized late in FY 2009-10.

- Are children being reunified more quickly, or more slowly, than in past years? Does the timeliness of the reunifications reflect the changing needs of families in the county? Among children reunified in less than 30 days, were the services provided sufficiently to alleviate the concerns that led to the child's removal? What services could have prevented removal of children who were reunified within 30 days?

Most children who require placement are returned within 12 months. Those that remain in care after 12 months usually receive a goal change to adoption as all services provided to the family failed to ameliorate the issues which precipitated the placement. In those cases, parents have not actively engaged in interventions despite all intensive services and attempts to engage them in planning. Again, some youth have required residential treatment for sex offenses and neither they nor their families have resolved the issues which required placement or progressed to safe reunification.

Sullivan County offers all available intensive services, intensive case management, active visitation, and therapeutic services to address child and family issues which necessitate placement. Those children who are reunified within 30 days of placement are children and youth who are placed by Voluntary Placement Agreements (VPA) only after every non-placement option has been offered or explored. Families are strongly encouraged and supported in identifying solutions other than placement when safety issues are present. One placement in FY 2009-10 was a child who remained in care only a few hours until a relative caregiver could arrive in Sullivan County to collect the child.

Sullivan County has lacked intensive in-home services within the County to address sexual offending and/or intrafamilial child sexual abuse. At the end of FY 2009-10, SCCYS was able to secure treatment services from ARRTs (from BSI in Lycoming County) to address this need and allow a youth to remain safely in his home and community. The County lacks sufficient or quality treatment resources to address parent and child crises or behavioral health needs. Sullivan County has addressed some of these deficits by contracting for MST services and seeking support from the MH administrative joinder to secure additional treatment options and to provide greater quality and increased access to behavioral health services. The County has also met with an FFT provider and has secured a new FGDM provider so as to address some of the family needs to prevent placement.

- How does the county's data compare to other counties of the same county class size? To the statewide data?

Sullivan County's reunification data as reported by HZA is based on very few "first time entries" during the five-year reporting period. Overall, Sullivan County appears to be performing similarly to other eighth-class counties and often better than the eighth-class counties as a whole. In comparison with the statewide data, the County performs significantly better.

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- If the county's performance exceeds comparable county and/or statewide performance, what policies or practices does the county believe have contributed to this result? What actions is the county taking to maintain or improve its performance?

*Or*

- If the county's performance lags behind comparable county and/or statewide performance, what factors does the county believe have contributed to this result? What actions is the county taking to improve its performance?

Once again, the data is from such a small population, one cannot determine a "trend" in outcomes of performance. If there is any contributing factor to Sullivan County's overall positive performance outcomes, it would be the small caseloads and County policy to make intensive efforts to assist families in resolving issues which necessitated placement and to access all resources required to ameliorate the concern. Staff work closely with families and attempt to engage them in resource identification, improving parenting and daily living skills, engage them in case planning, and assist in accessing needed services.

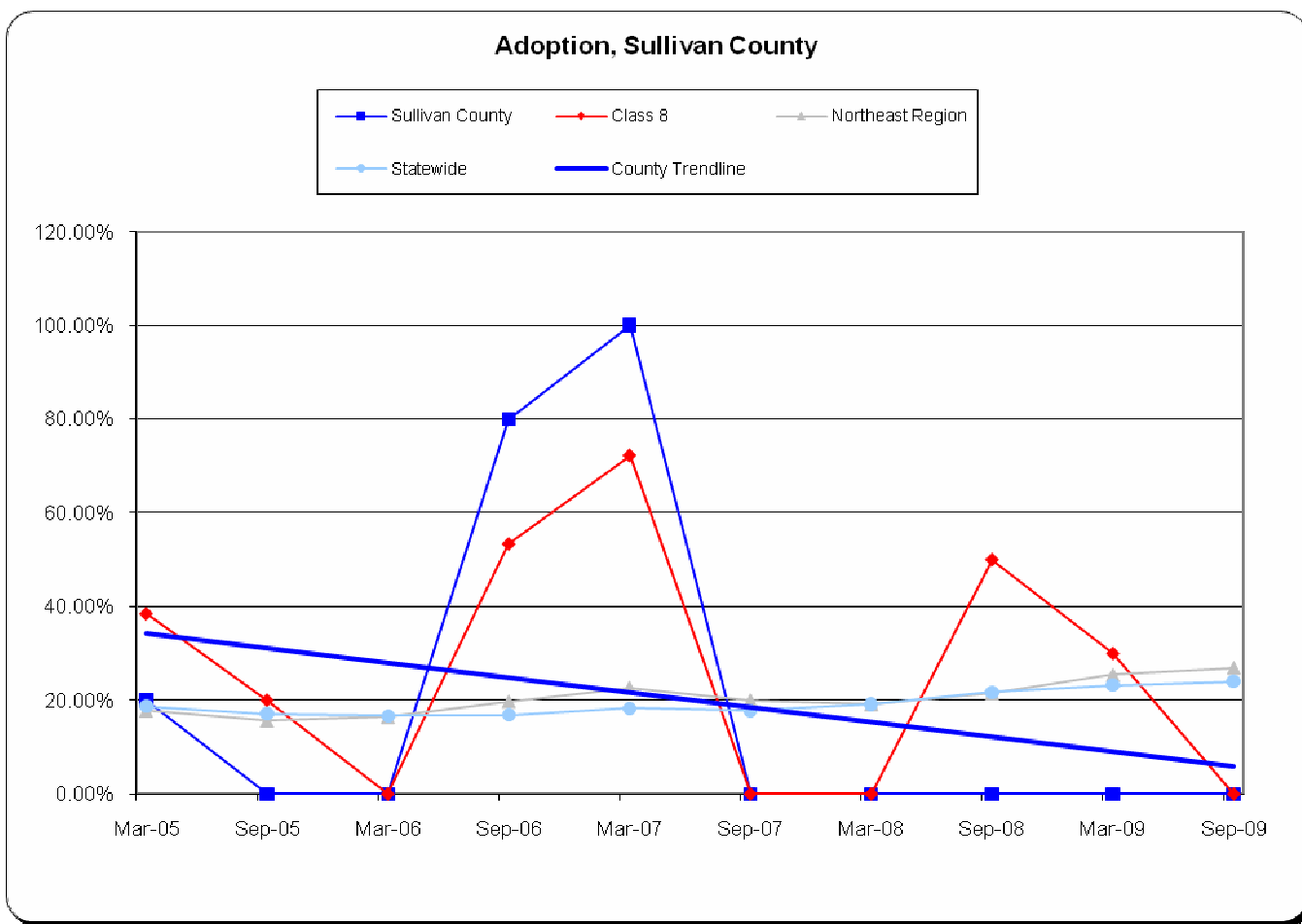
- Are there certain populations which are disproportionately represented in this measure? What actions is the county taking to address that population's needs?

There are no specific populations disproportionately represented in this measure. Sullivan County has determined that more in-home services, specialized treatment services, and services for older youth (ages 12 to 18) would improve both placement prevention of older children and earlier reunification. The County is attempting to secure more services and better access to timely services by contracting for MST and ARRT, advocating for more and better quality behavioral health services, and developing improved team treatment planning for all youth.



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5-1c. Adoption Rate, 17 Months  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county's performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

The "trend line" in adoption performance appears to be declining over time. This "trend" is invalid in that it is skewed by the small population (one to a few children). In nearly each measurement period, Sullivan County is either at 0% or 100%. The County has had relatively few children who were unable to be reunified successfully and required adoption as their permanency goal. The County appears to be similar to other eighth-class counties.

- Do current policies of the agency or courts serve affect the timeliness of adoptions? Do the delays tend to occur between removal and TPR, between TPR and pre-adoptive placement, or between pre-adoptive placement and finalization?

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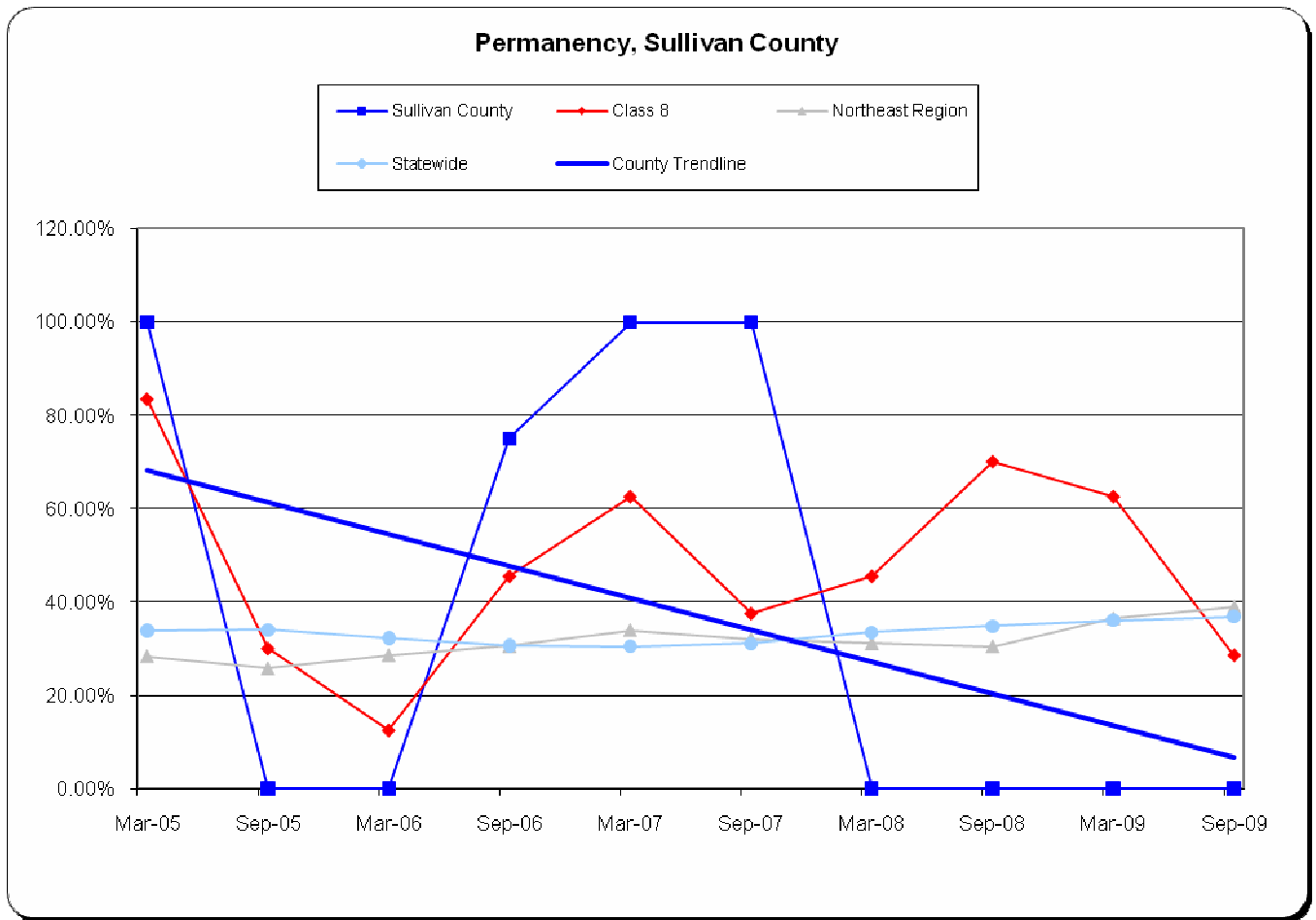
Sullivan County makes great efforts to provide all intervention possible to address child and family needs throughout the case history and to reunify safely, if at all possible, within the first few months of placement. When interventions have been exhausted and parents are still unable or unwilling to parent safely, the County files for change of goal to adoption after 12 months of placement. The delay is generally between removal and TPR due to delays in court scheduling or the previous Judge's decision to continue TPR decisions for several months. The County has not experienced delays after pre-adoptive placement. Delays appear to be exacerbated by Sullivan County sharing one judge with another county in the 44<sup>th</sup> Judicial District.

- Which group of children represent the largest proportion/share of children for whom performance is below the national standard? What actions is the county taking to address that population's needs?

Older youth and those who are dually adjudicated present the largest proportion of children who have delays in permanency/adoption. Again, since this is such a very small population, there can be no "trend". There is currently one youth (dually adjudicated) who is experiencing a significant delay in TPR/adoption due to not meeting requirements for discharge from residential offenders treatment. The County is making every effort to request court decision on termination and to identify adoptive family options (FGDM, Wendy's Wonderful Kids, SWAN services).

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5-1d. Permanency, 24 Months  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county's performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

As noted previously, Sullivan County appears to show declining performance in regards to permanency; however, this outcome is skewed by the small population and two or three youth who have required residential treatment services, are dually-adjudicated, and have no options for reunification. At nearly each measurement period, the County is demonstrating either 100% or 0% success. The County's performance is similar to other eighth-class counties. There is no policy or practice, other than the lack of less-restrictive treatment options, which explains the "trends."

## SULLIVAN COUNTY

- Which group of children represent the largest proportion/share of children in care more than 24 months? What are the most frequent permanency goals for these children? What are the most frequent actual discharge destinations for these children?

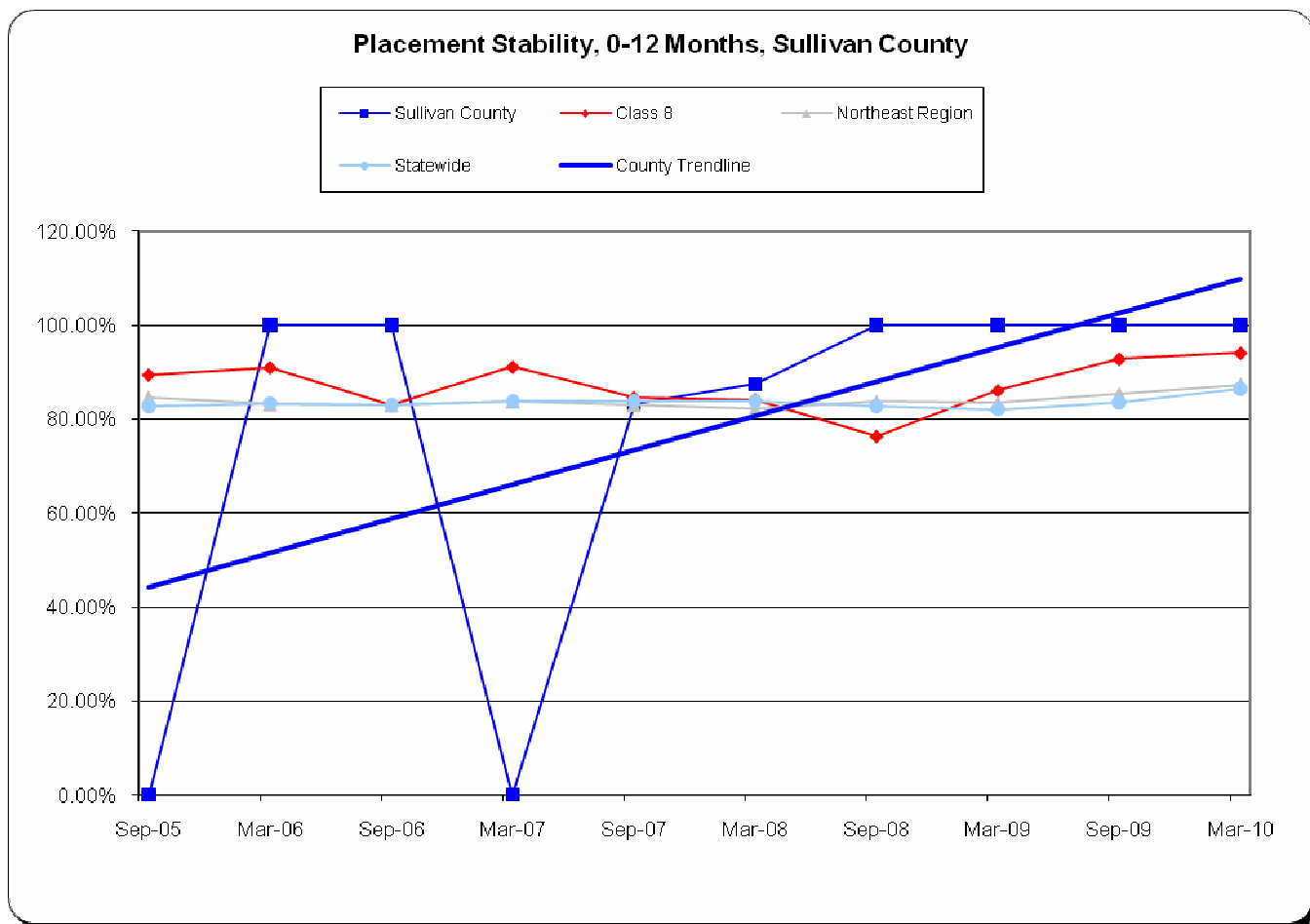
Older youth, primarily those with significant treatment needs such as sexual offenders, represent all of the children in care more than 24 months – with the exception of one young child in kinship care who was adopted at the end of FY 2009-10. The permanency goal for these youth range from reunification to adoption, however only one youth will actually reach adoption prior to “aging out” to independence or to an adult incarceration.

- What steps is the county taking to achieve permanency for these children? What are the barriers to achieving permanency?

Sullivan County has attempted to advocate for less-restrictive treatment options, improved treatment selection and planning, treatment provider accountability, FGDM to identify permanency options, and SWAN services.

SULLIVAN COUNTY

5-2a. Placement Stability, Less than 12 Months (CFSR Measure 4.1)  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county’s performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

Placement stability appears to be improving over time. As noted in prior charts, the relatively small population of Sullivan County placements makes “trends” and prediction of “trends” irrelevant. At any measurement period, the County is either at 100% or 0%. There is no practice implication to be derived. Children in placement less than 12 months nearly always have only one placement setting and are reunified relatively quickly.

- How does the county’s data compare to other counties of the same size? To the statewide data?

Sullivan County is performing as well as or better than other 8<sup>th</sup> class counties and the State.

## SULLIVAN COUNTY

- If the county's performance exceeds comparable county and/or statewide performance, what policies or practices does the county believe have contributed to this result? What actions is the county taking to maintain or improve its performance?

*Or*

- If the county's performance lags behind comparable county and/or statewide performance, what factors does the county believe have contributed to this result? What actions is the county taking to improve its performance?

Children who are able to be placed in county foster care generally have only one placement setting during their out-of-home care period. Sullivan County matches children with families or kin which would best meet their needs. All of the foster families are trained to provide care both for short-term and longer term placements. Even for children who require more restrictive placement, such as RTF level of care, efforts are made to match the child with the best and closest care to meet the child's needs and most remain in only one RTF during the first 12 months of placement.

- When compared to class and state performance on each of the measures, at what point does placement stability tend to break down– the first, second, or third year? What is the county doing differently than the class, region, or rest of the state?

Placement stability generally breaks down between 12 -24 months. As noted previously, nearly all of the youth who remain in care 18-24 months are older youth, dually-adjudicated, and are in RTF-level placements due to significant treatment needs. Again, the youth in RTF care have not been successful in their first RTF placement and have been recommended to a different RTF setting or failed a step-down in care and were hospitalized and/or returned to RTF care.

- Describe the relationship between actions taken in the first 24-72 hours of a child's removal and the on placement stability? How often does the child's first placement become the placement in which the child remains while in care? What steps is the county taking to increase that proportion?

As stated previously, Sullivan County makes every effort to match the child with the best and least restrictive placement setting to meet the child and family's needs. For children who can be placed in foster care, almost all have just one placement setting – the first foster home. For children who require higher levels of care and treatment services, the first RTF is generally the only placement setting during the first 12 month period.

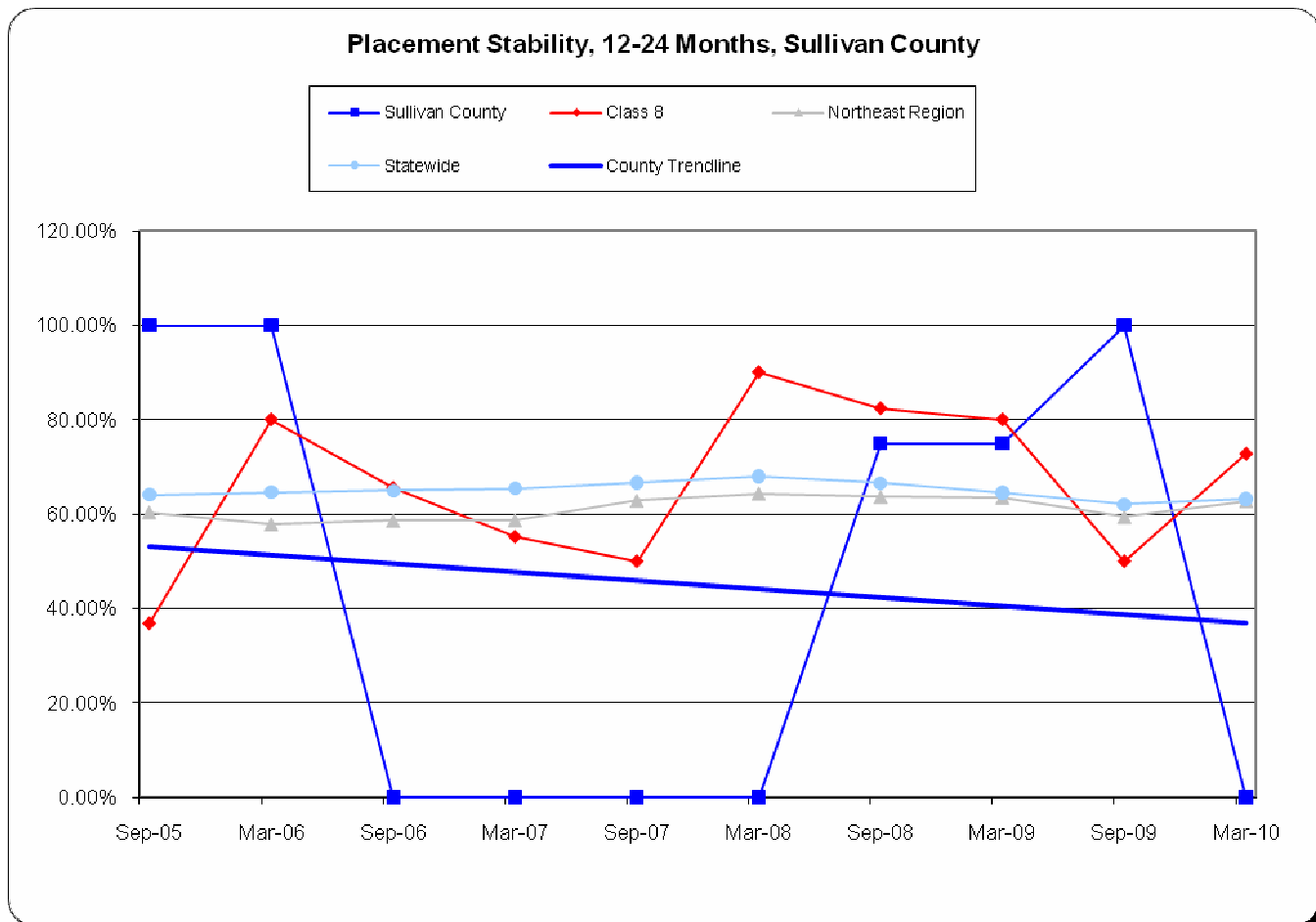
However, most children who require RTF or related levels of care have multiple placement settings during the course of their out-of home care. In addition, most of the youth in higher levels of care are dually-adjudicated or delinquent youth who are ordered to more restrictive levels of care. The County is attempting to develop better community assessment services, treatment planning, and placement setting selection. Sullivan County is also attempting to advocate for more intensive foster family care options and community-based sex offender

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treatment which meet delinquent youth goals of community protection and competency development.

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5-2b. Placement Stability, 12 to 24 Months (CFSR Measure 4.2)  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county’s performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

The small population of data cannot demonstrate any “trend”. The HZA chart is skewed as well by reporting no admissions during a period as 0% compliance in that reporting period. If corrected, Sullivan County’s performance in placement stability would be 80% to 100%.

- How does the county’s data compare to other counties of the same size? To the statewide data?

Sullivan County performs as well as or better than eighth-class counties as a whole. The County also performs significantly better in placement stability than the statewide average.

## SULLIVAN COUNTY

- If the county's performance exceeds comparable county and/or statewide performance, what policies or practices does the county believe have contributed to this result? What actions is the county taking to maintain or improve its performance?

*Or*

- If the county's performance lags behind comparable county and/or statewide performance, what factors does the county believe have contributed to this result? What actions is the county taking to improve its performance?

Children who are able to be placed in county foster care generally have only one placement setting during their out-of-home care period. Sullivan County matches children with families or kin which would best meet their needs. All of the foster families are trained to provide care both for short-term and longer term placements. Even for children who require more restrictive placement, such as RTF level of care, efforts are made to match the child with the best and closest care to meet the child's needs and most remain in only one RTF during the first 12 months of placement.

- When compared to class and state performance on each of the measures, at what point does placement stability tend to break down– the first, second, or third year? What is the county doing differently than the class, region, or rest of the state?

Placement stability generally breaks down between 18 -24 months. As noted previously, nearly all of the youth who remain in care 18-24 months are older youth, dually-adjudicated, and are in RTF-level placements due to significant treatment needs. Again, the youth in RTF care have not been successful in their first RTF placement and have been recommended to a different RTF setting or failed a step-down in care and were hospitalized and/or returned to RTF care.

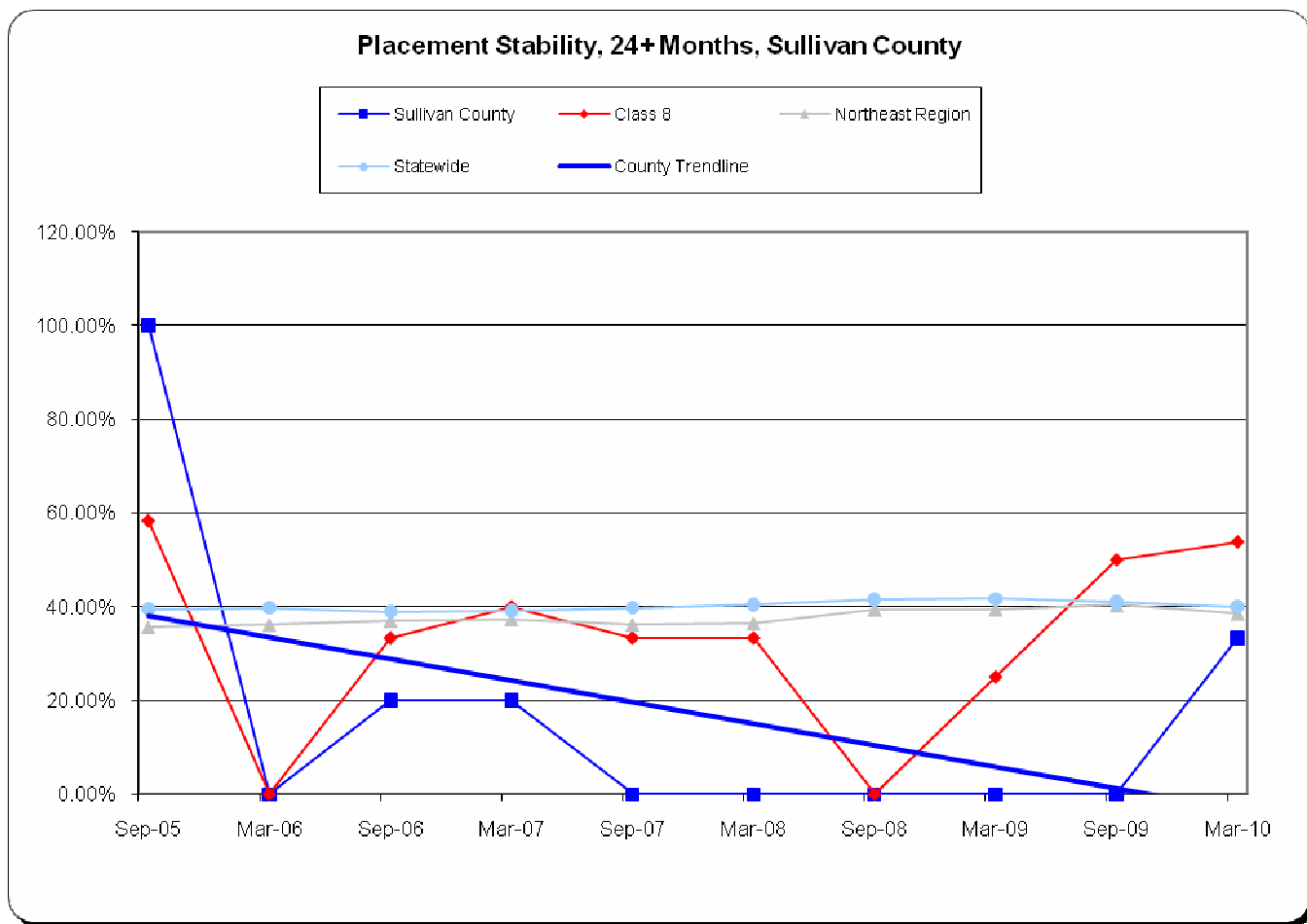
- Describe the relationship between actions taken in the first 24-72 hours of a child's removal and the on placement stability? How often does the child's first placement become the placement in which the child remains while in care? What steps is the county taking to increase that proportion?

As stated previously, Sullivan County makes every effort to match the child with the best and least restrictive placement setting to meet the child and family's needs. For children who can be placed in foster care, almost all have just one placement setting – the first foster home. For children who require higher levels of care and treatment services, the first RTF is generally the only placement setting during the first 12 month period. However, most children who require RTF or related levels of care have multiple placement settings during the course of their out-of home care. In addition, most of the youth in higher levels of care are dually-adjudicated or delinquent youth who are ordered to more restrictive levels of care. The County is attempting to develop better community assessment, treatment planning, and placement setting selection.



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5-2c. Placement Stability, More than 24 Months (CFSR Measure 4.3)  
(See HZA Data Package)



Click to Paste HZA chart

- Is the county’s performance in each measure improving or declining over time? Please describe briefly any significant trends in the data. What policies or practices explain the trends?

Sullivan County performance in placement stability after 24 months of placement appears to be declining. This is skewed by: the HZA chart reporting “no data” for a period as 0%; a very small population to report; the significant treatment needs of the youth which require RTF-level placements; and the incidence of dually-adjudicated youth.

- How does the county’s data compare to other counties of the same size? To the statewide data?

Based on this small data pool, Sullivan County appears to be performing worse than other 8<sup>th</sup> class counties and the State. Again, this chart is skewed by the very small population and that these few are dually-adjudicated youth in offender treatment.

## SULLIVAN COUNTY

- If the county's performance exceeds comparable county and/or statewide performance, what policies or practices does the county believe have contributed to this result? What actions is the county taking to maintain or improve its performance?

*Or*

- If the county's performance lags behind comparable county and/or statewide performance, what factors does the county believe have contributed to this result? What actions is the county taking to improve its performance?

Sullivan County continues to advocate for and to attempt to secure less-restrictive treatment options for children and youth with complex treatment needs. Those children (mostly older youth and dually adjudicated) who required RTF-level treatment have not been successfully discharged to less-restrictive care or reunification, but were recommended by the provider to a different RTF setting. Youth with those treatment needs have required three or more settings over the course of their time in care and have little prospect for less-restrictive care or permanency.

Sullivan County has sought and will continue to seek technical assistance from the State, advocate with the MCO and MH administrative staff for more effective treatment options, and improvements in team treatment planning to make more effective treatment provider selection.

- When compared to class and state performance on each of the measures, at what point does placement stability tend to break down— the first, second, or third year? What is the county doing differently than the class, region, or rest of the state?

Placement stability generally breaks down between 12 -24 months. As noted previously, nearly all of the youth who remain in care 18-24 months older youth, dually-adjudicated, and are in RTF-level placements due to significant treatment needs. Again, the youth in RTF care have not been successful in their first RTF placement and have been recommended to a different RTF setting or failed a step-down in care and were hospitalized and/or returned to RTF care.

- Describe the relationship between actions taken in the first 24-72 hours of a child's removal and the on placement stability? How often does the child's first placement become the placement in which the child remains while in care? What steps is the county taking to increase that proportion?

Actions taken in the first 24-72 hours of removal are only significant for those children who are not in need of RTF level of care. Children who are placed in foster family care (including kinship) nearly always remain in only one placement setting until successfully reunified. Dually adjudicated or delinquent youth frequently have multiple placements due to delinquency court orders placing the youth in shelter or detention, then into residential care (RTF or similar setting). Nearly all of those youth have experienced longer lengths of care and multiple placement settings. The County is attempting to develop better community assessment

## SULLIVAN COUNTY

services and treatment planning and to secure more less-restrictive placement settings which have positive, documented outcomes so as to improve child and youth permanency..



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### 5-3a. Prevention Services

- Briefly describe prevention programs, including services to be provided and what will be prevented (i.e., child abuse, child neglect, truancy, delinquency).

Sullivan County does not currently have any providers with which to contract for prevention services. County staff and a contracted provider (Sullivan County Victim Services) do conduct community education programs with youth, adults, and school staff regarding child abuse, child safety, and resiliency. The County helps to plan and volunteers staff to support a free, four-day camp for at-risk children (ages 9-12) to develop child coping skills, team building, positive family interaction and drug and alcohol refusal skills. OCDEL now funds Wyoming County for Nurse Family Partnership (NFP) services for Sullivan County pregnant and parenting new parents.

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### 5-3b. Previously Introduced Outcomes

- For each outcome introduced by the county in past budgets, describe the activities, programs, or services implemented in order to achieve the outcome and results.

The past three budgets addressed the following CFSR goals:

- Child and Family Services Permanency Goal 2: The continuity of family relationships and connections is preserved for children.
  - Item 11: Proximity of foster care placement - Child not placed in close proximity to parents or close relatives (for special treatment needs); and Lack of “step-down” therapeutic placements resulting in children being placed far from family
- Child and Family Services Well-Being Goal 1: Families have enhanced capacity to provide for their children’s needs.
  - Item 17: Needs and services of child, parents and foster parents --Children’s needs not assessed and/or services to address identified needs not provided; Parent’s needs not assessed and/or services to address needs not provided; and Services provided not adequate to meet the needs of parents and/or Children & Youth
- Child and Family Services Well-Being Goal 3: Children receive adequate services to meet their physical and mental health needs.
  - Item 23: Mental health of the child -- Child’s identified service needs not met
- Juvenile Justice: Balanced and Restorative Justice (BARJ)
  - BARJ Goal 1: To hold juvenile offenders accountable for offenses committed -- MEASURES: Restitution

The three federal outcomes (CFSR Permanency Goal 2, Item 11; CFSR Well-Being Goal 1, Item 17; and CFSR Well-Being Goal 3, Item 23) each addressed meeting the unmet therapeutic needs of children and families. Sullivan County continues to advocate for and to identify “step-down” therapeutic placements for children closer to the County. Sullivan County requested the MH joinder agency to contract for MST and FFT services in the County. For the past 1 ½ years, Sullivan County contracted for MST services (provided to 17 youth and their families) which allowed youth to remain at home while getting their treatment needs met. The Sullivan County Integrated Children’s Services Planning (ICSP) team had developed the County’s plan to provide screening to assess each child and family’s unmet behavioral health, physical health and substance abuse needs; centralized intake for referral to all human services; and installation of a data management system to track service provision, timeliness of services, and improved access to services. It appears the ICSP implementation is “on hold.” The County has taken the lead in providing team service planning for families and children in need of human services through an expanded Multi Disciplinary Team process. The County is utilizing the data management system for child welfare intakes and on-going services. The County’s plans to expand usage to allow for centralized intake and timelier referral for all services appears to also be “on hold.” It is not known whether juvenile justice continues to screen or assess all delinquent youth or what well-being issues have been identified and their outcomes. The County does not yet have access to verifiable performance outcome data performance outcomes (in the area of well-being), such as to measure timeliness and effectiveness of behavioral health services.

The previous NBB submissions proposed focusing on Juvenile Justice Balanced and Restorative Justice (BARJ) Goal, Competency Development (such as assessment and referral for unmet treatment needs). No performance outcome data is available at this time.

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As stated previously, Sullivan County currently has no access to specific data which would indicate whether the identified well-being outcomes have improved or been achieved. SCJPO reportedly screens each adjudicated youth with INCA to determine unmet needs. SCJPO does have some data regarding delinquent youth and the level of identified treatment needs, but no data regarding whether services were actually provided (as referred) or whether such services improved child well-being. The only Sullivan County children and families being tracked in the data system are those served by child welfare. Sullivan County has no current plan for full implementation of the ICSP plan and still does not have the ability to track assessment and well-being outcomes (except those served by SCCYS).

SCCYS has secured more behavioral health services and interventions within the County to address needs of families and children, but more needs to be accomplished. There continues to be a paucity of outpatient behavioral health services in the County that are easily accessible for families. There continues to be a deficit in psychiatric and psychological evaluation services. Sullivan County continues to have no ability to track referrals and services provided since the data is managed by the joinder county and MCO. If full implementation of the ICSP plan and data system is achieved, Sullivan County will finally have the capability to track well-being outcomes.

- Describe whether the county will continue its efforts to improve outcomes, or if the county has analyzed needs and chosen to address different outcomes.

Sullivan County avers that the County exceeds federal outcomes in regards to safety measures. In regard to permanency measures, the County submits that if more enhanced well-being services and interventions were secured, the County's outcomes would significantly improve. Therefore, Sullivan County has determined the need to continue with the aforementioned outcome measures to address the perceived deficits in timely an accessible well-being services.

- Discuss whether the outcome has been achieved, and reasons for that status. Provide specifics on the activities, programs, or services that affect the targeted outcome (county provided and/or purchased).

Much of this information was presented earlier. Sullivan County has secured and provided many services over the course of the past one to two years which have positively affected most of the selected outcomes. In regards to "Permanency Goal 2: The continuity of family relationships and connections preserved for children" and "Well-Being Goal 1: Families have enhanced capacity to provide for their children's needs," Sullivan County has provided FGDM conferences, family engagement, and planning for their own resolutions; provided MST services which improve families' ability to address their children's needs; proposed funding FFT services to aid additional families in addressing children's behaviors and mental health needs; and began Sullivan Seeds of Hope which will enhance parent and family capacity to meet their children's and their own needs. MST, FFT, and FGDM services have also addressed the well-being goal regarding "Mental Health of the child – Child's identified service needs not met." The new contract with BSI/ARRTs program has already had a positive impact on permanency and well-being measures as one youth was prevented from being placed in care, but was able to remain with family members while obtaining the behavioral health services needed.

## SULLIVAN COUNTY

- For continuing or newly targeted outcomes for FY 2009-10:
  - Identify specific activities [planned] and services to improve the outcomes.
  - Provide timeframes for measured improvement.
  - Describe the process for data collection/measurement.

Sullivan County will continue the outcomes from FY 2009-10. As stated previously, this NBPB submission denotes many current and planned services that SCCYS is providing which have a positive impact on these outcome measures: MST, FFT, FGDM, SSOH, IL, and the Sullivan County Information System (SCIS, as purchased through ICSP funding). Sullivan County will collect data and report outcome measures using the SCIS system and current “paper and pencil” logs – until SCIS is expanded to include centralized intake and referral. The plan for FY 2010-11 is to continue to advocate for increased and enhanced behavioral health services, full implementation of the ICSP plan with support of its service partners, increased planning and collaboration with juvenile justice and the court, and expansion of the aforementioned services (SSOH, IL, MST, FGDM, FFT) to serve more children, youth and families.

#### 5-4a. Family Engagement in Case Planning

- ❑ Assess performance to determine if improvement in this area is needed in the county, describe previous efforts which the county believes have had a positive impact, and any new initiatives to improve this area.

Sullivan County works with each family and youth to gain their input in the development of case plans and goals to meet identified needs during home visits. A case plan meeting with family members and their assigned staff is held on each family case opened for services to develop the written plan, which is then reviewed with the family for accuracy and approval. The County continues to build on the training and TOL conducted in FY 07-08, Engaging Families in Strength-Based, Solution-Focused Practice. The County had provided Family Group Decision Making conferences to better engage entire families to determine case plans, safety plans, permanency decisions and successful resolution without continued child welfare agency involvement. During FY 2009-10, the County could not obtain a reasonable rate for such conferences from the provider, therefore no conferences were provided. A new provider (Lycoming County Joinder Services) will begin providing FGDM in FY 2010-11. Sullivan County will encourage greater use of FGDM conferencing, when appropriate, for all child welfare and juvenile justice youth and families. SCCYS works with each transitioning youth to develop their own transition plan. Sullivan County expanded the Independent Living program, provided “in-house,” to ensure that all youth age 14 and over are offered the opportunity to assess their life skills needs and to develop an individualized plan for meeting their needs and personal goals. The IL coordinator and caseworkers work closely with the youth and family to assist them in developing their own plan, and is coordinated with the family’s FSP. The County will continue to utilize county-based training to enhance staff, resource family and community understanding and vision so as to more effectively engage families to develop their own intervention plans.

#### 5-4b. Youth Involvement in Case Planning

- ❑ Assess performance to determine if improvement in this area is needed in the county, describe previous efforts which the county believes have had a positive impact, and any new initiatives to improve this area.

Sullivan County involves youth in the development of their service plans and case decisions. Youth are present at and are encouraged to actively participate in their court proceedings, but some youth choose to remain outside the courtroom. The County provides a Guardian Ad Litem (GAL) attorney at the dependency hearing and throughout the life of the case to meet with the youth and to represent the youth’s interests. The GAL is a strong proponent for the youth and expresses the youth’s wishes. Youth are encouraged to state their insight and wishes during the court procedure. The Independent Living program was highly successful in engaging youth during FY 2009-10. This NBB submission includes expansion of Independent Living (IL) services to enhance youth needs assessment and development of a youth-driven plan for successful transition to adulthood; increasing opportunities for youth to exercise their new skills in “real world” situations/activities; and adding a mentoring component. IL youth provided input in the NBB and demonstrated positive program planning skills.

#### 5-4c. Transition Planning & Preparation

- ❑ Assess performance to determine if improvement in this area is needed in the county, describe previous efforts which the county believes have had a positive impact, and any new initiatives to improve this area.

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During FY 07-08, Sullivan County had its first adjudicated dependent youth (also delinquent) who chose to remain in the County's care and custody. In FY 08-09, the County staff worked with two 17 year old youths to offer them continued care and IL services and support to more effectively transition them into adulthood. One youth did request continued care, but quickly requested release from care against the advice of the Agency. All of the youth had identified life connections (although somewhat tenuous), residence, and income from family. The County requested and received IL grant funds for FY 09-10 so as to offer youth more options for education, housing, support and preparation for independence. Sullivan County began offering enhanced IL assessment, planning and support service in FY 08-09. The County is working with CWTP to continue to build practice and resources for IL and to develop a standardized transition plan for each youth.

### 5-4d. Implementation of Concurrent Planning

- Assess performance to determine if improvement in this area is needed in the county, describe previous efforts which the county believes have had a positive impact, and any new initiatives to improve this area.

Sullivan County has routinely included concurrent planning practice from the beginning of involvement with each family. A written concurrent plan is included in Child Permanency Plans (CPP) and CPP reviews for each child in care. The County practice is to engage families in determining their own alternative plans (using their own identified family and resources/network) to ensure safety and permanency, with dependency/placement as a last resort.

## Section 6: Administration

### 6-1b. Employee Benefit Detail

- Submit a detailed description of the county's employee benefit package for FY 2010-11. Include a description of each benefit included in the package and the methodology for calculating benefit costs.

Employee benefit costs include:

- Matching Social Security costs
- Medical insurance, less the employee co-pay
- Dental insurance
- Worker's Compensation insurance
- Unemployment insurance, employer's share
- Life insurance

Employee benefit costs for the NBB (FY11-12) are based on the Sullivan County salary and benefit scale. Salaries and commensurate benefits are increased by the annual step-merit increase of 3%. The total increase in benefits for FY 10-11 is \$571. Benefits are 44.72% of the employee compensation.

### 6-1d. Organizational Changes

- Note any changes to the county's organizational chart.

There are no changes to the organizational chart. SCCYS currently has one Caseworker II vacancy.

### 6-1e. Staff Evaluations

- Describe the method for evaluating staff effectiveness.

Sullivan County is a very small county with a small, dedicated staff who provide a great deal of prevention, intervention and case work services. The benefit of a small agency is the ability to provide services in a timely manner and tailored to address each family's needs as they arise. Outcome measures in the area of safety and permanency demonstrate staff effectiveness. All staff are involved in their on-going performance evaluations to identify their strengths and areas in which to increase effectiveness. Staff retention during the past few years has not been an issue; however, adequate salary and compensation must be addressed as new responsibilities and mandates continue to be added. The drawback to having a small staff is that casework staff cannot meet all needs and they have few outside services to which to refer. Sullivan County will continue the process of cross-training toward providing integrated service within the County. The County will ensure staff is provided training in more effective family engagement to enhance service planning, safety, placement prevention, and more timely permanency.

### 6-1f. Contract Monitoring & Evaluation

- Note the employee/unit which oversees county contracts. Describe the evaluation process.

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The Sullivan County Fiscal Administrator continues to complete the Title IV-E provider contract approval process. County contracts will continue to require providers to maintain documentation of the outcome measures as specified by OCYF. It is anticipated that these measures will aid the County to better evaluate provider effectiveness. The Sullivan County CYS Administrator will oversee and monitor contracts with the assistance of the Sullivan County Fiscal Administrator.

**6-1g. Largest Providers Contract Review**

Review the Schedule of Existing Purchased Services and identify the four largest providers (regardless of whether it is a CCYA or JPO provider) as follows:

- Two largest providers of In-Home Services. Include contact information.

<b>Provider Name</b>	<b>Provider Address &amp; Phone</b>	<b>Provider Contact Name</b>	<b># of Children Served FY 2009-10</b>	<b>Total \$ Amount of Services</b>
<b>1:</b> Community Solutions, Inc.	4 Griffin Road North Windsor, CT 06095 860-683-7159	Jill Hoch	9	\$45,302.35
<b>2:</b>				

- Largest provider of Community Based Placement services. Include contact information.

<b>Provider Name</b>	<b>Provider Address &amp; Phone</b>	<b>Provider Contact Name</b>	<b># of Children Served FY 2009-10</b>	<b>Total \$ Amount of Services</b>
<b>1:</b> Melan FH (Kinship Care)	RR 2 Box 2064 Dushore, PA 18614	Dave & Betsy Melan (Lisa K. Wilcox, SCCYS)	1	\$6,180.00

- The largest provider of Institutional Placement services (excluding Youth Development Center and Juvenile Detention Center placements) . Include contact information.

<b>Provider Name</b>	<b>Provider Address &amp; Phone</b>	<b>Provider Contact Name</b>	<b># of Children Served FY 2009-10</b>	<b>Total \$ Amount of Services</b>
<b>1:</b> NHS Youth Services, Inc.	4391Sturbridge Drive Harrisburg, PA 17110 717-441-9526		2	\$117,685.92

## SULLIVAN COUNTY

- Briefly summarize the services provided by these entities, the expected outcomes of those services, and how provider performance is monitored.

The largest expenditure for residential services in FY 08-09 was Northwestern Human Services (NHS) for specialized sexual offender treatment for two youth at a cost of \$117,685.92. One was a dually-adjudicated child who was “stepped down” from Perseus House RTF program. The youth finally completed the recommended treatment at Perseus House, but the program did not recommend therapeutic foster care. The youth was ordered to the NHS program and continues to receive SWAN services to prepare for adoption and to identify an adoptive resource. The expected outcome for the NHS service was to provide shorter-term, intensive treatment to address the child’s sex offense and to prepare the youth for return to the community. No numerical measure of performance is available. SCJPO and SCCYS have differing opinions on measurements of outcomes for this provider service. The other youth moved to the NHS “step-down” program at the recommendation of juvenile justice. He has since left care at age 18+.

The largest provider for in-home services was Multi-Systemic Therapy (MST), provided by CSI, which served 9 youth and families in FY 09-10 at a cost of \$45,302.35. All nine children successfully completed the MST treatment program. All, except one, remain safely in their home and are no longer at risk of placement. The one youth (as discussed previously) was unable to receive the level of treatment he required within the community so as to remain safely in his own home. He eventually required residential treatment a few months after he was no longer eligible to receive MST services. Based on the measure of placement prevention, the service was determined to be 100% effective during MST active services and 89% effective for long term placement prevention.

The largest provider of community Based placement services was a kinship foster home licensed by Sullivan County (Melan foster home). The Melan home provided care for their granddaughter for nearly the entire year until they finalized her adoption in May 2010. The cost of kinship care was \$6,180. In regards to the outcome measure of permanency, Sullivan County submits that this provider was 100% effective.

### 6-3a. Evidence Based Programs

#### **Pertinent Submission Information**

This subsection of the Narrative Template is for Special Grant Initiatives (SGI): Evidence-Based Programs (EBP), Pennsylvania Promising Practices (PaPP), Housing and Alternatives to Truancy (ATP) programs. Please review Appendix 9 of the FY 2011-12 NBPB prior to completing this section or the Budget Excel File worksheets.

To ensure proper evaluation of any special grant request, counties must provide brief and sufficient explanations and justifications to the questions in the Narrative Template. Counties are advised to prepare informative responses that offer a clear understanding to help the reviewer recommend approval, rather than a denial or reduction in funds because of missing or unclear information.

Additionally, if a county is requesting a renewal or an expansion of an existing special grant and there was under-utilization and/or under-spending of the grant in FY 2008-09 and/or FY 2009-10, the county must provide detailed explanations as to the cause(s) of the underperformance and must identify measures the county will utilize to prevent the underperformance in both FY 2010-11 as well as FY 2011-12.

If a county is requesting a new grant, it must provide succinct details as to the target population, planned utilization, realistic timeframes for implementing the special grant and outcomes expected to be achieved.

In addition to the Narrative Template, the county must enter SGI fiscal information for each fiscal year in the Budget Excel File. That file will be the primary source for fiscal information. Please ensure fiscal costs in the Narrative Template match the Budget Excel File. Instructions for completing the Excel files are included in Appendix 9 and in the Excel file.

The Special Grants Budget Form for FY 2010-11 requests FY 2008-09 and FY 2009-10 actual expenditures with Needs-Based Fund adjustments and line-item budget information for each SGI in Appendix 9 with the exception of State Reintegration. CCYAs are also required to enter fiscal information in the FY 2011-12 Special Grants Funding Request Form.

Counties are also required to complete the SGI Contact List in the Budget Excel file for all SG and IL programs.

#### **Requests to Transfer/Shift Funds within Evidence-Based Programs and Pa Promising Practices**

The following subsections provide counties the opportunity to transfer or shift funds within the EBP and PaPP for FY 2010-11. The requests may not exceed the total allocation of the EBP or PaPP and must include detailed justification for the proposed changes. This opportunity is available only for EBP and PaPP. It is not applicable for Housing, ATP or State Reintegration.

**6-3a. Evidence Based Programs: Multi-Systemic Therapy**

Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	Y			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	Y	New	Continuing	Expanding
			X	

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11	\$52,155	\$0	\$52,155
FY 2011-12			\$53,093 (\$66,482*)

\*per Special Grant allocation letter from DPW/OCYF dated 7/28/10

Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:* No, there were no funds shifted during FY 2009-10.

Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:* Sullivan County is requesting an increase in funding for FY 2011-12 due to the anticipated increase in per diem costs for MST services and to ensure coverage for the delays in MCO/MA approval. The County anticipates continued increased usage of MST services as it becomes more accepted and referrals increase from service partners (such as the school district).

Complete the following table for each applicable year.

	0607	0708	0809	0910	1011	1112
Target Population	Age 12-17	Age 12-17	Age 12-17	Age 12-17	Age 12-17	Age 12-17
# of Referrals	0	0	8	9	12	12

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# Successfully completing program	0	0	8	9	12	12
Cost per year	\$0	\$0	\$31,601.81	\$45,302.35	\$52,155.00	\$55,000.00
Per Diem Cost/Program funded amount	N/A	N/A	\$56.67/day	\$57.71/day	\$58.74/day	\$59.79/day
# of MA referrals	0	0	8	9	11	11
# of Non MA referrals	0	0	0	0	1	1
Name of provider	SI	CSI	CSI	CSI	CSI	CSI

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:* During the last half of FY 08/09, Sullivan County entered into a contract with CSI for the provision of MST services. All 8 youth completed services successfully and were able to remain home safely. In FY 2009-10, 9 youth and their families were provided MST services. All completed successfully; however, one youth who had been discharged from 8 years of RTF services in Bradford County subsequently was unable to remain at home without intensive services (as MST provided). The youth was not recommended for RTF level of care and no Therapeutic Foster Care was available, therefore he was placed for treatment services at Susquehanna House (where he remains today).

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:* The only barrier to increased usage appears to be the very low population of youth in Sullivan County who meet the criteria of need for such services.

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:* In FY 2009-10, Sullivan County underspent the grant funding by \$6,852.65. There were not enough eligible youth to completely “fill” the MST service team for the entirety of the year.

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:* Sullivan County avers that the underspending in the only grant year (FY 2009-10) is minimal and accounts for more timely application for MCO/MA coverage for such services. The Director and Fiscal Administrator manage the MST grant funding and approval for services.

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### **Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* The target population for Sullivan County is dependent and delinquent youth, ages 12 through 17, as well as other youth identified as being medically necessary for this level of treatment.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:* Same as above.

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County proposes that the following outcome measures will be tracked and analyzed to determine effectiveness:

- Placement prevention
- Reduction in length of out-of-home care
- Reduction in delinquent act(s) – recidivism

SCCYS will record all referrals and monitor contract and service compliance. SCCYS and the provider will track outcomes for each referral, documenting outcome status at three and six months of service. SCCYS will record outcomes at 12 and 18 months after completion of services (for those families who remain in the County).

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County has worked with CSI for provision of MST services since January 2009. In FY 2009-10, CCBH (the MCO) finally approved CSI as an MA provider in the County’s

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contract area. Youth are identified for services by their SCCYS worker at intake or case service; during the SCCYS case staffing; by their Juvenile Probation Officer; through the Multi-Disciplinary Team treatment planning process; by referral from their school guidance counselor or school administration; or by other community service partners. Referrals are forwarded to the SCCYS Administrator for approval and sent to CSI for review. The CSI team reviews the referral, obtains any additional information required, interviews the youth and family, and if recommended by a psychologist/psychiatrist as medically necessary treatment, completes the MCO/MA approval packet. CSI consults with agency staff at least weekly and provides written reports of progress. CSI works closely with the assigned caseworker or probation officer to ensure all case plans and case activities are coordinated.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:* Same as above. Expansion of funding is requested due to anticipated increase per diem costs.

- ❑ Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.
- ❑ **FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* The budget for FY 2010-11 accommodates an increase in referrals over past years and the increased per diem costs. CSI provides a monthly invoice denoting youth served and days of service which the SCCYS Director checks for accuracy (based on referrals approved) and MCO/MA approval status.

- ❑ **FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:* Sullivan County anticipates the increase in per diem costs in FY 2011-12 and the potential increase in referred youth due to increased interest/support from the school district and other service partners.

- ❑ For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:* Sullivan County has experienced 1 ½ years of highly successful service from CSI. All youth served (except the aforementioned one youth with a lengthy RTF history in another county) have successfully completed MST and were able to remain safely at home. The County has already realized a cost savings in placement prevention and shortening of days of care with the previously served 17 youth.

- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

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**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* None required.

**FY 2011-12** (for counties requesting funds for the first time)

*Response:* None required.

**6-3a. Evidence Based Programs: Functional Family Therapy**

Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	N			
New implementation for 2010-11 (did not receive funds in 2009-10)	Y			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	Y	New	Continuing	Expanding
			X	

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11	\$40,000	\$0	\$40,000
FY 2011-12			\$40,000

Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:* No.

Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:* Not applicable.

Complete the following table for each applicable year.

	0607	0708	0809	0910	1011	1112
Target Population	Age 10-17	Age 10-17	Age 10-17	Age 10-17	Age 10-17	Age 10-17
# of Referrals	0	0	0	0	10	10
# Successfully completing program	0	0	0	0	10	10
Cost per year	0	0	0	0	\$40,000	\$40,000
Per Diem Cost/Program	N/A	N/A	N/A	N/A	Approx. \$58/day	Est.\$59/day

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funded amount						
# of MA referrals	N/A	N/A	N/A	N/A	9	9
# of Non MA referrals	N/A	N/A	N/A	N/A	1	1
Name of provider	N/A	N/A	N/A	N/A	Laurel Youth	Laurel Youth

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:* Not applicable. No funding received in FY 2009-10.

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:* Not applicable. No funding received in FY 2009-10.

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:* Not applicable. No funding received in FY 2009-10.

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:* Not applicable. No funding received in FY 2009-10.

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County will contract with Laurel Youth Services to provide FFT to Sullivan County at-risk children and youth, ages 10-18, and their families. The FFT services will assist the County in addressing the complex problems associated with adolescence and negative conduct, will prevent necessity for out-of-home care, and will assist families in building skills to be successful. The service will be offered county and school district-wide. FFT will complement the MST services already being provided in the County as it will serve younger children and more with potential dependency issues.

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Laurel Youth is already providing these services to surrounding counties (Bradford, Tioga, & Lycoming). Sullivan County began requesting assistance from the MH joinder in locating and facilitating start-up of these services in the County three years ago to no avail. The County has noted the need for such services in the last two NBPB, but no provider was available and funding requests were denied.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:* Same as above.

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County proposes that the following outcome measures will be tracked and analyzed to determine effectiveness:

- Placement prevention
- Reduction in length of out-of-home care
- Reduction in delinquent act(s) – recidivism

SCCYS will record all referrals and monitor contract and service compliance. SCCYS and the provider will track outcomes for each referral, documenting outcome status at three and six months of service. SCCYS will record outcomes at 12 and 18 months after completion of services (for those families who remain in the County).

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County will contract with Laurel Youth for provision of FFT services. Referrals will be received and approved by SCCYS. Approved referrals will be forwarded to the designated intake staff at Laurel for screening. If child is evaluated to be appropriate for and in need of FFT services, SCCYS will approve funding until MCO/MA funding is secured. Laurel Youth is an approved/enrolled provider for MA services in neighboring counties in the MCO contract; therefore, it is believed MA services should be funded for Sullivan County. The County

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has not had the opportunity to utilize Laurel's FFT services previously, however there is history with using Laurel's evaluation, foster care, and residential services.

**FY 2011-12** Renewing counties may reply with "same as above" unless expanding or decreasing the services, which requires further information and justification.

*Response:* Same as above.

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County predicts the provision of FFT services would complement the existing MST services to serve those families and children who do not meet the guidelines for MST services, but still require intensive in-home therapy and intervention to prevent child placement. Cost savings are calculated as a minimum of \$25/day/child served after the successful completion of FFT services. The minimum cost for out of home placement for one child or youth is \$9,125 for a year of care. Sullivan County is requesting funding to purchase six months of FFT services for one child/family who is MA-ineligible and the start-up costs for 9 additional families – until MCO/MA approval is granted. Six months of county-run foster care for 10 children would be \$45,500. The County is requesting grant funding for FFT of \$40,000.

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county's successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:* No increase is requested for FY 2011-12. Sullivan County has encountered challenges in the past with development of contracts which encourage providers willingness to serve the County's small population; however, this should not be a significant issue as this provider is already serving all of the surrounding counties. The County has also had difficulty with obtaining MCO approval if a service was not specifically included in the MCO's state contract for MA-funded services; however, it is believed that this service should already be included for coverage.

- For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:* As stated previously, cost savings were calculated as a minimum of \$25/day/child served after the successful completion of FFT services. The minimum cost for out of home placement for one child or youth is \$9,125 for a year of care. Sullivan County is requesting funding to purchase six months of FFT services for one child/family who is MA-ineligible and the start-up costs for 9 additional families – until MCO/MA approval is granted. Six months of county-run foster care for 10 children would be \$45,500. The grant funding requested for FFT is \$40,000.

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- Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* The only technical assistance Sullivan County may require is obtaining MCO/MA enrollment/approval specifically for Sullivan County if the MCO states this service is not currently in the MA state contract.

**FY 2011-12** (for counties requesting funds for the first time)

*Response:* None anticipated.

**6-3a. Evidence Based Programs: Multidimensional Treatment Foster Care**

- Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	N			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	N	New	Continuing	Expanding

**NOTE: Sullivan County has no provider for this service; therefore no grant is requested.**

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11			
FY 2011-12			

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:*

- Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:*

- **Complete the following table for each applicable year.**

	0607	0708	0809	0910	1011	1112
Target Population						
# of Referrals						
# Successfully completing program						
Cost per year						

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Per Diem Cost/Program funded amount						
# of MA referrals						
# of Non MA referrals						
Name of provider						

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:*

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:*

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:*

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:*

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:*

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- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:*

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:*

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:*

- For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:*

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- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** (for counties requesting funds for the first time)

*Response:*

**6-3a. Evidence Based Programs: Family Group Decision Making**

- Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	Y			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	Y	New	Continuing	Expanding
			X	

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11	\$67,911	-\$36,161	\$36,750
FY 2011-12			\$36,750

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:* Sullivan County requested to transfer/shift unspent funds for FY 2009-10, but was not approved.

- Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:* Sullivan County was unable to enter into a contract with the original provider (It Takes a Village – ITAV) due to the excessive contract rate that the provider proposed. The County requested, but did not receive, a per conference rate from ITAV. No FGDM conferences were referred in FY 2009-10 due to the lack of a contracted provider at a reasonable rate for service. Sullivan County has entered into a contract, at a negotiated per conference rate, with Lycoming-Clinton Joinder (Lycoming County CYS) for FGDM services from their “in-house” staff. This change has been discussed with the regional office.

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❑ **Complete the following table for each applicable year.**

	0607	0708	0809	0910	1011	1112
Target Population	CYS/JPO families	CYS/JPO families	CYS/JPO families	CYS/JPO families	CYS/JPO families	CYS/JPO families
# of Referrals	0	0	2	0	15	15
# Successfully completing program	0	0	2	0	12	12
Cost per year	0	0	\$4,300	0	\$36,750	\$36,750
Per Diem Cost/Program funded amount	0	0	\$2,150	\$6,791/conf	\$3,000/conf (max) \$250/referral only	\$3,000/conf (max) \$250/referral only
# of MA referrals	0	0	N/A	N/A	N/A	N/A
# of Non MA referrals	0	0	N/A	N/A	N/A	N/A
Name of provider	N/A	N/A	ITAV	ITAV	Lycoming-Clinton Joinder	Lycoming-Clinton Joinder

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

❑ Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:* Sullivan County referred two families in FY 2008-09 for FGDM conferences. Both were successful. In FY 2009-10, the County could not enter into a reasonable rate for services from the provider and did not refer and families for conferences. At the end of FY 2009-10, Sullivan County met with administration of Lycoming-Clinton Joinder (Lycoming Co. CYS) and determined that LCJ could provide services to Sullivan County families at a reasonable rate. The contracted rate of service will be the actual costs as determined by a “demonstration” conference for a family in August 2010, including additional travel costs. It is anticipated that conference costs will not exceed \$3,000 per family or \$250 per referred family that refuses services.

❑ What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:* See above.

❑ Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:* Sullivan County did not spend any of the grant funding in FY 2009-10 due to the inability to obtain a reasonable contracted rate for service. The County sought a provider who would conduct successful FGDM conferences at a reasonable, per conference rate.

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- ❑ If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:* See above regarding underspending and changes in provider and rates for service.

### **Complete the following for each applicable year.**

- ❑ Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* All Sullivan County families with children who have been referred for investigation or services from SCCYS; all identified delinquent youth and their families; and families and children identified by the school district or other service partners are the target population for FGDM services. The service will be offered county-wide.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:* Same as above.

- ❑ Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County will receive and approve all FGDM referrals. The County will maintain records on families referred, plans developed, and conference outcomes. The County and the service provider (LCJ) will monitor outcomes at 3, 6, 9, 12, and 18 months after the conference(s). Outcomes measures will include: investigation or case closure, children remain safely in their own home, re-referral for investigation/service, and recidivism.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- ❑ Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

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*Response:* MA approval is not applicable. Lycoming-Clinton Joinder has been successfully providing FGDM services for Lycoming County CYS and JPO families “in-house” for some time. Sullivan County has purchased other services from Lycoming County (CYS) in the past. SCCYS Director will receive, approve and forward FGDM referrals to LCJ. County staff will discuss with LCJ all pertinent family/youth details and provide information regarding identified issues to address. LCJ will coordinate and facilitate FGDM conferences with SCCYS staff participation as indicated. SCCYS and LCJ will monitor conference outcomes and maintain FGDM referral and outcome records.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:* Same as above.

- ❑ Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan County is determining a contracted cost for service from LCJ based on a “demonstration” conference in August 2010. It is anticipated that conference costs will not exceed \$3,000 per completed conference and \$250 per referral (family refused conference). The County estimates FGDM services will be provided to 12 children and their families.

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:* Not a new funding request or request for increase.

- ❑ For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:* Sullivan County’s experience with FGDM is limited, however it appears successful in decreasing the need for casework services and assist families to safely parent their children in their own homes. It is anticipated that FGDM services will be utilized to identify resources for children at risk of placement and to provide permanency more timely for children in care.

- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* None required.

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**FY 2011-12** (for counties requesting funds for the first time)

*Response:* None required.

**6-3a. Evidence Based Programs: Family Development Credentialing**

Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	N			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	N	New	Continuing	Expanding

**NOTE: Sullivan County does not believe FDC is necessary or appropriate for such a small county.**

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11			
FY 2011-12			

Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:*

Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:*

Complete the following table for each applicable year.

	0607	0708	0809	0910	1011	1112
Target Population						
# of Referrals						
# Successfully completing program						
Cost per year						

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Per Diem Cost/Program funded amount						
# of MA referrals						
# of Non MA referrals						
Name of provider						

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:*

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:*

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:*

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:*

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:*

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- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:*

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:*

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:*

- For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:*

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- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** (for counties requesting funds for the first time)

*Response:*

**6-3a. Evidence Based Programs: Family Finding**

- Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	N			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			

**NOTE: Sullivan County is not developing Family Finding.**

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11			

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:*

- Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:*

- Complete the following table for each applicable year.

	0607	0708	0809	0910	1011
Target Population					
# of Referrals					
# Successfully completing program					
Cost per year					
Per Diem Cost/Program funded amount					
# of MA referrals					
# of Non MA referrals					

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Name of provider					
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**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program's accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:*

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:*

- Describe the county's expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:*

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in FY 2010-11.

*Response:*

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency's experience with the provider agency, and their Medical Assistance

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approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

- ❑ Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**6-3a. Evidence Based Programs: High-Fidelity Wrap Around**

- Please indicate which type of request this is:

Request Type	Enter Y or N			
Renewal from 2009-10	N			
New implementation for 2010-11 (did not receive funds in 2009-10)	N			
Funded and delivered services in 2009-10 but not renewing in 2010-11	N			
Requesting funds for 2011-12 (new, continuing or expanding)	N	New	Continuing	Expanding

**NOTE: Sullivan County has no provider for High-Fidelity Wrap Around services.**

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within EBP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11			
FY 2011-12			

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:*

- Explain why the change is requested. What are the deciding factors to move from the originally requested program(s) to another(s)? Was this change discussed with the regional office?

*Response:*

- **Complete the following table for each applicable year.**

	0607	0708	0809	0910	1011	1112
Target Population						
# of Referrals						
# Successfully completing program						
Cost per year						
Per Diem						

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Cost/Program funded amount						
# of MA referrals						
# of Non MA referrals						
Name of provider						

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:*

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:*

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:*

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:*

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:*

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

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**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:*

- ❑ Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Do not describe the model. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:*

- ❑ Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:*

- ❑ For FY 2011-12 Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:*

- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

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**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** (for counties requesting funds for the first time)

*Response:*

**6-3b. Pennsylvania Promising Practices**

The following questions must be answered for the PaPP Initiative by counties with an approved allocation for the Implementation Year 2010-2011 and to request funds for FY 2011-2012. Refer to the Needs Based Plan and Budget Instructions, Appendix 9 for more information.

PaPP Dependent

Program Name: SULLIVAN SEEDS OF HOPE

Request Type	Enter Y or N		
Renewal from 2009-10	N		
New implementation for 2010-11 (did not receive funds in 2009-10)	Y		
Funded and delivered services in 2009-10 but not renewing in 2010-11	N		
Requesting funds for 2011-12 (new, continuing or expanding)	Y	New	Expanding
			X

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within PaPP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11	\$5,150	\$0	\$5,250
FY 2011-12			\$8,000

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:* Sullivan Seeds of Hope (SSOH) was not approved as a PaPP until FY 2010-11; however, the County had an opportunity to team with an existing ministerium program at the end of FY 2009-10 to develop SSOH earlier than anticipated. The County was unable to spend the approved grant funding for a delinquent PaPP and received approval to shift the funding from delinquent PaPP to dependent PaPP.

- Explain why the change is requested. What are the deciding factors to move from the originally requested program to another? Was this change discussed with the regional office?

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*Response:* SSOH is teaming with an existing Sullivan County Ministerium volunteer program and the Sullivan County Independent Living program to provide an enhanced version of Seeds of Hope model. The SSOH will serve families and children to ensure they have a safe home and to prevent unnecessary child placement. SSOH will provide an opportunity for families who wish to “pay back” for the support and services they received by volunteering in SSOH activities. SSOH will provide an opportunity for community service and mentoring for IL youth and enhance the youths’ community connectedness.

**□ Complete the following table for each applicable year.**

	<b>0607</b>	<b>0708</b>	<b>0809</b>	<b>0910</b>	<b>1011</b>	<b>1112</b>
Target Population	Families & children	Families & children	Families & children	Families & children	Families & children	Families & children
# of Referrals	0	0	0	0	15	17
# Successfully completing program	0	0	0	0	15	17
Cost per year	0	0	0	(\$15,000)	\$5,250	\$8,000
Per Diem Cost/Program funded amount	0	0	0	(\$15,000)	\$5,250	\$8,000
# of MA referrals	N/A	N/A	N/A	N/A	N/A	N/A
# of Non MA referrals	N/A	N/A	N/A	N/A	N/A	N/A
Name of provider	N/A	N/A	N/A	SSOH	SSOH	SSOH

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:* Not applicable. SSOH will begin service in FY 2010-11.

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:* Not applicable. SSOH will begin service in FY 2010-11.

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:* Not applicable. SSOH will begin service in FY 2010-11.

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:* Not applicable. SSOH will begin service in FY 2010-11.

**Complete the following for each applicable year.**

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- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* The potential target population for Sullivan County is all families with children under age 18, living in the County. The SSOH program will provide services county-wide. Families will be eligible for services if they are identified by SCCYS (at intake or on-going service), JPO, other human services, the school, or other community partners as having housing safety issues which potentially place children at risk of harm and therefore are at risk of placement.

Seeds of Hope will serve the safety and housing rehabilitation needs for any family in Sullivan County, school-district-wide. Provision of resources and volunteer contractor services will ameliorate family housing safety concerns and prevent placement of children.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:* Same as above. Sullivan County is requesting a \$3,000 expansion of funding to provide necessary repair and maintenance tools to be used by the SSOH volunteers and IL youth.

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* Sullivan Seeds of Hope (SSOH) will provide mentoring, repair of housing and safety issues, provide items necessary for children’s daily care, and coordinate provision of household appliances and furniture needs. Service outcomes will be defined as placement prevention and improvement in environmental safety.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Discuss the agency’s experience with the provider agency. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

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*Response:* Family needs will be identified and referred by SCCYS. Referrals of need may be made to SCCYS from the school, juvenile probation and other community providers. Referrals will be forwarded to the Sullivan Seeds of Hope (SSOH) board for consideration. Sullivan Seeds of Hope will utilize community donations and/or volunteer labor to resolve the housing safety concern. SSOH will work with the SCCYS case worker to deliver the services or goods to the family and will offer mentoring to the family/parents if indicated. In addition to providing a safe living environment for the families, SSOH will provide a community service outlet for parents who wish to “give back” and Independent Living youth. SSOH will also provide mentoring and concrete life skills for IL youth.

**FY 2010-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:* Same as above.

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:* The expenditures for SSOH were calculated based on the actual cost to complete a multi-use storage facility to be owned by the community program, SSOH, and built on free County space. The storage building will be the base of operations for SSOH, storing tools, donated materials and household furnishings, appliances, and cribs. Approximately \$4,500 of the budgeted funds will be used to upgrade the building to prepare it for full use. The remaining costs are to facilitate community and organizational meetings, advertising, printing and postage. The SSOH Board of Directors will invoice the County for each expenditure. The County will submit invoices to OCYF/DPW per grant requirements. Sullivan County believes that this minimal cost upfront will reap large future gains and meet the unmet needs for County families and their children.

- **FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

□

*Response:* Sullivan County is requesting a final expansion of funding to support the SSOH functions. The additional funding in FY 2011-12 will allow the SSOH program to purchase tools and supplies to be used by program volunteers, mentors, and volunteer youth and families in completing housing and safety repairs for needy families. Currently, SSOH must rely on the volunteers and supporting contractors to supply their own tools and supplies. The funding has been calculated for: \$4,750 for tools (hand tools, rakes, shovels, wheelbarrows, dollies); \$525 for advertising and printing; \$2,330 for shelving and storage organization; \$395 for filing cabinets and supplies (unless SSOH can secure donations – either direct or in-kind).

- **For FY 2011-12,** Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

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*Response:* It is anticipated that SSOH activities will prevent at least one child placement during the year (the minimum placement costs for one year is \$9,125) at a cost savings of \$1,125 per child. The additional benefits provided by SSOH, such as mentoring of IL youth and parents, cannot be estimated as there is currently no similar service in the County. SSOH is also beginning to coordinate with Sullivan County Health Partnership to assist in their programs, Cribs for Kids, to aid new parents in ensuring they have safe furnishings and supplies to meet their newborns needs. These added endeavors were not calculated in the original plan and no funding is requested at this time; however, it demonstrates the exponential impact the program will have on the community and our children and families.

- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations)

*Response:* None required.

**FY 2011-12** (for counties requesting funds for the first time)

*Response:* None required.

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PaPP Delinquent **None requested.**

Program Name:	
---------------	--

Request Type	Enter Y or N		
Renewal from 2009-10	N		
New implementation for 2010-11 (did not receive funds in 2009-10)	N		
Funded and delivered services in 2009-10 but not renewing in 2010-11	N		
Requesting funds for 2011-12 (new, continuing or expanding)	N	New	Continuing
			Expanding

Complete the following table if providing this service or requesting a **transfer, shift, or revision** only of funds for FY 2010-11; and/or requesting funds for FY 2011-12. Enter the total amount of state and matching local funds. (Transfer/shifting is allowable only within PaPP funds. Counties may not transfer/shift from or to other SGI categories.)

Total Budget Amount	Original/Approved Allocation (Amt requested and approved)	Revision Amount Change + or -	Requested Amount (enter this amount in fiscal worksheets)
FY 2010-11			
FY 2011-12			

- Did your county request and receive approval to transfer/shift funds during FY 2009-10? If YES, will the rationale for the change remain the same for FY 2010-11? Describe, briefly that rationale for the approved change and do not respond to the next question. If NO, please respond to the following question.

*Response:*

- Explain why the change is requested. What are the deciding factors to move from the originally requested program to another? Was this change discussed with the regional office?

*Response:*

- Complete the following table for each applicable year.**

	0607	0708	0809	0910	1011	1112
Target Population						
# of Referrals						
# Successfully completing program						
Cost per year						
Per Diem Cost/Program funded						

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amount						
# of MA referrals						
# of Non MA referrals						
Name of provider						

**If this is a renewal of services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:*

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:*

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:*

- If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:*

**Complete the following for each applicable year.**

- Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:*

- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

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**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:*

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Discuss the agency’s experience with the provider agency. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2010-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:*

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:*

- **For FY 2011-12**, Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:*

- Identify any technical assistance needs the county or provider agency has to provide effective services.

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**FY 2010-11** (for counties with approved allocations)

*Response:*

**FY 2011-12** (for counties requesting funds for the first time)

*Response:*

**6-3c. Housing Initiative**

The following questions must be answered for the Housing Initiative by counties with an approved allocation for the Implementation Year 2010-2011 and to request funds for FY 2011-2012. Refer to the Needs Based Plan and Budget Instructions, Appendix 9 for more information.

Program Name: Housing Initiative/SCCYS

Request Type	Enter Y or N		
Renewal from 2009-10	Y		
New implementation for 2010-11 (did not receive funds in 2009-10)	N		
Funded and delivered services in 2009-10 but not renewing in 2010-11	N		
Requesting funds for 2011-12 (new, continuing or expanding)	Y	New	Expanding
			X

Budget	\$ amount
FY 2010-11 Approved Budget	\$8,200
FY 2011-12 Budget Request	\$8,200

**If this is a renewal of Housing services delivered in FY 2009-10, answer the following:**

- Clearly describe the program’s accomplishments or results; any challenges to implementation; and the impact on service delivery for FY 2010-11. Use data/statistics to show the impact of the program services.

*Response:* Housing Initiative funding in FY 2009-10 aided five Sullivan County families and six children. Grant funds were expended to secure or maintain housing for families to relieve homelessness (two families); to purchase heating fuels so that a home was safe for children during the winter (two families); and to purchase lock boxes for medications so that young children could not be endangered (two families).

- What are the barriers to the realization of your program outcomes? Identify each year and describe the barrier/challenge to reaching the program outcomes for that year.

*Response:* None.

- Describe the county’s expenditures history, if any, for the program/practice. What factors contributed to the successful or underspending or under-utilization of grant funds?

*Response:* In FY 2009-10, Sullivan County expended \$1,158.36 of the Housing Initiative grant funding. This underspending was unexpected as prior years there has been much greater need for winter heating fuels and utilities to help families provide a safe home for their child(ren). The County also had fewer families in need of rent assistance to obtain or maintain their homes than in years past.

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- ❑ If there were instances of underspending or under-utilization of prior years grant funds, describe what changes have occurred or will occur to ensure that grant funds for this program/service are maximized and effectively managed. Also, identify the measures the county will utilize in both FY 2010-11 and FY 2011-12.

*Response:* As noted previously, Sullivan County underspent by \$7,041. It is anticipated in FY 2010-11 and FY 2011-12, there will be much more strain placed on the County's available housing due to the Marcellus shale gas industry. It is essential that families with children are able to maintain their current housing as there may be no other housing options. The County will utilize the Housing Initiative funds to aid families in maintaining safe, stable housing; provide heating and utilities if families are temporarily unable; and assist transitioning youth in obtaining and maintaining appropriate housing. Grant funds are expended based on need and only when there are no other resources available.

- ❑ Identify and describe the target population(s) for whom the county expects to provide these services.
- ❑ Describe the programs for dependent and delinquent youth which:
  - Prevent children from being placed, or
  - Facilitate the reunification of children with their families, or
  - Facilitate the successful transition of youth aging out, or who have aged out of placement. As of FY 2010-11, this includes all aging out youth with the exception of those who emancipate care on or after age 18, who will be funded through Appendix 8: IL Services, Room and Board.

- ❑ **FY 2010-11** (for counties with approved allocations)

*Response:* The target populations in Sullivan County are: 1) any family with children who are at risk of placement due to lack of housing or unsafe housing; 2) families who have children in care and could safely provide for their children if they secured stable housing; and 3) transitioning youth. Families which receive grant funding have an open General Protective Services case and will be provided with case management, parenting support, and life skills education. Transitioning youth, in addition to the housing grant funding, will be provided education and support from the Independent Living program.

**FY 2011-12** Renewing counties may reply with "same as above" unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- ❑ Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations)

*Response:* Service outcomes: 1) placement prevention; 2) child safety; 3) fewer days of care; 4) youth able to demonstrate proficiency in maintaining housing.

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**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:* Same as above.

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Discuss the agency’s experience with the provider agency, and their Medical Assistance approval and enrollment status. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations)

- *Response:* Referrals or requests for housing or utility assistance will be received and approved by SCCYS Director and caseworker (after assurance that all other emergency resources have been exhausted). SCCYS will monitor safety and stability throughout the life of the case activity. Medical Assistance approval/enrollment is not applicable.

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:* Same as above.

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations)

*Response:* Costs are based on actual average rental costs in Sullivan County and prior trends in heating and utility costs.

- **FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

*Response:* No change from prior year.

- **For FY 2011-12** Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:* Sullivan County avers that expending funds to pay rent or utilities for even one family – which ensures children are safe and prevents even one child placement – is cost-effective. Each rental payment averages approximately \$400, while the minimum cost of one

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month of foster care is \$775. Cost savings are in preventing expenditures on unnecessary placement.

- Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations)

*Response:* None requested.

**FY 2011-12** (for counties requesting funds for the first time)

*Response:* None requested.

**6-3d. Alternatives to Truancy Prevention**

The following questions must be answered for the ATP Initiative by counties with an approved allocation for the Implementation Year 2010-2011 and to request funds for FY 2011-2012. Refer to the Needs Based Plan and Budget Instructions, Appendix 9 for more information.

**NOTE: NOT APPLICABLE IN SULLIVAN COUNTY**

Program Name:	
---------------	--

Request Type	Enter Y or N		
New implementation for 2010-11	N		
Requesting funds for 2011-12 (new, continuing or expanding)	N	New	Continuing
			Expanding

Budget	\$ amount
FY 2010-11 Approved Budget	\$0
FY 2011-12 Budget Request	\$0

Complete the following table for each applicable year.

	0607	0708	0809	0910	1011	1112
Target Population						
# of Referrals						
# Successfully completing program						
Cost per year						
Per Diem Cost/Program funded amount						
# of MA referrals						
# of Non MA referrals						
Name of provider						

**Complete the following for each applicable year.**

Indicate and describe the target population for whom the county expects to provide these services. Describe how the target population was chosen and the internal and external factors influencing this decision. This may include age, location, type or reason for placement, whether it is county-wide, school district focused, etc.

**FY 2010-11** (for counties with approved allocations)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification. Describe the provider’s capacity to serve additional youth.

*Response:*

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- Identify the service outcomes the county expects to achieve as a result of providing these services. Explain how service outcomes will be measured and the frequency of measurement.

**FY 2010-11** (for counties with approved allocations)

*Response:*

**FY 2011-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services or revising prior outcomes, which requires further information and justification.

*Response:*

- Describe how the program will be implemented or operated for services from the identification and referral process through program completion. Discuss the agency’s experience with the provider agency. Provide a timeline for any changes or new program implementation.

**FY 2010-11** (for counties with approved allocations)

*Response:*

**FY 2010-12** Renewing counties may reply with “same as above” unless expanding or decreasing the services, which requires further information and justification.

*Response:*

- Clearly explain the implementation year budget of FY 2010-11. Identify and discuss how the cost of services (per diem/unit or program funded) is determined and included in the budget, and provide a brief narrative description of each budget line item. Also describe the invoicing process and any requirements between the county and provider agencies.

**FY 2010-11** (for counties with approved allocations or transfer/shift requests)

*Response:*

**FY 2011-12** For new funding requests or renewing counties requesting an increase or expansion of funds, clearly describe the process used to calculate the county request for funding and the rationale. Provide historical information as to the county’s successes or barriers to new program/practice implementation, including provider contracting and participation. Refer to the county timeline (requested above) as part of the rationale.

□

*Response:*

**For FY 2011-12** Explain the potential cost savings/offsets and impact of increased use. When is it predicted that the cost savings will be realized? What type of placement will be utilized?

*Response:*

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- ❑ Identify any technical assistance needs the county or provider agency has to provide effective services.

**FY 2010-11** (for counties with approved allocations)

*Response:*

**FY 2011-12** (for counties requesting funds for the first time)

*Response:*

**6-3d. State Reintegration Plan**

The following questions must be answered for the SRP Initiative by counties requesting funds for FY 2011-2012. Once determined, enter the amount in the “10-11 SGI BDGT RQST” tab in the **Budget Excel file**.

Number of Youth	Cost per youth	\$ amount
0	\$3,665	\$0

- Describe the how the number of youth was determined.

Sullivan County currently has one youth in YDC placement; however, the Juvenile Probation Office has indicated there is no intention of reintegrating this youth. The plan for the youth is to be referred to the Act 21 program (he reaches age 21 in June 2011).

**6-3e. Independent Living Service Grant**

- In the table below, place an “X” for the services that will be provided by CCYA (regardless of funding source). Check as many boxes as apply.

Mark “X” in this column	Services
X	A. Needs Assessment/Case Planning
X	B. Life Skills Training
	C. Prevention Services
X	Dental/Health
X	Drug Abuse Prevention
X	Alcohol/Tobacco/Substance
X	Safe Sex/Pregnancy
	D. Education
	Vocational Training
X	High School Support and Retention
X	Preparation for GED
X	Assistance in Obtaining Higher Education
	E. Support
X	Individual and Group Counseling
X	Stipends
	Services for Teen Parents
X	Mentoring
	F. Employment
X	Job Placement
X	Subsidized Employment
X	G. Location of Housing
X	H. Room and Board
X	I. Retreats/Camps
X	J. Indirect Services
X	K. Program Administration

- In the following forms, complete the form **for services marked with an “X” in the above table only**. Provide the requested information pertaining to each specific IL service to be provided by the CCYA. Enter all county IL services information in this template. In each service area table, list the estimated requested grant amount to be used for IL services. Include the following in the estimate: staff costs to perform these services, the cost of materials and supplies and the cost to develop, implement and monitor implementation of these services unless adding in Indirect Services or Program Administration.
- For each IL service **marked with an “X” in the above table**, estimate the number of in care; delinquent, discharged and total youth (unduplicated counts) who will receive IL services.

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IL Services (federal, state, local)	\$ amount
FY 2010-11 Approved Budget *	\$29,326
FY 2011-12 Budget Request *	\$33,418

\* These amounts must match the amounts on the county's budget worksheets.

- Describe the county's expenditures history for IL Services for FY 2006-07, 2007-08, 2008-09 and 2009-10. What factors contributed to the successful or unsuccessful spending of grant funds for each year?

Sullivan County did not receive IL grants for FY 2006-07, 2007-08, and 2008-09. In FY 2009-10, the County received an IL grant (no Chafee funds) for \$21,000 and expended \$17,894.72. The County did not expend all of the IL grant funding since it was the start-up year for an enhanced, formal IL services program and the County was conservative in its spending. There were some IL activities that could have been offered, but were moved to FY 2010-11 so as to ensure funding was not depleted.

- If there were instances of underspending of prior years grant funds, describe what changes have occurred to ensure that grant funds for this program/service are maximized and effectively managed.

Funding has only been received for the past year. See above for explanation. For FY 2010-11, Sullivan County will have more experience in planning for services and expenditures and should be able to fully utilize grant funding.

A. Needs Assessment/Case Planning

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Needs Assessment/Case Planning	\$2,049	1	2	2	25
<b>Total</b>	\$2,049	1	2	2	25

\* Enter unduplicated youth count only.

- Describe how the needs assessment/case planning process will be delivered; who will deliver the activities (provider or agency staff); what tool(s) will be used; and the frequency of the activity for or with youth.

The CW 2/IL Coordinator assists the youth, family/caretakers, and assigned caseworker to complete an Independent Living Skills needs assessment on-line (Ansell-Casey Life Skills Assessment – ACLSA). The IL Coordinator assists the youth to develop his or her own IL plan. Services are available to all dependent, delinquent, discharged, adopted, SPLC, and

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identified at-risk youth, ages 14 and older. Sullivan County is working with a community partner, Sullivan County Victim Services, to provide additional adult facilitators. The primary IL Facilitator/Life Skills Coach (from SCVS) will be learning about assisting youth in their needs assessment and planning process in this fiscal year so as to better support the youth in their IL activities and learning process.

SCCYs staff, SCJPO staff and resource parents offer all youth, ages 14 and older, who receive intake or ongoing services, an opportunity to complete their IL needs assessment (ACLSA) and participate in their plan development conferences. All youth in placements, dependent and delinquent, are required to complete the ACLSA (or equivalent) and to develop an individualized IL plan as part of their FSP/ CPP process. Youth in placement and discharged youth are given priority assessment. The IL Coordinator reviews the needs assessment with the youth, family and caseworker. Identified strengths, challenge areas, and the youth’s life goals are included in the youth’s individualized IL plan. Youth and their life resources are engaged to set achievable goals and an action plan to achieve the youth’s goals. The IL Coordinator, with each youth, reviews the individualized plan at least every six months. Each youth completes another updated needs assessment every six months.

As noted in the PA Title IV-E Independent Living Program 2009-2010 County Review Report conducted on 5/7/10 by Stephen Eidson (Practice Improvement Specialist), “Juvenile Probation has been somewhat reluctant to refer youth this year; only one youth has been referred in the fiscal year to date.” During FY 2010-11, Sullivan County will be working with JPO and the Court to encourage all delinquent youth, ages 14 and up, be assessed for IL needs. The County will ensure SCJPO Chief is invited to all IL planning and event meetings.

- ❑ Describe how the costs to provide the activities are determined.

Given that the County now has one year of experience in providing enhanced IL services, the County is better able to calculate the costs associated with conducting needs assessment and plan development. The costs were determined based on the estimated number of youth who will receive assessment services, the number of hours required by staff to conduct the assessment, and the value of staff time. There is also some costs associated with transportation to the family home or to bring the youth to the SCCYS office so as to complete their on-line assessment.

**B. Life Skills Training**

- ❑ Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

<b>Service</b>	<b>Budget Request (\$)</b>	<b>In Care Youth*</b>	<b>Delinquent Youth*</b>	<b>Discharged Youth*</b>	<b>Total Youth*</b>
Life Skills Training	\$11,562	1	2	2	19
<b>Total</b>	\$11,562	1	2	2	19

\* Enter unduplicated youth count only.

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- ❑ Estimate the percentage of the delivery method for this service area.

25%	75%
Individualized Svcs.	Group or Classroom Svcs.

- ❑ Describe how life skills training will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

Sullivan County provides life skills instruction, both individually and in group formats. The IL Coordinator meets with each youth at least two times per month regarding an identified life skill. The IL Coordinator assesses progress and secures resources as needed to achieve goals.

Sullivan County continues to identify and acquire life skills education materials to assist the Coordinator and resource parents' instruction. The IL Coordinator and Life Skills Coach facilitate a group instruction/support meeting at least monthly, offering education on a specific life skill from a community "expert." During FY 2010-11 the County will offer additional group life skills and pro-social activities at least two times per month. IL youth met with the SCCYS Director to develop this plan for the NBB submission.

Sullivan County IL program is working with newly formed Sullivan Seeds of Hope (SSOH-community members, human services staff and ministerium members) to offer IL youth concrete life skills in home repair, cleaning, and maintenance during their community service project time with SSOH. The SSOH members will be paired with IL youth in a mentorship role.

The IL Coordinator trains resource families to assist youth in their care in the development of skills for daily living.

- ❑ Describe how the costs to provide the activities are determined.

Sullivan County's experience in the first grant year has been invaluable in determining actual costs to provide individual and group education. The County entered into a contract with SCVS to use their house to hold group instruction with meal preparation and to provide a Life Skills Coach, assisting the IL Coordinator. The costs have been calculated for monthly group instruction with meal preparation, a monthly group pro-social activity for enrichment, individual IL skills training and support, and the contract for Life Skills Coach (SCVS).

### C. Prevention

- ❑ Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

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Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Dental/Health	\$0	1	2	2	19
Drug Abuse Prevention	\$0	1	2	2	19
Alcohol/Tobacco Substances	\$0	1	2	2	19
Safe Sex/Pregnancy	\$0	1	2	2	19
<b>Total</b>	\$0	1	2	2	19

\* Enter unduplicated youth count only.

- Estimate the percentage of the delivery method for this service area.

25%	75%
Individualized Svcs.	Group or Classroom Svcs.

- Describe how prevention services will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

Youth who are participating in the monthly life skills group receive instruction from community “experts” regarding health needs, drug/substance/tobacco prevention, and safe sex/pregnancy prevention. Youth with identified substance use or sexuality issues are assisted in obtaining more intensive individualized services and supports.

- Describe any additional prevention services provided to the youth that are not listed above and who will provide those services.

As stated above, youth who are identified as having substance use, health or dental care issues, or sexuality issues are assisted in obtaining more intensive individualized services and supports from a community provider.

- Describe how the costs to provide the activities are determined.

There have been no additional costs associated with providing prevention services during the first grant year. Prevention services are assigned to the life skills education cost center and the assistance in obtaining more intensive supports are part of the SCCYS case management services.

D. Education

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

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Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Vocational	\$0		1	1	2
High School Support and Retention	\$500			1	2
GED	\$0				
Assistance in Obtaining Higher Education	\$500	1			3
Education and Training Grant (ETG) Provision and Retention	\$0			2	2
<b>Total</b>	<b>\$1,000</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>19</b>

\* Enter unduplicated youth count only.

- Estimate the percentage of the delivery method for this service area.

95%	5%
Individualized Svcs.	Group or Classroom Svcs.

- Describe how education services will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

Sullivan County assists youth in successful completion of high school through paying for recommended tutors and summer school courses so that the youth may be promoted to the next grade level. Sullivan County provides funding for application fees, assistance in completing college and vocational admissions documents, and grant applications. Sullivan County continues to research the availability of driver's education services.

- Describe any additional services provided to the youth that are not listed above and who will provide those services.

Services are provided by the local school district and qualified tutors, SCCYS caseworkers, and a professional driving instruction business.

- Describe how the costs to provide the activities are determined.

Costs for educational services were calculated based on Sullivan County's prior experience with educational supports and the costs for higher education and vocational instruction support costs.

E. Support Services

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

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Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Individual and/or Group Counseling	\$550	1	2	2	19
Stipends	\$3,660	1	2	2	15
Services for Teen Parents	\$0	0	0	0	0
Mentoring	\$650	1	2	2	15
<b>Total</b>	<b>\$4,860</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>19</b>

\* Enter unduplicated youth count only.

- Estimate the number of youth who the county will refer to the SWAN prime contractor for the following services related to permanent connections.

SWAN	
	No. of Youths
<b>Child Profile:</b>	2
<b>Child Preparation:</b>	2
<b>Child Specific Recruitment:</b>	2

- Estimate the percentage of the delivery method for this service area.

60%	40%
Individualized Svcs.	Group or Classroom Svcs.

- Describe how support services will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

IL youth have the opportunity to earn bonus stipends for achieving plan goals and reaching IL milestones: attending group sessions, attaining honor roll, receiving high school diploma or GED, securing and maintaining employment, etc. Youth are required to complete the goal of opening a savings account before receiving stipend payments. Youth will begin working on community service projects with their Sullivan Seeds of Hope mentors. Youth will be matched with appropriate community mentor volunteers. IL youth will be eligible to earn additional bonus stipends for successfully completing their community service projects.

- Describe any additional services provided to the youth that are not listed above and who will provide those services.

N/A

- Describe how the costs to provide the activities are determined.

Costs were calculated based on the expenditures in FY 2009-10 and estimates for numbers of participating youth. Additional costs were added to address the addition of the community service project stipends and the SSOH mentoring.

F. Employment

- ❑ Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Job Placement	\$250	1	1	2	8
Subsidized Employment	\$0	1	1	2	5
<b>Total</b>	<b>\$250</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>8</b>

\* Enter unduplicated youth count only.

- ❑ Mark with an “X” the types of subsidized employment services which will be offered, and whether the subsidy will be full or partial.

Subsidy Type	Offered	Full	Partial
Summer Employment	X		X
Agency Operated Only			
Tax Credits			
Other (describe: travel costs)	X		X

- ❑ Estimate the percentage of the delivery method for this service area.

100%	0%
Individualized Svcs.	Group or Classroom Svcs.

- ❑ Describe how employment services will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

The IL Coordinator assists youth in identifying appropriate summer employment and applying for employment. All IL youth are offered the opportunity to apply for the summer work experience with a community provider, TreHab. The IL Coordinator assists youth in application and interviews. The IL program assists youth who have transportation difficulties by providing travel expenses/gas cards as needed.

- ❑ Describe any additional services provided to the youth that are not listed above and who will provide those services.

TreHab – Summer work experience

- ❑ Describe how the costs to provide the activities are determined.

Costs for employment services were calculated based on prior experience and the estimated number of eligible youth.

G. Location of Housing

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file. **Do not request placement costs in this service area or grant.**

Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Location of Housing	\$498	0	1	3	4
<b>Total</b>	\$498	0	1	3	4

\* Enter unduplicated youth count only.

- Mark with an “X” the types of assistance which will be offered.

Assistance Type	Offered
Referral to public housing agency	X
Interview preparation	X
Application assistance	X
Accompany on inspection	X
Use local realtors as a housing resource	X
Other (describe: Casemgmt. )	X

- Estimate the percentage of the delivery method for this service area.

90%	10%
Individualized Svcs.	Group or Classroom Svcs.

- Describe how location of housing services will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

The IL Coordinator and the youth’s assigned caseworker will assist youth in identifying and securing housing resources. SCCYS staff will accompany youth through each step of the process to ensure the youth has access to safe, stable housing to meet their needs. The IL program supports youth with direct services in each step of locating housing, meeting with the landlord, obtaining utilities, and furnishing the home.

The IL group education activities include: working with landlords, understanding leases, tenant rights and responsibilities, housing/tenant legal issues, what to look for in rental units, and setting up utilities.

## SULLIVAN COUNTY

- Describe any additional services provided to the youth that are not listed above and who will provide those services.

SCCYS will support any dependent transitioning youth in housing costs until the youth is able to live independently.

- Describe how the costs to provide the activities are determined.

Costs were determined based on the prior grant year expenditures and the anticipated number of identified youth.

### H. Room & Board

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Room and Board	\$7,700	1	2	1	4
<b>Total</b>	<b>\$7,700</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>4</b>

\* Enter unduplicated youth count only.

- If the agency **does** provide youth with room and board, describe the frequency of staff contact with youth accessing these services.

Sullivan County youth will be provided room and/or board assistance, up to a total of \$1,250 per youth. This will assist the youth in obtaining their own rental unit, paying for utilities, and paying for the first two months of rent. Room and board assistance will only be provided until the youth secures his or her own income or other subsidy. The IL Coordinator and/or assigned caseworker will provide support to youth through regular visits – initially at least weekly, then stepped down to bi-weekly.

Sullivan County provided one youth with intensive supports during FY 2009-10 when the youth requested to continue in the Agency's custody while transitioning to college in another county. The youth failed in each supervised or residential IL setting and, as a last resort, was supported in obtaining her own apartment and furnishings. SCCYS staff met with the youth weekly and had telephone contact nearly daily until the youth was accepted into Luzerne County's IL program and received group and individual services.

- If the agency **does** provide youth with room and board, describe the period of time that youth can access the service, by type of assistance offered and whether a "step-down" approach will be used.

Youth will receive assistance for the period of time required based on their individual situation. Each youth will develop a plan for "step-down" to independence. In the aforementioned case, the youth remains in the Agency's custody, but has demonstrated increased skills and the ability to take on her own costs for utilities, telephone and food. SCCYS continues to provide rent assistance.

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- If the agency **does not** provide youth with room and board, describe what services are used to meet housing needs.

N/A

- Describe how the costs to provide the activities are determined.

Costs were determined based on prior grant year history and the anticipated number of eligible youth.

I. Retreats/Camps

- Complete the table and estimate the unduplicated total number of youth who will receive the services. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

Service	Budget Request (\$)	In Care Youth*	Delinquent Youth*	Discharged Youth*	Total Youth*
Retreats/Camps	\$1,423	1	1	2	4
<b>Total</b>	<b>\$1,423</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>4</b>

\* Enter unduplicated youth count only.

- Estimate the percentage of the delivery method for this service area.

30%	70%
Individualized Svcs.	Group or Classroom Svcs.

- Describe how retreats/camps will be delivered; who will deliver the activities (provider or agency staff); what curricula will be used; and the frequency of the activity with youth.

The IL Coordinator will accompany two IL youth to the statewide YAB retreat. If other youth retreats are offered, the County will support youth attendance. One IL youth (adopted) who is age 18 now is volunteering as a camp counselor for a county-organized, week-long camp (Camp F.L.E.A.) in FY 2010-11.

- Describe how the costs to provide the activities are determined.

Costs were determined based on travel and meal cost to attend the statewide YAB and the staff time.

J. Indirect Services

- Complete the table and breakout the costs for these activities. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

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<b>Indirect Service Type</b>	<b>Budget Request \$</b>
Staff, Foster/Adoptive and other Residential Child Care Providers	\$515
Community Outreach and Educational Efforts	\$250
Interagency coordination to support IL activities and services at the local level	\$0
System change efforts	\$0
Other (describe: fiscal/clerical )	\$915
<b>Total</b>	<b>\$1,680</b>

- Describe the indirect services provided by the county.

The IL Coordinator will facilitate training from the CWTP program for all child welfare staff, foster & adoptive parents, and key school and community partners. The IL Coordinator meets with community members and service providers to provide an overview of IL services, plans, and life skills instruction. "Expert" community members and service providers are identified to provide group instruction on skills/topics which were identified in the youth's assessment. The Director, CW Supervisor and IL Coordinator will work with partners to address issues, identify youth IL referrals, and to develop integrated plans.

- Describe any additional indirect services provided by the county and who will provide those services.

N/A

- Describe how the costs to provide the activities are determined.

Costs were determined based on expenditures associated with facilitating meetings, trainings and community education activities and the purchase of resource materials.

K. Program Administration

- Complete the table and breakout the costs for these activities. These totals must equal the amounts on the FY 11-12 IL Grant Request worksheet in the Budget Excel file.

<b>Indirect Service Type</b>	<b>Budget Request \$</b>
Staff providing direct services	\$1,446
Program reporting costs	\$0
Equipment, training materials, supplies, postage, facility expenses	\$350
IL and Youth Advisory Board related travel	\$600
Other (describe: ) <b>TOTAL:</b>	<b>\$1,680</b>

- Explain the administrative costs of providing IL services and the drivers of these costs.

Primary costs are for staff providing direct services, program supervision and monitoring the IL grant costs. The only other costs were for postage/supplies and IL travel. Direct services and

## SULLIVAN COUNTY

fiscal costs were calculated based on the estimated time required weekly to process fiscal reports, invoicing for stipends, supervision time, and planning meetings with supervisory staff.

- Describe any additional administrative costs of providing IL services that are not listed above and the drivers of these costs.

N/A

- Describe how the costs to provide the activities are determined.

See above.

**6-4. Accurint Search Tool**

The following information must be provided for the Accurint Search Tool for the Implementation Year 2010-11 and to request and justify the number of users needed for FY 2011-12.

Column Instructions

Column 1

Provide the number of Accurint users that your county was allotted in FY 2009-10. If you do not know the number of users your county was allotted, please contact the DPW/OCYF Accurint Manager listed in Appendix 8.

Column 2

Provide the number of Accurint users that your county was allotted in the tentative or final allocations for July 1, 2010 by DPW/OCYF. If the final FY 2010-11 allocations have not been provided by the submission deadline, counties should not create more user ID's than originally assigned in FY 2009-10. If you do not know the number of tentative or final users your county was allocated, please contact the DPW/OCYF Accurint Manager.

Column 3

Provide the number of additional Accurint users your county needs for FY 2011-12. Before requesting additional users, counties should analyze their current usage. Justification for additional users must include the following:

The type of staff selected to use Accurint and the reasons why. Examples of the types of staff may include County Administrator, LSI paralegal, supervisor, JPO, etc.

The average monthly number of users who did searches in FY 2009-10.

The average monthly number of searches completed by users in FY 2009-10.

The total number of searches completed by your county for FY 2009-10.

For assistance with an analysis of your county's usage, contact the DPW/OCYF Accurint Manager.

Column 4

Provide the total number of Accurint users your county would like for FY 2011-12. This number is calculated by adding columns 2 and 3 together.

Column 1	Column 2	Column 3	Column 4
Number of users assigned by DPW for FY 2009-10	Number of users assigned by DPW for FY 2010-11	Number of additional users requested for FY 2011-12	Total number of users requested for FY 2011-12
2	2	0	2

Provide Justification for Column 2: OCYF assigned Sullivan County two users. It is sufficient to meet SSCCYs needs.

Provide Justification for Column 3: See above



**6-???. Information Technology**

Submit a detailed description of the county's current Information Technology Plan. The description should provide answers to the following questions and should include what the county is doing in FY 2010-2011 and planning for FY 2011-2012

**1. Does the county currently have an automated case management system that is sustainable?**

Yes. Sullivan County purchased the Lycoming-Clinton Joinder system. LCJ is working with the Statewide Child Welfare Information Systems Plan staff to demonstrate sustainability and alignment with the statewide goals.

**a. If yes, describe the system and its functionality.**

The Sullivan County Information System (SCIS) is the same as LCJ's case management and information system.

**b. If no, describe how the county plans to transfer an already existing case management system from another county.**

N/A

**2. How does the county's current system or transfer system align with the goals of the Statewide Child Welfare Information System Strategic Plan (Statewide Plan)?**

**a. Interoperability – The system uses technology that is web-based and allows the efficient and secure exchange of information with other systems or components.**

LCJ is working with the Statewide plan team to demonstrate that SCIS will provide interoperability to the level required for state data reporting.

**b. Real-Time Information – The system is accessible to all workers, allows the direct input of real time information and will be capable of exchanging real time information with a statewide database. Information is not first tracked on paper and then entered into the system by data entry staff.**

The SCIS system is already being used by all workers and input of information is "real time."

**c. Standardized Data – the system accurately collects and reports data associated with federal and state reporting, such as AFCARS; and can be enhanced to exchange data with a statewide database using a standard data schema.**

LCJ is already using the system to collect and report federal and state data reporting. LCJ staff are working with the statewide plan team to demonstrate the ability of the SCIS system to exchange data with a statewide database.

**d. Case Management System – the system is a true case management system that is used by all caseworkers and supervisors to manage day to day**

**caseload activities. The system adequately supports the following functional areas: Case Management (Intake/Investigation, In-Home Services, Placement Services, Adoption, etc.); Eligibility; and Resource/Provider Management.**

The SCIS case management system is being used by all caseworkers and supervisors to manage day-to-day caseload activities. All child welfare services are recorded, including intake/investigation, in-home services, placement, adoption, and resource/provider management.

- e. **The system is compliant with DPW and/or Commonwealth Enterprise Standards and the system software code is public domain.**

LCJ is working with the statewide plan team to demonstrate compliance with this requirement.

**3. How does the county's current system or transfer system support other critical business areas such as Financial Management and Administrative Functions?**

Sullivan County is currently using SCIS for all case management and documentation functions. The administration is working toward utilizing SCIS for reporting functions that are currently being recorded by pencil and paper (i.e. CY-28). LCJ uses the system for financial management. Sullivan County is exploring transferring from the current separate financial management systems to utilizing SCIS.

- 4. How does the county's current system or transfer system support the evaluation of child welfare outcomes in the areas of child safety, permanency and well-being?

Sullivan County is currently exploring the capability of its SCIS system to assess/evaluate outcomes.

**5. How does the county plan support the reuse of existing IT assets?**

Sullivan County purchased the current system as part of its ICSP centralized intake and screening plan. Continuation of utilizing SCIS is an excellent reuse of those existing IT assets.

**If the county is requesting funding for ongoing or new development in their FY 2011-2012 ITG, the county must provide the following information.**

- 1. **Business Need** - describe the business need for the ongoing or new development;
- 2. **High Level Requirements** – provide a description of the high level business and technical requirements;
- 3. **Project Cost Proposal** – provide the total costs for the development as well as the total estimated project costs if the development is part of a larger project; and
- 4. **Cost/Benefit Analysis** – provide a cost/benefit analysis that demonstrates the ongoing or new development provides a better return on investment than transfer of an already existing system or component.

## Section 7: Required & Additional Language

### 7-1. Assurances

The following pages include assurance forms to be completed by counties. These forms are included:

- Assurance of Compliance/Participation
- Documentation of Participation by the Judiciary
- Assurance of Financial Commitment and Participation

**The following forms must be signed and submitted in hard copy to:**

Mr. Cliff Crowe  
Office of Children, Youth and Families  
Health and Welfare Building Annex  
Seventh and Forster Streets  
P.O. Box 2675  
Harrisburg, Pennsylvania 17105-2675

**and**

Mr. James Anderson, Executive Director  
Juvenile Court Judges' Commission  
401 Finance Building  
Harrisburg, Pennsylvania 17102-0018

ASSURANCE OF COMPLIANCE/PARTICIPATION FORM  
DOCUMENTATION OF PARTICIPATION BY THE JUVENILE COURT

The Assurance of Compliance/Participation Form

**The Assurance of Compliance/Review Form provided in this bulletin must be signed by the County Executive or a majority of the County Commissioners, the Juvenile Court Judge(s) or his/her designee, the County Human Services Director, the County Children and Youth Administrator, and the County Chief Juvenile Probation Officer and submitted with the FY2011-2012 Needs Based Plan and Budget submission.**

**The Assurance of Compliance/Review Form has two signatory pages. The first page is for the County Human Services Director, the County Children and Youth Administrator, the County Chief Juvenile Probation Officer and the Juvenile Court Judge(s) or his/her designee. This page must be submitted at the time of the county's implementation plan and needs based plan submissions. The second page is for the signatures of the County Executive or a majority of the County Commissioners. This page must be submitted at the time of the county's financial budget submission and must contain the financial commitment of the county.**

COUNTY: SULLIVAN

These assurances are applicable as indicated below.

Fiscal Year 2011 - 2012 Children and Youth Needs Based Plan and Budget Estimate and/or the

Fiscal Year 2010 - 2011 Children and Youth Implementation Plan

Note: A separate, signed Assurance of Compliance/Participation form must accompany the Children and Youth Implementation Plan and the Needs Based Plan and Budget when they are submitted separately. This Assurance of Compliance/Participation form cannot be modified or altered in any manner or the Children and Youth Implementation Plan and the Needs Based Plan and Budget will not be accepted.

COMMON ASSURANCES

I/We hereby expressly, and as a condition precedent to the receipt of state and federal funds, assure that in compliance with Title VI of the Civil Rights Act of 1964; Section 504 of the Federal Rehabilitation Act of 1973; the Age Discrimination Act of 1975; the Americans with Disabilities Act of 1990; the Pennsylvania Human Relations Act of 1955, as amended, and 16 PA Code, Chapter 49 (Contract Compliance Regulations):

1. I/We do not and will not discriminate against any person because of race, color, religious creed, ancestry, national origin, age, sex, sexual orientation or disability:
  - a. in providing services or employment, or in our relationship with other providers;
  - b. in providing access to services and employment for handicapped individuals.

2. I/We will comply with all regulations promulgated to enforce the statutory provisions against discrimination.

I/We assure that these documents shall constitute the agreement required by Title IV-E of the Social Security Act 42 U.S.C. § 672 (a)(2) for foster care maintenance and adoption assistance payments.

I/We assure:

- the County Children and Youth Agency and Juvenile Probation Office has the responsibility for placement and care of the children for whom Title IV-E foster care maintenance and adoption assistance payments are claimed;
- the County Children and Youth Agency/Juvenile Probation Office will provide each child all of the statutory and regulatory protections required under the Title IV-E agency, including permanency hearings, case plans etc.;
- the agreement between the Office of Children, Youth and Families and the County Children and Youth Agency/Juvenile Probation Office shall be binding on both parties; and
- the State Title IV-E agency shall have access to case records, reports or other informational materials that may be needed to monitor Title IV-E compliance.

I/We understand that any Administration for Children and Families (ACF) disallowance incurred as a result of county noncompliance with Title IV-E foster care maintenance, adoption assistance or Title IV-E administrative claim requirements will be the responsibility of the county.

I/We assure that all information herein is true to the best of my/our knowledge and belief, based on my/our thorough review of the information submitted.

## EXECUTIVE ASSURANCES

In addition to the Common Assurances,

I/We assure that I/we have participated in the development of the Plan, are in agreement with the Plan as submitted and that all mandated services if funded by the Plan will be delivered.

I/We assure that these Plans comply with the "Planning and Financial Reimbursement Requirements for County Children and Youth Social Services Programs" as found in 55 PA Code Chapter 3140.

I/We assure that, when approved by the Department of Public Welfare, the attached Children and Youth Implementation Plan and Needs Based Plan and Budget, including any new initiatives, additional staff and/or increased services and special grants that are approved, shall be the basis for administration of public child welfare services for all children in need under Article VII of the Public Welfare Code, 62 P.S. § 701 et seq., as amended.

I/We assure that, where possible, the county will cooperate with state efforts to maximize the use of federal funds for the services in this Plan.

**I/We assure that all contracts for the provision of services addressed herein will require the providers to comply with the Chapter 49 provisions (contract compliance regulations).**

**I/We assure that expenditure of funds shall be in accordance with these Plans and estimates and Department of Public Welfare regulations.**

I/We assure that services required by 55 PA code 3130.34 through 3130.38 will be made available as required by 55 PA code 3140.17 (b)(2);

I/We assure that the capacity of both the county and the providers has been assessed and it is my/our judgment that it will be adequate to implement the Plan as presented;

I/We assure all Title IV-E foster care maintenance and adoption assistance payment eligibility requirements are met for the specified children, not merely addressed by the agreement;

I/We assure that the County Children and Youth Advisory Committee has participated in the development of this Plan and has reviewed the Plan as submitted; and

I/We assure that representatives of the community, providers and consumers have been given the opportunity to participate in the development of this Plan; and

I/We assure that the county programs that affect children (e.g., Mental Health, Mental Retardation, and Drug and Alcohol) have participated in the development and review of this Plan.

I/We understand that the accompanying budget projections are based on estimates and that the amounts may change when the state budget is adopted and final allocations are made.

I/We understand that substantial changes to the Plans subsequent to Departmental approval must be submitted to the Regional Office of Children, Youth and Families for approval.

**NEW ASSURANCE in FY 2011-2012 NBB Bulletin**

**I/We assure that all new Guardians Ad Litem have/will complete the pre-service training prior to being appointed to represent a child. If the GAL has not completed the pre-service training, costs incurred for representation of children by this GAL will not be claimed.**

**COUNTY ASSURANCE OF COMPLIANCE AND PARTICIPATION**

DOCUMENTATION OF PARTICIPATION BY THE JUVENILE COURT

THE SIGNATURES OF THESE COUNTY OFFICIALS REPRESENTS AN  
ACKNOWLEDGEMENT OF COUNTY COMMITMENT TO ADHERE TO THE COMMON AND  
EXECUTIVE ASSURANCES CONTAINED IN THE PRECEEDING PARAGRAPHS

**County Human Services Director**

N/A

**County Children and Youth Administrator**

**Lisa K. Wilcox**

Name

Signature

Date

**County Chief Juvenile Probation Officer**

**John Yarnell**

Name

Signature

Date

**DOCUMENTATION OF PARTICIPATION BY THE JUDICIARY**

**In addition to the Common Assurances:**

I/We assure that I/we had the opportunity to review, comment and/or participate to the level desired in the development of the Children, Youth and Families Needs Based Plan and Budget.

**I/We assure that the plan accurately reflects the needs of children and youth served by the juvenile court.**

I/We assure that the Juvenile Probation Office has actively participated in the development of the Children, Youth and Families Needs Based Plan and Budget.

Judicial Comments:

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Juvenile Court Judge(s)/ Designee:

**Hon. Russell D. Shurtleff**

Name

Signature

Date

COUNTY ASSURANCE OF FINANCIAL COMMITMENT AND PARTICIPATION

**THE SIGNATURES OF THESE COUNTY OFFICIALS REPRESENTS AN ACKNOWLEDGEMENT OF COUNTY COMMITMENT TO ADHERE TO THE COMMON AND EXECUTIVE ASSURANCES CONTAINED IN THE PRECEEDING PARAGRAPHS AS WELL AS COUNTY COMMITMENT TO PROVIDE THE LOCAL FUNDS SPECIFIED IN THE PLAN AS NECESSARY TO OBTAIN THE MATCHING STATE AND FEDERAL FUNDS BASED ON THE COUNTY'S PROPOSAL. THE LOCAL FUND COMMITMENT AS PROVIDED IN THE COUNTY'S PROPOSAL TOTAL \$220,021.**

**Signature(s)**

County Executive/Mayor

N/A  
Name

Signature

Date

**County Commissioners**

**Robert Getz (Chair)**  
Name

Signature

Date

**Betty Reibson**  
Name

Signature

Date

**Darla Bortz**  
Name

Signature

Date